

# 2021 American Hospital Association ANNUAL SURVEY



## 2021 Annual Survey of Hospitals

25-11006

Fid Number: \_\_\_\_\_

Hospital Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_

County \_\_\_\_\_

Zip \_\_\_\_\_

Texas Dept of State Health Services  
Center for Health Statistics  
Hospital Survey Unit  
1100 West 49th Street  
PO Box 149347  
Austin, Texas 78714-9347

Phone (512) 776-7261

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The 2021 Cooperative Annual Survey is enclosed. This survey represents the thirty-seventh year of cooperation between the Department of State Health Services (DSHS), the American Hospital Association (AHA), and the Texas Hospital Association (THA). In an effort to reduce the reporting burden on Texas hospitals, DSHS and AHA have combined their annual survey into a single questionnaire.

The 2021 DSHS/AHA/THA Annual Survey of Hospitals is available online! We recommend that you use this web-based tool (**click on [www.ahasurvey.org](http://www.ahasurvey.org) or [www.dshs.state.tx.us/chs/hosp/](http://www.dshs.state.tx.us/chs/hosp/)**) as it will enable you to submit your data online more easily and efficiently.

**State laws (Health and Safety Code, Chapters 104 and 311) require DSHS to collect aggregate financial, utilization, and other data from all licensed hospitals. The survey also incorporates some data components used to determine which hospitals qualify for the Medicaid Disproportionate Share Hospital Program. Therefore, it is extremely important that all sections of the survey be completed fully and accurately.**

This survey provides the state's only comprehensive source of information on issues such as uncompensated care and hospital utilization trends. The survey findings are used by legislators, state agencies, and research institutions to support the development of health policy and accompanying programs. The survey also provides data for AHA and THA to assess the current status of the hospital industry and to enable them to provide effective representation and advocacy.

**ALL HOSPITALS ARE REQUIRED TO SUBMIT THE SURVEY DATA WITHIN 60 DAYS OF RECEIPT OF THIS SURVEY FORM.** Your **timely** completion of this Annual Survey will fulfill your reporting obligation under Texas statutes. It will also ensure the inclusion of your facility's utilization data in **The AHA Guide** for 2021.

Please read the instructions for completion carefully. If you have any questions, please contact the Department of State Health Services, Center for Health Statistics, Hospital Survey Unit at (512) 776-7261 or [HSU@dshs.texas.gov](mailto:HSU@dshs.texas.gov). Thank you for your cooperation.

Dr. John Hellerstedt  
Commissioner  
Department of State Health Services

John Hawkins  
President/Chief Executive Officer  
Texas Hospital Association

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## General instructions for completing the online screening tool.

A copy of the completed survey form should be retained in your files for your reference. In addition, if there are any questions about your responses, this file copy may be of assistance to you in the follow-up and editing process.

Please report utilization and financial information for a full 12-month period, preferably using your fiscal year as the reporting period.

Use the following guidelines when completing the survey:

1. Make an entry for **EVERY ITEM** on the survey.
2. For items that are not applicable to your hospital or for which no services were provided enter **"0"** (zero).
3. **DO NOT USE "N/A" or "NA"** in any of your responses on the survey form. Enter **"NAV"** for an item which is applicable to your hospital, but data are not available from your hospital records in the detail required to complete the item.
4. For items which are combined with another variable, mark as **"NAV"** and indicate which variables are combined.

If you have any questions, please contact Dwayne Collins at the Department of State Health Services at (512) 776-7261, by email at [dwayne.collins@dshs.texas.gov](mailto:dwayne.collins@dshs.texas.gov), or fax at (512) 776-7344.

Please Note: ALL OF THE INFORMATION REPORTED IN THIS SURVEY WILL BE AVAILABLE TO THE PUBLIC. As of September 1, 1993, the confidentiality restriction on hospital specific financial data was removed for information reported since September 1, 1987. This change resulted from amendments made to the Health and Safety Code, Chapter 311.

### SECTION A REPORTING PERIOD Instructions and Definitions

#### INSTRUCTIONS AND DEFINITIONS FOR THE 2021 ANNUAL SURVEY OF HOSPITALS

**For purposes of this survey, a hospital is defined as the organization or corporate entity licensed or registered as a hospital by a state to provide diagnostic and therapeutic patient services for a variety of medical conditions, both surgical and nonsurgical.**

1. **Reporting period used (beginning and ending date):** Record the beginning and ending dates of the reporting period in an eight-digit number: for example, January 1, 2021 should be shown as 01/01/2021. Number of days should equal the time span between the two dates that the hospital was open. If you are reporting for less than 365 days, utilization and finances should be presented for days reported only.
2. **Were you in operation 12 full months at the end of your reporting period?** If you are reporting fewer than 365 days, utilization and finances should be presented for days reported only.
3. **Number of days open during reporting period:** Number of days should equal the time span between the two dates that the hospital was open.

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## A. REPORTING PERIOD (please refer to the instructions and definitions on page 2)

Report data for a full 12-month period, preferably your last completed fiscal year (365 days). (Be consistent in using the same reporting period for responses throughout various sections of this survey.)

1. Reporting Period used (beginning and ending date) ..... to  
Month/Day/Year Month/Day/Year
2. a. Were you in operation 12 full months at the  
end of your reporting period? ..... YES  NO  b. Number of days open during reporting period. .. \_\_\_\_\_
3. Indicate the beginning of your current fiscal year .....  
Month/Day/Year

## B. ORGANIZATIONAL STRUCTURE

### 1. CONTROL

Indicate the type of organization that is responsible for establishing policy for overall operation of your hospital. CHECK ONLY ONE:

#### Government, nonfederal

- 12 State
- 13 County
- 14 City
- 15 City-County
- 16 Hospital district or authority

#### Non-government, not-for profit (NFP)

- 21 Church-operated
- 23 Other not-for-profit (including NFP Corporation)

#### Investor-owned, for-profit

- 31 Individual
- 32 Partnership
- 33 Corporation

#### Government, federal

- 40 Department of Defense
- 44 Public Health Service
- 45 Veterans' Affairs
- 46 Federal other than 41-45 or 47-48
- 47 PHS Indian Service
- 48 Department of Justice

### 2. SERVICE

Indicate the ONE category that BEST describes your hospital or the type of service it provides to the MAJORITY of patients:

- 10 General medical and surgical
- 11 Hospital unit of an institution (prison hospital, college infirmary)
- 12 Hospital unit within a facility for persons with intellectual disabilities
- 13 Surgical
- 22 Psychiatric
- 33 Tuberculosis and other respiratory diseases
- 41 Cancer
- 42 Heart
- 44 Obstetrics and gynecology
- 45 Eye, ear, nose, and throat
- 46 Rehabilitation
- 47 Orthopedic
- 48 Chronic diseases
- 62 Intellectual Disabilities
- 80 Acute long-term care hospital
- 82 Substance use disorder
- 49 Other-specify treatment area:  
\_\_\_\_\_

### 3. OTHER

- a. Does your hospital restrict admissions primarily to children? ..... YES  NO
- b. Does the hospital itself operate subsidiary corporations? ..... YES  NO
- c. Is the hospital contract managed? ..... YES  NO

If yes, please provide the name, city, and state of the organization that manages the hospital:

Name: City: State:

- d. Is your hospital owned in whole or in part by physicians or a physicians group?..... YES  NO

e. If you checked 80 Acute long-term care hospital (LTCH) in the Section B2 (Service), please indicate if you are a freestanding LTCH or a LTCH arranged within a general acute care hospital.

- Freestanding LTCH
- LTCH arranged in a general acute care hospital

If you are arranged in a general acute care hospital, what is your host hospital's name?

Name: City: State:

- f. Are any other types of hospitals co-located in your hospital? YES  NO

g. If you checked yes for 3f, what type of hospital is co-located? (Check all that apply)

- Cancer
- Cardiac
- Orthopedic
- Pediatric
- Psychiatric
- Surgical
- Other \_\_\_\_\_

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## SECTION B ORGANIZATIONAL STRUCTURE Instructions and Definitions

### 1. CONTROL

Check the box to the left of the type of organization that is responsible for establishing policy for overall operation of the hospital.

**Government, nonfederal:**

**State:** Controlled by an agency of state government.

**County:** Controlled by an agency of county government.

**City:** Controlled by an agency of municipal government.

**City-County:** Controlled jointly by agencies of municipal and county governments.

**Hospital district or authority:** Controlled by a political subdivision of a state, county, or city created solely for the purpose of establishing and maintaining medical care or health-related care institutions.

**Non-government, not-for profit:** Controlled by not-for-profit organizations, including religious organizations (Catholic hospitals, for example), community hospitals, cooperative hospitals, hospitals operated by fraternal societies, and so forth.

**Investor owned, for-profit:** Controlled on a for profit basis by an individual, partnership, or a profit making corporation.

**Government, federal:** Controlled by an agency or department of the federal government.

### 2. SERVICE

Indicate the ONE category that best describes the type of service that your hospital provides to the majority of patients.

**General medical and surgical:** Provides diagnostic and therapeutic services to patients for a variety of medical conditions, both surgical and non-surgical.

**Hospital unit of an institution:** Provides diagnostic and therapeutic services to patients in an institution.

**Hospital unit within an institution for persons with intellectual disabilities:** Provides diagnostic and therapeutic services to patients in an institution for persons with intellectual disabilities.

**Surgical:** An acute care specialty hospital where 2/3 or more of its inpatient claims are for surgical/diagnosis related groups.

**Psychiatric:** Provides diagnostic and therapeutic services to patients with mental or emotional disorders.

**Tuberculosis and other respiratory diseases:** Provides medical care and rehabilitative services to patients for whom the primary diagnosis is tuberculosis or other respiratory diseases.

**Cancer:** Provides medical care to patients for whom the primary diagnosis is cancer.

**Heart:** Provides diagnosis and treatment of heart disease.

**Obstetrics and gynecology:** Provides medical and surgical treatment to pregnant women and to mothers following delivery. Also provides diagnostic and therapeutic services to women with diseases or disorders of the reproductive organs.

**Eye, ear, nose, and throat:** Provides diagnosis and treatment of diseases and injuries of the eyes, ears, nose, and throat.

**Rehabilitation:** Provides a comprehensive array of restoration services for people with disabilities and all support services necessary to help them attain their maximum functional capacity.

**Orthopedic:** Provides corrective treatment of deformities, diseases, and ailments of the locomotive apparatus, especially affecting the limbs, bones, muscles, and joints.

**Chronic disease:** Provides medical and skilled nursing services to patients with long-term illnesses who are not in an acute phase, but who require an intensity of services not available in nursing homes.

**Intellectual Disabilities:** Provides health-related care on a regular basis to patients with psychiatric or developmental impairment who cannot be treated in a skilled nursing unit.

**Acute long-term care hospital:** Provides high acuity interdisciplinary services to medically complex patients that require more intensive recuperation and care than can be provided in a typical nursing facility.

**Substance use disorders:** Provides diagnostic and therapeutic services to patients with a medical illness characterized by clinically significant impairments in health, social function, and voluntary control over use of substances such as alcohol, prescription and non-prescription drugs. Substance use disorders range in severity, duration and complexity from mild to severe.

### 3. OTHER

- a. **Children admissions:** A hospital whose primary focus is the health and treatment of children and adolescents.
- b. **Subsidiary:** A company that is wholly controlled by another or one that is more than 50% owned by another organization.
- c. **Contract managed:** General day-to-day management of an entire organization by another organization under a formal contract. Managing organization reports directly to the board of trustees or owners of the managed organization; managed organization retains total legal responsibility and ownership of the facility's assets and liabilities.
- d. **Physician Group:** Cooperative practice of medicine by a group of physicians, each of whom as a rule specializes in some particular field.
- f. **Co-located hospitals:** Co-location refers to two or more entities, with separate CMS Certification Numbers occupying the same building, or conjoined buildings.

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h. Is your hospital designated as a state, jurisdiction, or federal Ebola or other Special Pathogens facility? (Check all that apply.)

1.  Federal designation: Regional Emerging Special Pathogen Treatment Center
2.  State/Jurisdiction designation: Special Pathogen Treatment Center
3.  State/Jurisdiction designation: Special Pathogen Assessment Hospital
4.  Frontline facility

## C. FACILITIES AND SERVICES

For each service or facility listed below, please check all the categories that describe how each item is provided **as of the last day of the reporting period**. Check all categories that apply for an item. Leave all categories blank for a facility or service that is not provided. Column 3 refers to the networks that were identified in section B, question 3d. If you checked column (1) C1-20, please include the number of beds. **The sum of the beds reported in 1-20 should equal E(1b), beds set up and staffed on page 23.**

	(1) Owned or provided by my hospital or its subsidiary	(2) Provided by my Health System (in my local community)	(3) Provided through a formal contractual arrangement or joint venture with another provider that is not in my system (in my local community)	(4) Not Provided
* Please report # Beds that were provided within your hospital and were set up and staffed for use at the end of the reporting period				
1. General medical-surgical care..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Pediatric medical-surgical care..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Obstetrics [Level of unit (1-3): (____)] ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Medical surgical intensive care..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Cardiac intensive care..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Neonatal intensive care ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Neonatal intermediate care ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Pediatric intensive care ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Burn care ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. Other special care (specify: _____) . <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. Other intensive care (specify: _____)..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. Physical rehabilitation ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13. Substance use disorder care ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. Psychiatric care..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15. Skilled nursing care ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16. Intermediate nursing care..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17. Acute long-term care ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18. Other long-term care ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19. Biocontainment patient care unit..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20. Other care (specify: _____) ..... <input type="checkbox"/> (#Beds: _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(Total # Beds: \_\_\_\_\_)  
Should Equal E.1.b.(1) on page 23.

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## SECTION C FACILITIES AND SERVICES Instructions and Definitions

**Owned/provided by the hospital or its subsidiary.** All patient revenues, expenses and utilization related to the provision of the service are reflected in the hospital's statistics reported elsewhere in this survey.

**Provided by my Health System (in my local community).** Another health care provider in the same system as your hospital provides the service and patient revenue, expenses, and utilization related to the provision of the service are recorded at the point where the service was provided and would not be reflected in your hospital's statistics reported elsewhere in this survey. (A system is a corporate body that owns, leases, religiously sponsors and/or manages health provider.)

**Provided through a Partnership or joint venture with another provider that is not in my system.** All patient revenues and utilization related to the provision of the service are recorded at the site where the service was provided and would not be reflected in your hospital statistics reported elsewhere in this survey. (A joint venture is a contractual arrangement between two or more parties forming an unincorporated business. The participants in the arrangement remain independent and separate outside of the ventures purpose.)

- 1. General medical-surgical care:** Provides acute care to patients in medical and surgical units on the basis of physicians' orders and approved nursing care plans.
- 2. Pediatric medical-surgical care:** Provides acute care to pediatric patients on the basis of physicians' orders and approved nursing care plans.
- 3. Obstetrics:** Levels should be designated: (1) unit provides services for uncomplicated maternity and newborn cases; (2) unit provides services for uncomplicated cases, the majority of complicated problems, and special neonatal services; and (3) unit provides services for all serious illnesses and abnormalities and is supervised by a full-time maternal/fetal specialist.
- 4. Medical surgical intensive care:** Provides patient care of a more intensive nature than the usual medical and surgical care, on the basis of physicians' orders and approved nursing care plans. These units are staffed with specially trained nursing personnel and contain monitoring and specialized support equipment for patients who, because of shock, trauma, or other life-threatening conditions, require intensified, comprehensive observation and care. Includes mixed intensive care units.
- 5. Cardiac intensive care:** Provides patient care of a more specialized nature than the usual medical and surgical care, on the basis of physicians' orders and approved nursing care plans. The unit is staffed with specially trained nursing personnel and contains monitoring and specialized support or treatment equipment for patients who, because of heart seizure, open-heart surgery, or other life-threatening conditions, require intensified, comprehensive observation and care. May include myocardial infarction, pulmonary care, and heart transplant units.
- 6. Neonatal intensive care:** A unit that must be separate from the newborn nursery providing intensive care to all sick infants including those with the very lowest birth weights (less than 1500 grams). NICU has potential for providing mechanical ventilation, neonatal surgery, and special care for the sickest infants born in the hospital or transferred from another institution. A full-time neonatologist serves as director of the NICU.
- 7. Neonatal intermediate care:** A unit that must be separate from the normal newborn nursery and that provides intermediate and/or recovery care and some specialized services, including immediate resuscitation, intravenous therapy, and capacity for prolonged oxygen therapy and monitoring.
- 8. Pediatric intensive care:** Provides care to pediatric patients that are of a more intensive nature than that usually provided to pediatric patients. The unit is staffed with specially trained personnel and contains monitoring and specialized support equipment for treatment of patients who, because of shock, trauma, or other life-threatening conditions, require intensified, comprehensive observation and care.
- 9. Burn care:** Provides care to severely burned patients. Severely burned patients are those with any of the following: (1) second-degree burns of more than 25% total body surface area for adults or 20% total body surface area for children; (2) third-degree burns of more than 10% total body surface area; (3) any severe burns of the hands, face, eyes, ears, or feet; or (4) all inhalation injuries, electrical burns, complicated burn injuries involving fractures and other major traumas, and all other poor risk factors.
- 10. Other special care:** Provides care to patients requiring care more intensive than that provided in the acute area, yet not sufficiently intensive to require admission to an intensive care unit. Patients admitted to this area are usually transferred here from an intensive care unit once their condition has improved. These units are sometimes referred to as definitive observation, step-down, or progressive care units.
- 11. Other intensive care:** A specially staffed, specialty equipped, separate section of a hospital dedicated to the observation, care, and treatment of patients with life threatening illnesses, injuries, or complications from which recovery is possible. It provides special expertise and facilities for the support of vital function and utilizes the skill of medical nursing and other staff experienced in the management of these problems.
- 12. Physical rehabilitation:** Provides care encompassing a comprehensive array of restoration services for people with disabilities and all support services necessary to help patients attain their maximum functional capacity.
- 13. Substance use disorder care:** Provides diagnostic and therapeutic services to patients with a medical illness characterized by clinically significant impairments in health, social function, and voluntary control over use of substances such as alcohol, prescription and non-prescription drugs Substance use disorders range in severity, duration and complexity from mild to severe. Includes care for inpatient/residential treatment for patients whose course of treatment involves more intensive care than provided in an outpatient setting or where patient requires supervised withdrawal.
- 14. Psychiatric care:** Provides acute or long-term care to patients with mental or emotional disorders, including patients admitted for diagnosis and those admitted for treatment of psychiatric problems, on the basis of physicians' orders and approved nursing care plans. Long-term care may include intensive supervision persons with chronic/severe mental illness.

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<b>C. FACILITIES AND SERVICES (continued)</b>	<b>(1) Owned or provided by my hospital or its subsidiary</b>	<b>(2) Provided by my Health System (in my local community)</b>	<b>(3) Provided through a formal contractual arrangement or joint venture with another provider that is not in my system (in my local community)</b>	<b>(4) Not Provided</b>
21. Adult day care program .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
22. Airborne infection isolation room (# rooms _____) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
23. Alzheimer center.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
24. Ambulance services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25. Air Ambulance services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
26. Ambulatory surgery center.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
27. Arthritis treatment center .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28. Auxiliary .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29. Bariatric/weight control services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30. Birthing room/LDR room/LDRP room .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31. Blood donor center.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
32. Breast cancer screening/mammograms .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
33. Cardiology and cardiac surgery services				
a. Adult cardiology services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Pediatric cardiology services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Adult diagnostic catheterization .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Pediatric diagnostic catheterization .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Adult interventional cardiac catheterization .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Pediatric interventional cardiac catheterization .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Adult cardiac surgery .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. Pediatric cardiac surgery.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. Adult cardiac electrophysiology.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. Pediatric cardiac electrophysiology.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. Cardiac rehabilitation .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
34. Case management .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
35. Chaplaincy/pastoral care services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
36. Chemotherapy .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
37. Children's wellness program .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
38. Chiropractic services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
39. Community outreach.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40. Complementary and alternative medicine services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
41. Computer assisted orthopedic surgery (CAOS).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
42. Crisis prevention.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
43. Dental services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
44. Diabetes prevention program .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
45. Emergency services				
a. On-campus emergency department.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Off-campus emergency department.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Pediatric emergency department .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Trauma center (certified) [Hospital level of unit (1-3) _____] .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46. Enabling services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
47. Endoscopic services				
a. Optical colonoscopy.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b Endoscopic ultrasound .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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## Section C FACILITIES AND SERVICES Definitions (continued)

- 15. Skilled nursing care:** Provides non-acute medical and skilled nursing care services, therapy, and social services under the supervision of a licensed registered nurse on a 24-hour basis.
- 16. Intermediate nursing care:** Provides health-related services (skilled nursing care and social services) to residents with a variety of physical conditions or functional disabilities. These residents do not require the care provided by a hospital or skilled nursing facility, but do need supervision and support services.
- 17. Acute long-term care:** Provides specialized acute hospital care to medically complex patients who are critically ill, have multisystem complications and/or failure, and require hospitalization averaging 25 days, in a facility offering specialized treatment programs and therapeutic intervention on a 24 hour/7 day a week basis.
- 18. Other long-term care:** Provision of long-term care other than skilled nursing care or intermediate care for those who do not require daily medical or nursing services, but may require some assistance in the activities of daily living. This can include residential care, elderly care, or care facilities for those with developmental disabilities.
- 19. Biocontainment patient care unit.** A permanent unit that provides the first line of treatment for people affected by bio-terrorism or highly hazardous communicable diseases. The unit is equipped to safely care for anyone exposed to a highly contagious and dangerous disease. Please do not report temporary COVID-19 units on this line.
- 20. Other care:** (specify) Any type of care other than those listed above. **The sum of the beds reported in Section C 1-20 should equal what you have reported in Section E(1b) for beds set up and staffed.**
- 21. Adult day care program:** Program providing supervision, medical and psychological care, and social activities for older adults who live at home or in another family setting, but cannot be alone or prefer to be with others during the day. May include intake assessment, health monitoring, occupational therapy, personal care, noon meal, and transportation services.
- 22. Airborne infection isolation room:** A single-occupancy room for patient care where environmental factors are controlled in an effort to minimize the transmission of those infectious agents, usually spread person to person by droplet nuclei associated with coughing and inhalation. Such rooms typically have specific ventilation requirements for controlled ventilation, air pressure and filtration.
- 23. Alzheimer center:** Facility that offers care to persons with Alzheimer's disease and their families through an integrated program of clinical services, research and education.
- 24. Ambulance services:** Provision of ambulance services to the ill and injured who require medical attention on a scheduled or unscheduled basis.
- 25. Air ambulance services:** Aircraft and especially a helicopter equipped for transporting the injured or sick. Most air ambulances carry critically ill or injured patients, whose condition could rapidly change for the worse.
- 26. Ambulatory Surgery Center:** Facility that provides care to patients requiring surgery that are admitted and discharged on the same day. Ambulatory surgery centers are distinct from same day surgical units within the hospital outpatient departments for purposes of Medicare payment.
- 27. Arthritis treatment center:** Specifically equipped and staffed center for the diagnosis and treatment of arthritis and other joint disorders.
- 28. Auxiliary:** A volunteer community organization formed to assist the hospital in carrying out its purpose and to serve as a link between the institution and the community.
- 29. Bariatrics/weight control services:** Bariatrics is the medical practice of weight reduction.
- 30. Birthing room/LDR room/LDRP room:** A single-room type of maternity care with a more homelike setting for families than the traditional three-room unit (labor/delivery/recovery) with a separate postpartum area. A birthing room combines labor and delivery in one room. An LDR room accommodates three stages in the birthing process--labor, delivery, and recovery. An LDRP room accommodates all four stages of the birth process--labor, delivery, recovery, and postpartum.
- 31. Blood donor center:** A facility that performs, or is responsible for the collection, processing, testing or distribution of blood and components.
- 32. Breast cancer screening/mammograms:** Mammography screening - The use of breast x-ray to detect unsuspected breast cancer in asymptomatic women. Diagnostic mammography - The x-ray imaging of breast tissue in symptomatic women who are considered to have a substantial likelihood of having breast cancer already.
- 33. Cardiology and cardiac surgery services:** Services which include the diagnosis and treatment of diseases and disorders involving the heart and circulatory system.
- a-b. **Cardiology services: Adult Cardiology Services:** An organized clinical service offering diagnostic and interventional procedures to manage the full range of adult heart conditions. **Pediatric Cardiology Services.** An organized clinical service offering diagnostic and interventional procedures to manage the full range of pediatric heart conditions.
- c-d. **Diagnostic catheterization:** (also called coronary angiography or coronary arteriography) is used to assist in diagnosing complex heart conditions. Cardiac angiography involves the insertion of a tiny catheter into the artery in the groin then carefully threading the catheter up into the aorta where the coronary arteries originate. Once the catheter is in place, a dye is injected which allows the cardiologist to see the size, shape, and distribution of the coronary arteries. These images are used to diagnose heart disease and to determine, among other things, whether or not surgery is indicated.
- e-f. **Interventional cardiac catheterization:** Nonsurgical procedure that utilizes the same basic principles as diagnostic catheterization and then uses advanced techniques to improve the heart's function. It can be a less-invasive alternative to heart surgery.
- g-h. **Cardiac surgery:** Includes minimally invasive procedures that include surgery done with only a small incision or no incision at all, such as through a laparoscope or an endoscope and more invasive major surgical procedures that include open chest and open heart surgery.
- i-j. **Cardiac electrophysiology:** Evaluation and management of patients with complex rhythm or conduction abnormalities, including diagnostic testing, treatment of arrhythmias by catheter ablation or drug therapy, and pacemaker/defibrillator implantation and follow-up.
- k. **Cardiac rehabilitation:** A medically supervised program to help heart patients recover quickly and improve their overall physical and mental functioning. The goal is to reduce risk of another cardiac event or to keep an already present heart condition from getting worse. Cardiac rehabilitation programs include: counseling to patients, an exercise program, helping patients modify risk factors such as smoking and high blood pressure, providing vocational guidance to enable the patient to return to work, supplying information on physical limitations and lending emotional support.



## 2021 American Hospital Association ANNUAL SURVEY

### C. FACILITIES AND SERVICES (continued)

	(1) Owned or provided by my hospital or its subsidiary	(2) Provided by my Health System (in my local community)	(3) Provided through a formal contractual arrangement or joint venture with another provider that is not in my system (in my local community)	(4) Not Provided
c. Ablation of Barrett’s esophagus.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Esophageal impedance study.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Endoscopic retrograde cholangiopancreatography (ERCP) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
48. Enrollment (insurance) assistance services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
49. Employment support services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
50. Extracorporeal shock wave lithotripter (ESWL).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
51. Fertility clinic .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
52. Fitness center .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
53. Freestanding outpatient care center.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
54. Geriatric services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
55. Health fair .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
56. Community health education.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
57. Genetic testing/counseling.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
58. Health screenings .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
59. Health research.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
60. Hemodialysis... ..	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
61. HIV/AIDS services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
62. Home health services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
63. Hospice program .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
64. Hospital-based outpatient care center services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
65. Housing services				
a. Assisted living.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Retirement housing.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Supportive housing services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
66. Immunization program .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
67. Indigent care clinic.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
68. Linguistic/translation services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
69. Meal delivery services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
70. Mobile health services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
71. Neurological services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
72. Nutrition program .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
73. Occupational health services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
74. Oncology services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
75. Orthopedic services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
76. Outpatient surgery.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
77. Pain management program.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
78. Palliative care program .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
79. Palliative care inpatient unit.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
80. Patient controlled analgesia (PCA).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
81. Patient education center.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
82. Patient representative services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
83. Physical rehabilitation services				
a. Assistive technology center.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Electrodiagnostic services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# 2021 American Hospital Association ANNUAL SURVEY

## Section C FACILITIES AND SERVICES Definitions (continued)

34. **Case management:** A system of assessment, treatment planning, referral and follow-up that ensures the provision of comprehensive and continuous services and the coordination of payment and reimbursement for care.
35. **Chaplaincy/pastoral care services:** A service ministering religious activities and providing pastoral counseling to patients, their families, and staff of a health care organization.
36. **Chemotherapy:** An organized program for the treatment of cancer by the use of drugs or chemicals.
37. **Children's wellness program:** A program that encourages improved health status and a healthful lifestyle of children through health education, exercise, nutrition and health promotion.
38. **Chiropractic services:** An organized clinical service including spinal manipulation or adjustment and related diagnostic and therapeutic services.
39. **Community outreach:** A program that systematically interacts with the community to identify those in need of services, alerting persons and their families to the availability of services, locating needed services, and enabling persons to enter the service delivery system.
40. **Complementary and alternative medicine services:** Organized hospital services or formal arrangements to providers that provide care or treatment not based solely on traditional western allopathic medical teachings as instructed in most U.S. medical schools. Includes any of the following: acupuncture, chiropractic, homeopathy, osteopathy, diet and lifestyle changes, herbal medicine, massage therapy, etc.
41. **Computer assisted orthopedic surgery (CAOS):** Orthopedic surgery using computer technology, enabling three-dimensional graphic models to visualize a patient's anatomy.
42. **Crisis prevention:** Services provided in order to promote physical and mental well-being and the early identification of disease and ill health prior to the onset and recognition of symptoms so as to permit early treatment.
43. **Dental services:** An organized dental service or dentists on staff, not necessarily involving special facilities, providing dental or oral services to inpatients or outpatients.
44. **Diabetes prevention program:** Program to prevent or delay the onset of type 2 diabetes by offering evidence-based lifestyle changes based on research studies, which showed modest behavior changes helped individuals with prediabetes reduce their risk of developing type 2 diabetes.
45. **Emergency services.** Health services that are provided after the onset of a medical condition that manifests itself by symptoms of sufficient severity, including severe pain, that the absence of immediate medical attention could reasonably be expected by a prudent layperson, who possesses an average knowledge of health and medicine, to result in placing the patient's health in serious jeopardy.
  - a. **On-campus emergency department.** Hospital facilities for the provision of unscheduled outpatient services to patients whose conditions require immediate care.
  - b. **Off-campus emergency department.** A facility owned and operated by the hospital but physically separate from the hospital for the provision of unscheduled outpatient services to patients whose conditions require immediate care. A freestanding ED is not physically connected to a hospital but has all the necessary emergency staffing and equipment on site.
  - c. **Pediatric emergency department.** A recognized hospital emergency department capable of identifying those pediatric patients who are critically ill or injured, stabilizing pediatric patients, including the management of airway, breathing and circulation and providing an appropriate transfer to a definitive care facility.
  - d. **Trauma center (certified).** A facility to provide emergency and specialized intensive care to critically ill and injured patients.
    - Level 1: A regional resource trauma center, which is capable of providing total care for every aspect of injury and plays a leadership role in trauma research and education.
    - Level 2: A community trauma center, which is capable of providing trauma care to all but the most severely injured patients who require highly specialized care.
    - Level 3: A rural trauma hospital, which is capable of providing care to a large number of injury victims and can resuscitate and stabilize more severely injured patients so that they can be transported to level 1 or 2 facilities.
46. **Enabling services:** A program that is designed to help the patient access health care services by offering any of the following: transportation services and/or referrals to local social services agencies.
47. **Endoscopic services:**
  - a. **Optical colonoscopy:** An examination of the interior of the colon using a long, flexible, lighted tube with a small built-in camera.
  - b. **Endoscopic ultrasound:** Specially designed endoscope that incorporates an ultrasound transducer used to obtain detailed images of organs in the chest and abdomen. The endoscope can be passed through the mouth or the anus. When combined with needle biopsy the procedure can assist in diagnosis of disease and staging of cancer.
  - c. **Ablation of Barrett's esophagus:** Premalignant condition that can lead to adenocarcinoma of the esophagus. The nonsurgical ablation of premalignant tissue in Barrett's esophagus by the application of thermal energy or light through an endoscope passed from the mouth into the esophagus.
  - d. **Esophageal impedance study:** A test in which a catheter is placed through the nose into the esophagus to measure whether gas or liquids are passing from the stomach into the esophagus and causing symptoms.
  - e. **Endoscopic retrograde cholangiopancreatography (ERCP):** A procedure in which a catheter is introduced through an endoscope into the bile ducts and pancreatic ducts. Injection of contrast material permits detailed x-ray of these structures. The procedure is used diagnostically as well as therapeutically to relieve obstruction or remove stones.

## 2021 American Hospital Association ANNUAL SURVEY

### C. FACILITIES AND SERVICES (continued)

	(1) Owned or provided by my hospital or its subsidiary	(2) Provided by my Health System (in my local community)	(3) Provided through a formal contractual arrangement or joint venture with another provider that is not in my system (in my local community)	(4) Not Provided
c. Physical rehabilitation outpatient services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Prosthetic and orthotic services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Robot-assisted walking therapy.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Simulated rehabilitation environment.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
84. Primary care department .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
85. Psychiatric services:				
a. Psychiatric consultation-liaison services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Psychiatric pediatric care.....(#Beds _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Psychiatric geriatric care.....(#Beds _____)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Psychiatric education services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Psychiatric emergency services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Psychiatric outpatient services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Psychiatric intensive outpatient services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. Social and community psychiatric services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. Forensic psychiatric services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. Prenatal and postpartum psychiatric services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. Psychiatric partial hospitalization services - adult .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. Psychiatric partial hospitalization services-pediatric...	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. Psychiatric residential treatment-adult.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. Psychiatric residential treatment-pediatric.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. Suicide prevention services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
86. Radiology, diagnostic:				
a. CT scanner.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Diagnostic radioisotope facility .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Electron beam computed tomography (EBCT).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Full-field digital mammography (FFDM) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Magnetic resonance imaging (MRI) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Intraoperative magnetic resonance imaging .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Magnetoencephalography (MEG) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. Multi-slice spiral computed tomography (<64+ slice CT).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. Multi-slice spiral computed tomography (64+ slice CT) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. Positron emission tomography (PET).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. Positron emission tomography/CT (PET/CT).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. Single photon emission computerized tomography SPECT) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. Ultrasound .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
87. Radiology, therapeutic				
a. Image-guided radiation therapy (IGRT) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Intensity-modulated radiation therapy (IMRT).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Proton beam therapy .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Shaped beam radiation system .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Stereotactic radiosurgery .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Basic interventional radiology .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
88. Robotic surgery.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
89. Rural health clinic .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
90. Sleep center .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
91. Social work services.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
92. Sports medicine .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# 2021 American Hospital Association ANNUAL SURVEY

## Section C FACILITIES AND SERVICES Definitions (continued)

48. **Enrollment (insurance) assistance services:** A program that provides enrollment assistance for patients who are potentially eligible for public health insurance programs such as Medicaid, State Children's Health Insurance, or local/state indigent care programs. The specific services offered could include explanation of benefits, assist applicants in completing the application and locating all relevant documents, conduct eligibility interviews, and/or forward applications and documentation to state/local social service or health agency.
49. **Employment support services.** Services designed to support individuals with significant disabilities to seek and maintain employment.
50. **Extracorporeal shock wave lithotripter (ESWL):** A medical device used for treating stones in the kidney or urethra. The device disintegrates kidney stones noninvasively through the transmission of acoustic shock waves directed at the stones.
51. **Fertility clinic:** A specialized program set in an infertility center that provides counseling and education as well as advanced reproductive techniques such as: injectable therapy, reproductive surgeries, treatment for endometriosis, male factor infertility, tubal reversals, in vitro fertilization (IVF), donor eggs, and other such services to help patients achieve successful pregnancies.
52. **Fitness center:** Provides exercise, testing, or evaluation programs and fitness activities to the community and hospital employees.
53. **Freestanding outpatient care center:** A facility owned and operated by the hospital, but physically separate from the hospital, that provides various medical treatments and diagnostic services on an outpatient basis only. Laboratory and radiology services are usually available.
54. **Geriatric services:** The branch of medicine dealing with the physiology of aging and the diagnosis and treatment of disease affecting the aged. Services could include: Adult day care; Alzheimer's diagnostic-assessment services; Comprehensive geriatric assessment; Emergency response system; Geriatric acute care unit; and/or Geriatric clinics.
55. **Health fair:** Community health education events that focus on the prevention of disease and promotion of health through such activities as audiovisual exhibits and free diagnostic services.
56. **Community health education:** Education that provides health information to individuals and populations as well as support for personal, family and community health decisions with the objective of improving health status.
57. **Genetic testing/counseling:** A service equipped with adequate laboratory facilities and directed by a qualified physician to advise parents and prospective parents on potential problems in cases of genetic defects. A genetic test is the analysis of human DNA, RNA, chromosomes, proteins, and certain metabolites in order to detect heritable disease-related genotypes, mutations, phenotypes, or karyotypes for clinical purposes. Genetic tests can have diverse purposes, including the diagnosis of genetic diseases in newborns, children, and adults; the identification of future health risks; the prediction of drug responses; and the assessment of risks to future children.
58. **Health screening:** A preliminary procedure such as a test or examination to detect the most characteristic sign or signs of a disorder that may require further investigation.
59. **Health research:** Organized hospital research program in any of the following areas: basic research, clinical research, community health research, and/or research on innovative health care delivery.
60. **Hemodialysis:** Provision of equipment and personnel for the treatment of renal insufficiency on an inpatient or outpatient basis.
61. **HIV-AIDS services:** Could include: HIV-AIDS unit-Special unit or team designated and equipped specifically for diagnosis, treatment, continuing care planning, and counseling services for HIV-AIDS patients and their families. General inpatient care for HIV-AIDS-Inpatient diagnosis and treatment for human immunodeficiency virus and acquired immunodeficiency syndrome patients, but dedicated unit is not available. Specialized outpatient program for HIV-AIDS-Special outpatient program providing diagnostic, treatment, continuing care planning, and counseling for HIV-AIDS patients and their families.
62. **Home health services:** Service providing nursing, therapy, and health-related homemaker or social services in the patient's home.
63. **Hospice:** A program providing palliative care, chiefly medical relief of pain and supportive services, addressing the emotional, social, financial, and legal needs of terminally ill patients and their families. Care can be provided in a variety of settings, both inpatient and at home.
64. **Hospital-based outpatient care center-services:** Organized hospital health care services offered by appointment on an ambulatory basis. Services may include outpatient surgery, examination, diagnosis, and treatment of a variety of medical conditions on a nonemergency basis, and laboratory and their diagnostic testing as ordered by staff or outside physician referral.
65. **Housing Services**
  - a. **Assisted living.** A special combination of housing, supportive services, personalized assistance and health care designed to respond to the individual needs of those who need help in activities of daily living and instrumental activities of daily living. Supportive services are available, 24 hours a day, to meet scheduled and unscheduled needs, in a way that promotes maximum independence and dignity for each resident and encourages the involvement of a resident's family, neighbor and friends.
  - b. **Retirement housing.** A facility that provides social activities to senior citizens, usually retired persons, who do not require health care but some short-term skilled nursing care may be provided. A retirement center may furnish housing and may also have acute hospital and long-term care facilities, or it may arrange for acute and long-term care through affiliated institutions.
  - c. **Supportive housing services.** A hospital program that provides decent, safe, affordable, community-based housing with flexible support services designed to help the individual or family stay housed and live a more productive life in the community.
66. **Immunization program:** Program that plans, coordinates, and conducts immunization services in the community.
67. **Indigent care clinic:** Health care services for uninsured and underinsured persons where care is free of charge or charged on a sliding scale. This would include "free clinics" staffed by volunteer practitioners, but could also be staffed by employees with the sponsoring health care organization subsidizing the cost of service.
68. **Linguistic/translation services:** Services provided by the hospital designed to make health care more accessible to non-English speaking patients and their physicians.
69. **Meals delivery services:** A hospital sponsored program which delivers meals to people, usually the elderly, who are unable to prepare their own meals, low cost, nutritional meals are delivered to individuals' homes on a regular basis.
70. **Mobile health service:** Vans and other vehicles used to delivery primary care services.
71. **Neurological services:** Services provided by the hospital dealing with the operative and non-operative management of disorders of the central, peripheral, and autonomic nervous system.

## 2021 American Hospital Association ANNUAL SURVEY

### C. FACILITIES AND SERVICES (continued)

	(1) Owned or provided by my hospital or its subsidiary	(2) Provided by my Health System (in my local community)	(3) Provided through a formal contractual arrangement or joint venture with another provider that is not in my system (in my local community)	(4) Not Provided
93. Substance use disorder services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
a. Substance use disorder pediatric services (#Beds___)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Substance use disorder outpatient services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Substance use disorder partial hospitalization services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Medication assisted treatment for Opioid Use Disorder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Medication assisted treatment for other substance use disorders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
94. Support groups .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
95. Swing bed services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
96. Teen outreach services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
97. Tobacco treatment/cessation program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
98. Telehealth				
a. Consultation and office visits .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. E-ICU .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Stroke care .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Psychiatric and addiction treatment .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Remote patient monitoring				
1. Post-discharge .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Ongoing chronic care management .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Other remote patient monitoring .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Communication technology .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Other telehealth .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
99. Transplant services				
a. Bone Marrow .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Heart .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Kidney .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Liver .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Lung .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Tissue .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Other .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
100. Transportation to health facilities (non- emergency) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
101. Urgent care center .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
102. Violence Prevention Programs				
a. For the workplace .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. For the community .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
103. Virtual colonoscopy .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
104. Volunteer services department .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
105. Women's health center/services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
106. Wound management services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

107a. Does your organization routinely offer **psychiatric consultation & liaison services** in the following care areas?

Consultation-liaison Psychiatrists, medical physicians, or advanced practice providers (APPs) work to help people suffering from a combination of mental and physical illness by consulting with them and liaising with other members of their care team.

- 1. Emergency services    YES     NO
- 2. Primary care services    YES     NO
- 3. Acute inpatient care    YES     NO
- 4. Extended care    YES     NO

**Section C**  
**FACILITIES AND SERVICES**  
**Definitions (continued)**

72. **Nutrition programs:** Those services within a health care facility which are designed to provide inexpensive, nutritionally sound meals to patients.
73. **Occupational health services:** Includes services designed to protect the safety of employees from hazards in the work environment.
74. **Oncology services:** Inpatient and outpatient services for patients with cancer, including comprehensive care, support and guidance in addition to patient education and prevention, chemotherapy, counseling and other treatment methods.
75. **Orthopedic services:** Services provided for the prevention or correction of injuries or disorders of the skeletal system and associated muscles, joints and ligaments.
76. **Outpatient surgery:** Scheduled surgical services provided to patients who do not remain in the hospital overnight. The surgery may be performed in operating suites also used for inpatient surgery, specially designated surgical suites for outpatient surgery, or procedure rooms within an outpatient care facility.
77. **Pain management program:** A recognized clinical service or program providing specialized medical care, drugs or therapies for the management of acute or chronic pain and other distressing symptoms, administered by specially trained physicians and other clinicians, to patients suffering from an acute illness of diverse causes.
78. **Palliative care program:** An organized program providing specialized medical care, drugs or therapies for the management of acute or chronic pain and/or the control of symptoms administered by specially trained physicians and other clinicians; and supportive care services, such as counseling on advanced directives, spiritual care, and social services, to patients with advanced disease and their families.
79. **Palliative care inpatient unit:** An inpatient palliative care ward is a physically discreet, inpatient nursing unit where the focus is palliative care. The patient care focus is on symptom relief for complex patients who may be continuing to undergo primary treatment. Care is delivered by palliative medicine specialists.
80. **Patient controlled analgesia (PCA):** Patient-controlled analgesia (PCA) is intravenously administered pain medicine under the patient's control. The patient has a button on the end of a cord than can be pushed at will, whenever more pain medicine is desired. This button will only deliver more pain medicine at pre-determined intervals, as programmed by the doctor's order.
81. **Patient education center:** Written goals and objectives for the patient and/or family related to therapeutic regimens, medical procedures, and self-care.
82. **Patient representative services:** Organized hospital services providing personnel through whom patients and staff can seek solutions to institutional problems affecting the delivery of high quality care and services.
83. **Physical rehabilitation services:** Program providing medical, health-related, therapy, social, and/or vocational services to help people with disabilities attain or retain their maximum functional capacity.
- a. **Assistive technology center:** A program providing access to specialized hardware and software with adaptations allowing individuals greater independence with mobility, dexterity, or increased communication options.
  - b. **Electrodiagnostic services:** Diagnostic testing services for nerve and muscle function including services such as nerve conduction studies and Needle electromyography.
  - c. **Physical rehabilitation outpatient services:** Outpatient program providing medical, health-related, therapy, social, and/or vocational services to help people with disabilities attain or retain their maximum functional capacity.
  - d. **Prosthetic and orthotic services:** Services providing comprehensive prosthetic and orthotic evaluation, fitting, and training.
  - e. **Robot-assisted walking therapy:** A form of physical therapy that uses a robotic device to assist patients who are relearning how to walk.
  - f. **Simulated rehabilitation environment:** Rehabilitation focused on retraining functional skills in a contextually appropriate environment (simulated home and community settings) or in a traditional setting (gymnasium) using motor learning principles.
84. **Primary care department:** A unit or clinic within the hospital that provides primary care services (e.g. general pediatric care, general internal medicine, family practice, gynecology) through hospital-salaried medical and/or nursing staff, focusing on evaluating and diagnosing medical problems and providing medical treatment on an outpatient basis.
85. **Psychiatric services:** Services provided by the hospital that offer immediate initial evaluation and treatment to patients with mental or emotional disorders.
- a. **Psychiatric consultation-liaison services:** Provides organized psychiatric consultation/liaison services to non-psychiatric hospital staff and/or departments on psychological aspects of medical care that may be generic or specific to individual patients. Consultation-liaison psychiatrists work to help people suffering from a combination of mental and physical illness by consulting with them and liaising with other members of their care team.
  - b. **Psychiatric pediatric services.** The branch of medicine focused on the diagnosis, treatment and prevention of mental, emotional and behavioral disorders in pediatric patients. Please report the number of staffed beds. The beds reported here should be included in the staffed bed count for 14 psychiatric care.
  - c. **Psychiatric geriatric services.** Provides care to elderly patients with mental or emotional disorders, including those admitted for diagnosis and those admitted for treatment. Please report the number of staffed beds. The beds reported here should be included in the staffed bed count for 14 psychiatric care.
  - d. **Psychiatric education services:** Provides psychiatric educational services to community agencies and workers such as schools, police, courts, public health nurses, welfare agencies, clergy, and so forth. The purpose is to expand the mental health knowledge and competence of personnel not working in the mental health field and to promote good mental health through improved understanding, attitudes, and behavioral patterns.
  - e. **Psychiatric emergency services:** Services of facilities available on a 24-hour basis to provide immediate unscheduled outpatient care, diagnosis, evaluation, crisis intervention, and assistance to persons suffering acute emotional or mental distress.
  - f. **Psychiatric outpatient services:** Provides medical care, including diagnosis and treatment, of psychiatric outpatients.
  - g. **Psychiatric intensive outpatient services:** A prescribed course of treatment in which the patient receives outpatient care no less than three times a week (which might include more **14** than one service/day)

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- h. **Social and community psychiatry:** Social psychiatry deals with social factors associated with psychiatric morbidity, social effects of mental illness, psycho-social disorders and social approaches to psychiatric care. Community psychiatry focuses on detection, prevention, early treatment and rehabilitation of emotional and behavioral disorders as they develop in a community.
- i. **Forensic psychiatry:** A medical subspecialty that includes research and clinical practice in many areas in which psychiatric is applied to legal issues.

### C. FACILITIES AND SERVICES (continued)

107b. Does your organization routinely offer **addiction/substance use disorder consultation & liaison services** in the following care areas?

- |                          |                              |                             |
|--------------------------|------------------------------|-----------------------------|
| 1. Emergency services    | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 2. Primary care services | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 3. Acute inpatient care  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 4. Extended care         | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

107c. Does your organization routinely screen for psychiatric disorders in the following care areas?

*Screens can include, but are not limited to the PHQ-2 and PHQ-9 depression screen, the Columbia Disc Depression Scale, and/or the GAD-2 and GAD-7 for anxiety disorders.*

- |                          |                              |                             |
|--------------------------|------------------------------|-----------------------------|
| 1. Emergency services    | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 2. Primary care services | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 3. Acute inpatient care  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 4. Extended care         | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

107d. Does your organization routinely screen for substance use disorders in the following areas?

*Screens can include but are not limited to the CAGE Substance Abuse screening tool; NIDA's drug screening tool; and/or TAPS: Tobacco, Alcohol, Prescription medication, and other substance use tools*

- |                          |                              |                             |
|--------------------------|------------------------------|-----------------------------|
| 1. Emergency services    | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 2. Primary care services | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 3. Acute inpatient care  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 4. Extended care         | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

108a. For each of the physician-organization arrangements, please report the number of involved physicians in these arrangements.

	# of Involved Physicians	(1) My Hospital	(2) My System	Do Not Provide
1. Independent Practice Association (IPA) .....	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Group practice without walls .....	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Open Physician-Hospital Organization (PHO) .....	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Closed Physician-Hospital Organization (PHO) .....	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Management Service Organization (MSO) .....	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Integrated Salary Model .....	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Equity Model .....	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Foundation .....	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Other, please specify _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

108b. For those arrangements reported in 108a, please report the approximate ownership share.

	Hospital ownership share	Physician ownership share	Parent corporation ownership share	Insurance company ownership share
1. Independent Practice Association (IPA) .....	_____%	_____%	_____%	_____%
2. Group practice without walls .....	_____%	_____%	_____%	_____%
3. Open Physician-Hospital Organization (PHO) .....	_____%	_____%	_____%	_____%
4. Closed Physician-Hospital Organization (PHO) .....	_____%	_____%	_____%	_____%
5. Management Service Organization (MSO) .....	_____%	_____%	_____%	_____%
6. Integrated Salary Model .....	_____%	_____%	_____%	_____%
7. Equity Model .....	_____%	_____%	_____%	_____%
8. Foundation .....	_____%	_____%	_____%	_____%
9. Other, please specify _____	_____%	_____%	_____%	_____%

108c. If the hospital owns physician practices, how are they organized?

	Percent	Number of physicians
1. Solo Practice	_____%	_____
2. Single specialty group	_____%	_____

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3. Multi-specialty group \_\_\_\_\_%

108d. Of the physician practices owned by the hospital, what percentage are primary care? \_\_\_\_\_%

108e. Of the physician practices owned by the hospital, what percentage are specialty care? \_\_\_\_\_%

## Section C FACILITIES AND SERVICES Definitions (continued)

- j. **Prenatal and postpartum psychiatric services:** Psychiatric care during and post-pregnancy. Includes perinatal depression and postpartum depression.
- k. l. **Psychiatric partial hospitalization program – adult/pediatric:** Organized hospital services providing intensive day/evening outpatient services of three hours or more duration, distinguished from other outpatient visits of one hour.
- m. n. **Psychiatric residential treatment – adult/pediatric:** Overnight psychiatric care in conjunction with an intensive treatment program in a setting other than a hospital.
- o. **Suicide prevention services:** A collection of efforts to reduce the risk of suicide. These efforts may occur at the individual, relationship, community and society levels.
86. **Radiology, diagnostic:** The branch of radiology that deals with the utilization of all modalities of radiant energy in medical diagnoses and therapeutic procedures using radiologic guidance. This includes, but is not restricted to, imaging techniques and methodologies utilizing radiation emitted by x-ray tubes, radionuclides, and ultrasonographic devices and the radiofrequency electromagnetic radiation emitted by atoms.
- a. **CT scanner:** Computed tomographic scanner for head or whole body scans.
- b. **Diagnostic radioisotope facility:** The use of radioactive isotopes (Radiopharmaceuticals) as tracers or indicators to detect an abnormal condition or disease.
- c. **Electron beam computed tomography (EBCT):** A high tech computed tomography scan used to detect coronary artery disease by measuring coronary calcifications. This imaging procedure uses electron beams which are magnetically steered to produce a visual of the coronary artery and the images are produced faster than conventional CT scans.
- d. **Full-field digital mammography (FFDM):** Combines the x-ray generators and tubes used in analog screen-film mammography (SFM) with a detector plate that converts the x-rays into a digital signal.
- e. **Magnetic resonance imaging (MRI):** The use of a uniform magnetic field and radio frequencies to study tissue and structure of the body. This procedure enables the visualization of biochemical activity of the cell in vivo without the use of ionizing radiation, radioisotopic substances or high-frequency sound.
- f. **Intraoperative magnetic resonance imaging:** An integrated surgery system which provides an MRI system in an operating room. The system allows for immediate evaluation of the degree to tumor resection while the patient is undergoing a surgical resection. Intraoperative MRI exists when a MRI (low-field or high-field) is placed in the operating theater and is used during surgical resection without moving the patient from the operating room to the diagnostic imaging suite.
- g. **Magnetoencephalography (MEG):** A noninvasive neurophysiological measurement tool used to study magnetic fields generated by neuronal activity of the brain. MEG provides direct information about the dynamics of evoked and spontaneous neural activity and the location of their sources in the brain. The primary uses of MEG include assisting surgeons in localizing the source of epilepsy, sensory mapping, and the study of brain function. When it is combined with structural imaging, it is known as *magnetic source imaging* (MSI).
- h. **Multi-slice spiral computed tomography (<64+slice CT).** A specialized computed tomography procedure that provides three-dimensional processing and allows narrower and multiple slices with increased spatial resolution and faster scanning times as compared to a regular computed tomography scan.
- i. **Multi-slice spiral computed tomography (64+ slice CT).** Involves the acquisition of volumetric tomographic x-ray absorption data expressed in Hounsfield units using multiple rows of detectors. 64+ systems reconstruct the equivalent of 64 or greater slices to cover the imaged volume.
- j. **Positron emission tomography (PET):** A nuclear medicine imaging technology which uses radioactive (positron emitting) isotopes created in a cyclotron or generator and computers to produce composite pictures of the brain and heart at work. PET scanning produces sectional images depicting metabolic activity or blood flow rather than anatomy.
- k. **Positron emission tomography/CT (PET/CT):** Provides metabolic functional information for the monitoring of chemotherapy, radiotherapy and surgical planning.
- l. **Single photon emission computerized tomography (SPECT):** Single photon emission computerized tomography is a nuclear medicine imaging technology that combines existing technology of gamma camera imaging with computed tomographic imaging technology to provide a more precise and clear image.
- m. **Ultrasound:** The use of acoustic waves above the range of 20,000 cycles per second to visualize internal body structures.
87. **Radiology, therapeutic.** The branch of medicine concerned with radioactive substances and using various techniques of visualization, with the diagnosis and treatment of disease using any of the various sources of radiant energy. Services could include: megavoltage radiation therapy; radioactive implants; stereotactic radiosurgery; therapeutic radioisotope facility; X-ray radiation therapy.
- a. **Image-guided radiation therapy (IGRT):** Automated system for image-guided radiation therapy that enables clinicians to obtain high-resolution x-ray images to pinpoint tumor sites, adjust patient positioning when necessary, and complete a treatment, all within the standard treatment time slot, allowing for more effective cancer treatments.
- b. **Intensity-Modulated Radiation Therapy (IMRT):** A type of three-dimensional radiation therapy, which improves the targeting of treatment delivery in a way that is likely to decrease damage to normal tissues and allows varying intensities.
- c. **Proton beam therapy:** A form of radiation therapy which administers proton beams. While producing the same biologic effects as x-ray beams, the energy distribution of protons differs from conventional x-ray beams in that they can be more precisely focused in tissue volumes in a three-dimensional pattern resulting in less surrounding tissue damage than conventional radiation therapy permitting administration of higher doses.
- d. **Shaped beam radiation system:** A precise, non-invasive treatment that involves targeting beams of radiation that mirrors the exact size and shape of a tumor at a specific area of a tumor to shrink or destroy cancerous cells. This procedure delivers a



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therapeutic dose of radiation that conforms precisely to the shape of the tumor, thus minimizing the risk to nearby tissues.

- e. **Stereotactic radiosurgery:** Stereotactic radiosurgery (SRS) is a radiotherapy modality that delivers a high dosage of radiation to a discrete treatment area in as few as one treatment session. Includes gamma knife, cyberknife, etc.
- f. **Basic Interventional radiology:** Therapies include embolization, angioplasty, stent placement, thrombus management, drainage, and ablation, among others. Facilities providing Interventional Radiology should have a Radiologist with additional certification and training in Diagnostic Radiology, Interventional Radiology, or Radiation Oncology. (AMA)

### C. FACILITIES AND SERVICES (continued)

109. Looking across all the relationships identified in question 107a, what is the total number of physicians (count each physician only once) that are engaged in an arrangement with your hospital that allows for joint contracting with payers or shared responsibility for financial risk or clinical performance between the hospital and physician (arrangement may be any type of ownership)?  
# of physicians \_\_\_\_\_
- 110a. Does your hospital participate in any joint venture arrangements with physicians or physician groups?  
YES  NO
- 110b. If your hospital participates in any joint ventures with physicians or physician groups, please indicate which types of services are involved in those joint ventures. (Check all that apply)
- Limited service hospital
  - Ambulatory surgical centers
  - Imaging Centers
  - Other \_\_\_\_\_
- 110c. If you selected '1. Limited Service Hospital', please tell us what type(s) of services are provided.  
(Check all that apply.)
- Cardiac
  - Orthopedic
  - Surgical
  - Other \_\_\_\_\_
- 110d. Does your hospital participate in joint venture arrangements with organizations other than physician groups?  
YES  NO
111. Bed changes
- Was there a temporary increase in the total number of beds set up and staffed for use during the reporting period?  
YES  NO
  - Was there a temporary increase in the total number of ICU beds set up and staffed for use during the reporting period?  
YES  NO
112. Airborne Infection Isolation room
- Please indicate the total number of isolation rooms set up at the start of the year. \_\_\_\_\_
  - Please indicate the total number of isolation rooms set up at the end of the year. \_\_\_\_\_  
At the end of the year \_\_\_\_\_
  - Please indicate how many rooms not set-up as isolation rooms at the end of the year can be converted to isolation rooms?  
\_\_\_\_\_
113. Temporary spaces  
Please indicate if any temporary spaces were set up for using in triage, testing or treatment during the COVID-19 pandemic, such as tents or other spaces not typically used for clinical purposes.  
YES  NO
114. Ventilators
- How many **adult** (in use and not in use) mechanical ventilators were there in your facility at the start of the reporting period?  
\_\_\_\_\_
  - How many **adult** (in use and not in use) mechanical ventilators were there in your facility at the end of the reporting period?  
\_\_\_\_\_
  - How many **pediatric/NICU** (in use and not in use) mechanical ventilators were there in your facility at the start of the reporting period  
\_\_\_\_\_
  - How many **pediatric/NICU** (in use and not in use) mechanical ventilators were there in your facility at the end of the reporting period?  
\_\_\_\_\_
115. Emergency departments

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Was temporary increase in the total number of **emergency department beds set up and staffed** during the reporting period?  
YES  NO

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## Section C FACILITIES AND SERVICES Definitions( continued)

88. **Robotic surgery:** The use of mechanical guidance devices to remotely manipulate surgical instrumentation.
89. **Rural health clinic:** A clinic located in a rural, medically under-served area in the United States that has a separate reimbursement structure from the standard medical office under the Medicare and Medicaid programs.
90. **Sleep center:** Specially equipped and staffed center for the diagnosis and treatment of sleep disorders.
91. **Social work services:** Could include: organized services that are properly directed and sufficiently staffed by qualified individuals who provide assistance and counseling to patients and their families in dealing with social, emotional, and environmental problems associated with illness or disability, often in the context of financial or discharge planning coordination.
92. **Sports medicine:** Provision of diagnostic screening and assessment and clinical and rehabilitation services for the prevention and treatment of sports- related injuries.
93. **Substance use disorder services.**
- a. **Substance use disorder – pediatric services.** Provides diagnostic and therapeutic services to pediatric patients with alcoholism or other drug dependencies. Includes care for inpatient/residential treatment for patients whose course of treatment involves more intensive care than provided in an outpatient setting or where patients require supervised withdrawal. Please report staffed beds. The beds reported here should be included in the staffed bed count for 13 substance use disorder care.
  - b. **Substance use disorder outpatient.** Organized hospital services that provide medical care and/or rehabilitative treatment services to outpatients for whom the primary diagnosis is alcoholism or other chemical dependency.
  - c. **Substance use disorder partial hospitalization services.** Organized hospital services providing intensive day/evening outpatient services of three hour or more duration, distinguished from other outpatient visits of one hour
  - d. **Medication assisted treatment for Opioid Use Disorder.** Medication assisted treatment (MAT) is the use of medications, in combination with counseling and behavioral therapies, to provide a “whole-patient” approach to the treatment of substance use disorders. Medications used in MAT are approved by the Food and Drug Administration (FDA) and MAT programs are clinically driven and tailored to meet each patient’s needs.
  - e. **Medication assisted treatment for other substance use disorders.** Medication assisted treatment (MAT) is the use of medications, in combination with counseling and behavioral therapies, to provide a “whole-patient” approach to the treatment of substance use disorders. Medications used in MAT are approved by the Food and Drug Administration (FDA) and MAT programs are clinically driven and tailored to meet each patient’s needs.
94. **Support groups:** A hospital sponsored program that allows a group of individuals with the same or similar problems who meet periodically to share experiences, problems, and solutions in order to support each other.
95. **Swing bed services:** A hospital bed that can be used to provide either acute or long-term care depending on community or patient needs. To be eligible hospital must have a Medicare provider agreement in place, have fewer than 100 beds, be located in a rural area, do not have a 24-hour nursing service waiver in effect, have not been terminated from the program in the prior two years, and meet various service conditions.
96. **Teen outreach services:** A program focusing on the teenager which encourages an improved health status and a healthful lifestyle including physical, emotional, mental, social, spiritual and economic health through education, exercise, nutrition and health promotion.
97. **Tobacco treatment/cessation program:** Organized hospital services with the purpose of ending tobacco-use habits of patients addicted to tobacco/nicotine.
98. **Telehealth.** A broad variety of technologies and tactics to deliver virtual medical, public health, health education delivery and support services using telecommunications technologies. Telehealth is used more commonly as it describes the wide range of diagnosis and management, education, and other related fields of health care. This includes, but are not limited to: dentistry, counseling, physical and occupational therapy, home health, chronic disease monitoring and management, disaster management and consumer and professional education.
- b. **eICU.** An electronic intensive care unit (eICU), also referred to as a tele-ICU, is a form of telemedicine that uses state of the art technology to provide an additional layer of critical care service. The goal of an eICU is to optimize clinical experience and facilitate 24-hour a day care by ICU caregivers.
  - d. **Stroke care.** Stroke telemedicine is a consultative modality that facilitates the care of patients with acute stroke by specialists at stroke centers.
  - c. **Acute care management**
  - e. **Psychiatric and addiction treatment.** Telepsychiatry can involve a range of services including psychiatric evaluations, therapy, patient education, and medication management.
  - f. **Remote patient monitoring.** The use of digital technologies to collect medical and other forms of health data from individuals in one location and electronically transmit the information securely to health care providers in a different location for assessment and recommendation.
  - g. **Communication technology**
99. **Transplant services.** The branch of medicine that transfers an organ or tissue from one person to another or from one body part to another to replace a diseased structure or to restore function or to change appearance. Services could include: Bone marrow transplant program; heart, lung, kidney, intestine, or tissue transplant. Please include heart/lung or other multi-transplant surgeries in ‘other’.
100. **Transportation to health facilities: (non-emergency)** A long-term care support service designed to assist the mobility of the elderly. Some programs offer improved financial access by offering reduced rates and barrier-free buses or vans with ramps and lifts to assist the elderly or handicapped; others offer subsidies for public transport systems or operate mini-bus services exclusively for use by senior citizens.
101. **Urgent care center:** A facility that provides care and treatment for problems that are not life threatening but require attention over the short term.
102. **Violence Prevention**
- a. **Workplace.** A violence prevention program with goals and objectives for preventing workplace violence against staff and patients.

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## D. INSURANCE AND ALTERNATIVE PAYMENT MODELS

### INSURANCE

1. Does your hospital own or jointly own a health plan? YES  NO 
  - a. If yes, in what states? States: \_\_\_\_\_
2. Does your system own or jointly own a health plan? YES  NO 
  - a. If yes, in what states? States: \_\_\_\_\_
3. Does your hospital/system have a significant partnership with an insurer on an insurance company/health plan? YES  NO 
  - a. If yes, in what states? States: \_\_\_\_\_
4. If yes to 1, 2, and/or 3 above, please indicate the insurance products and the total medical enrollment (check all that apply)

Insurance Products	Hospital	System	JV	Medical enrollment	New Product	No	Do not know
a. Medicare Advantage	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Medicaid Managed Care	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Health Insurance Marketplace ("exchange")	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Other Individual Market	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Small Group	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Large Group	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Other _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If yes, to 4.g. Other Please specify:

*If you have answered 'no' to all parts of questions 1, 2, and 3, please skip to question 8.*

5. Does your health plan make capitated payments to physicians either within or outside of your network for specific groups or enrollees?
  - a. Physicians within your network YES  NO  DO NOT KNOW
  - b. Physicians outside your network YES  NO  DO NOT KNOW
  - c. If yes, which specialties? \_\_\_\_\_
6. Does your health plan make bundled payments to providers in your network or to outside providers?
  - a. Physicians within your network YES  NO  DO NOT KNOW
  - b. Physicians outside your network YES  NO  DO NOT KNOW
  - c. If yes, which specialties? \_\_\_\_\_
7. Does your health plan offer shared risk contracts to either providers in your network or to outside providers? (i.e. other than capitation or bundled payment.)
  - a. Physicians within your network YES  NO  DO NOT KNOW
  - b. Physicians outside your network YES  NO  DO NOT KNOW
  - c. If yes, which specialties? \_\_\_\_\_
8. Does your hospital or system fund the health benefits for your employees? YES  NO 
  - a. If yes, does the hospital or health system also administer the benefits (as opposed to contracting with a third party administrator)? YES  NO

### ALTERNATIVE PAYMENT MODELS

9. What percentage of your **hospital's** patient revenue is paid on a capitated basis? \_\_\_\_\_%
  - a. In total, how many enrollees do you serve under capitated contracts? \_\_\_\_\_ Total enrollees
10. Does your hospital participate in any bundled payment arrangements? YES  Did Previously but no longer doing so  NO 
  - a. If yes, with which of the following types of payers does your hospital have a bundled payment arrangement? (Select all that apply)
    1.  Traditional Medicare
    2.  A Medicare Advantage plane
    3.  A commercial insurance plan including ACA participants, individual, group or employer markets
    4.  Medicaid
  - b. For which of the following medical/surgical conditions does your hospital have a bundled payment arrangement? (Select all that apply)
    1.  Cardiovascular
    2.  Orthopedic
    3.  Oncologic
    4.  Neurology
    5.  Hematology
    6.  Gastrointestinal
    7.  Pulmonary
    8.  Infectious disease
    9.  Other (e.g. psychiatric) please specify \_\_\_\_\_
- c. What percentage of the **hospital's** patient revenue is paid through bundled payment arrangements? \_\_\_\_\_%

# 2021 American Hospital Association ANNUAL SURVEY

## Section C

### FACILITIES AND SERVICES

#### Definitions (continued)

- b. **Community.** An organized program that attempts to connect victims of violent crimes to hospital or to community services to prevent further victimization of the same person or retaliation against another. The program may refer to a wide range of services including individual and family counseling, support groups, parenting education, employment training, youth mentoring, anger management, crisis intervention, substance abuse treatment, outpatient psychiatry etc.
103. **Virtual colonoscopy:** Noninvasive screening procedure used to visualize, analyze and detect cancerous or potentially cancerous polyps in the colon.
104. **Volunteer services department:** An organized hospital department responsible for coordinating the services of volunteers working within the institution.
105. **Women's health center/services:** An area set aside for coordinated education and treatment services specifically for and promoted to women as provided by this special unit. Services may or may not include obstetrics but include a range of services other than OB.
106. **Wound management Services:** Services for patients with chronic wounds and non-healing wounds often resulting from diabetes, poor circulation, improper seating and immunocompromising conditions. The goals are to progress chronic wounds through stages of healing, reduce and eliminate infections, increase physical function to minimize complications from current wounds and prevent future chronic wounds. Wound management services are provided on an inpatient or outpatient basis, depending on the intensity of service needed.
107. **a-b.** Consultation-liaison psychiatrists, medical physicians, or advance practice providers (APPs) work to help people suffering from a combination of mental and physical illness by consulting with them and liaising with other members of their care team.  
**c.** To integrate behavioral health services, medical and behavioral health clinicians collaborate with each other and with patients and families to address health concerns identified during medical visits using a systematic and cost-effective approach to provide patient-centered care. Integrated behavioral health is found in primary care, hospitals, and specialty settings such as oncology, cardiology, neurology, pediatrics and rehabilitation. Behavioral health clinicians often work right in the medical setting, or, if not onsite, are thoroughly integrated into the established procedures team and information systems.
108. **a-b. Physician arrangements:** An integrated healthcare delivery program implementing physician compensation and incentive systems for managed care services. Please report the number of physician and ownership percentage for each arrangement.
1. **Independent practice association (IPA):** AN IPA is a legal entity that holds managed care contracts. The IPA then contracts with physicians, usually in solo practice, to provide care either on a fee-for-services or capitated basis. The purpose of an IPA is to assist solo physicians in obtaining managed care contracts.
  2. **Group practice without walls.** Hospital sponsors the formation of, or provides capital to physicians to establish, a "quasi" group to share administrative expenses while remaining independent practitioners.
  3. **Open physician-hospital organization (PHO):** A joint venture between the hospital and all members of the medical staff who wish to participate. The PHO can act as a unified agent in managed care contracting, own a managed care plan, own and operate ambulatory care centers or ancillary services projects, or provide administrative services to physician members.
  4. **Closed physician-hospital organization (PHO):** A PHO that restricts physician membership to those practitioners who meet criteria for cost effectiveness and/or high quality.
  5. **Management services organization (MSO):** A corporation, owned by the hospital or a physician/hospital joint venture that provides management services to one or more medical group practices. The MSO purchases the tangible assets of the practices and leases them back as part of a full-service management agreement, under which the MSO employs all non-physician staff and provides all supplies/administrative systems for a fee.
  6. **Integrated salary model:** Physicians are salaried by the hospital or another entity of a health system to provide medical services for primary care and specialty care.
  7. **Equity model:** Allows established practitioners to become shareholders in a professional corporation in exchange for tangible and intangible assets of their existing practices.
  8. **Foundation:** A corporation, organized either as a hospital affiliate or subsidiary, which purchases both the tangible and intangible assets of one or more medical group practices. Physicians remain in a separate corporate entity but sign a professional services agreement with the foundation.
108. **c-e.** Report the number of physicians and specialty breakdown for physician practices wholly owned by the hospital.
109. Of all physician arrangements listed in question 106a (1-8), indicate the total number of physicians (count each physician only once) that are engaged in an arrangement with your hospital that allows for joint contracting with payers or shared responsibility for financial risk or clinical performance between the hospital and physician (arrangement may be at the hospital, system or network level). *Joint contracting* does not include contracting between physicians participating in an independent practice.
110. **a-d. Joint venture.** A contractual arrangement between two or more parties forming an unincorporated business. The participants in the arrangement remain independent and separate outside of the ventures purpose.
114. **Ventilators.** Report both transport and regular ventilators. Count non-invasive ventilators that can be converted to invasive ventilators. For ventilators that can be used for both adult and pediatric patients, please report these as adult ventilators; do not report on both lines (i.e., pediatric ventilators can only be used for pediatric patients. The exception is pediatric hospitals – ventilators that can be used for both adult and pediatric patients should be reported on the pediatric lines for these hospitals.)

# 2021 American Hospital Association ANNUAL SURVEY

## D. INSURANCE AND ALTERNATIVE PAYMENT MODELS (continued)

11. Does your **hospital** participate in a bundled payment program involving care settings outside of the hospital (e.g., physician, outpatient, post-acute)? YES  NO
- a. If, yes, does your hospital share upside or downside risk for any of those outside providers? YES  NO
12. What percentage of your hospital's patient revenue is paid on a shared risk basis (other than capitated or bundled payment)? \_\_\_\_\_%
13. Does your **hospital** contract directly with employers or a coalition of employers to provide care on a capitated, predetermined, or shared risk basis? YES  NO
14. Does your **hospital** have contracts with commercial payors where payment is tied to performance on quality/safety metrics? YES  NO
- 15a. Has your hospital or health care system established an accountable care organization (ACO)?
- My hospital currently leads an ACO (Skip to question 15b)
  - My hospital currently participates in an ACO (but is not its leader) (Skip to question 17)
  - My hospital previously led or participated in an ACO but is no longer doing so (Skip to question 17)
  - My hospital has never participated or led an ACO (Skip to question 16)
- 15b. With which of the following types of payers does your hospital have an accountable care contact? (Select all that apply)
- Traditional Medicare (MSSP and NextGen) (Skip to 15c)
  - A Medicare Advantage plan (Skip to 15d)
  - A commercial insurance plan (including ACA participants, individual, group, and employer markets) (Skip to 15d)
  - Medicaid (Skip to 15d)
- 15c. If you selected Traditional Medicare, in which of the following Medicare programs is your hospital/system participating? (Check all that apply)
- MSSP BASIC Track, Level A
  - MSSP BASIC Track, Level B
  - MSSP BASIC Track, Level C
  - MSSP BASIC Track, Level D
  - MSSP BASIC Track, Level E
  - MSSP ENHANCED Track
  - Original MSSP program, Tracks 1, 1+, 2 or 3
  - Comprehensive ESRD Care
- 15d. What percentage of your hospital's patients are covered by accountable care contracts? \_\_\_\_\_%
- 15e. What percentage of your hospital's patient revenue came from ACO contracts in 2021? \_\_\_\_\_% (Skip to 17)
16. Has your hospital ever considered participating in an ACO?
- Yes, and we are planning to join on
  - Yes, but we are not planning to join one
  - No, we have not even considered it
17. Do any hospitals and/or physician groups within your system or the system itself, plan to participate in any of the following risk arrangements in the next three years? (Check all that apply)
- Shared Savings/Losses
  - Bundled payment
  - Capitation
  - ACO (Ownership)
  - ACO (Joint venture)
  - Health Plan (Ownership)
  - Health Plan (Joint venture)
  - Primary care transformation, including direct contracting
  - Other, please specify: \_\_\_\_\_
  - None
18. Does your hospital/system have an established medical home program?
- a. Hospital YES  NO       b. System YES  NO

# 2021 American Hospital Association ANNUAL SURVEY

## SECTION D INSURANCE AND ALTERNATIVE PAYMENT MODELS

### Definitions

#### 4. Insurance Products

- a. **Medicare Advantage.** Health Insurance program within Part C of Medicare. Medicare Advantage plans provide a managed health care plan (typically a health maintenance organization (HMO) but also often a preferred provider organization (PPO) or another type of managed care arrangement) that is paid based on a monthly capitated fee. This Part of Medicare provides beneficiaries an alternative to "Original Medicare" Parts A and B Medicare, which provides insurance for the same medical services but pays providers a fee for service (FFS) directly rather than through managed care plans.
  - b. **Medicaid Managed Care.** Services in through an arrangement between a state Medicaid agency and managed care organizations (MCOs) that accept a set payment – "capitation" – for these services.
  - c. **Health Insurance Marketplace.** Also called health exchanges, are organizations set up to facilitate the purchase of health insurance in each state in accordance with Patient Protection and Affordable Care Act. Marketplaces provide a set of government-regulated and standardized health care plans from which individuals may purchase health insurance policies eligible for federal subsidies.
  - d. **Other Individual Market.** Health insurance coverage offered to individuals other than in connection with a group health plan.
  - e. **Small Group.** A group health plan that covers employees of an employer that has less than 50 employees.
  - f. **Large Group.** A group health plan that covers employees of an employer that has 51 or more employees.
8. **Self-administered health plan.** A health plan in which the employer assumes the financial risk for providing health care benefits to its employees.
9. **Capitation.** An at-risk payment arrangement in which an organization receives a fixed prearranged payment and in turn guarantees to deliver or arrange all medically necessary care required by enrollees in the capitated plan. The fixed amount is specified within contractual agreements between the payer and the involved organization. The fixed payment amount is based on an actuarial assessment of the services required by enrollees and the costs of providing these services, recognizing enrollees' adjustment factors such as age, sex, and family size.
10. **Bundling.** Bundling is a payment mechanism whereby a provider entity receives a single payment for services provided across one or parts of the care continuum. For example, an entity might receive a single payment for the hospital and physician services provided as part of an inpatient stay or might receive a single payment for the post-acute care services involved in a single episode of care. The entity then has responsibility for compensating each of the individual providers involved in the episode of care.
12. **Shared risk payments.** A payment arrangement in which a hospital and a managed care organization share the risk of adverse claims experience. Methods for sharing risk could include: capitation with partial refunds or supplements if billed hospital charges or costs differ from capitated payments, and service or discharge-based payments with withholds and bonus payouts that depend on expenditure targets.
15. **Accountable Care Organization (ACO) Contract.** An ACO contract has two essential elements: (1) accountability for the total costs of care for the population of patients attributed to the primary care physicians in the organization; (2) financial incentives that link the magnitude of bonus payments to performance on quality measures (which could include technical quality, patient experience and/or health outcome measures) This will generally involve a contract where the payer establishes a target budget for one or more years for the total costs of care for the agreed-upon patient population, the payer tracks actual spending and performance on quality; and the provider receives bonus payments that could include a share of savings that are (or are not) contingent on meeting quality targets, with (or without) additional bonuses related to performance on those quality measures.
- 15c. **Traditional Medicare ACO Programs**
- MSSP.** Medicare Shared Savings Program. For fee-for-service beneficiaries. The Shared Savings Program has different tracks that allow ACOs to select an arrangement that makes the most sense for their organization. **NextGen.** The Next Generation ACO Model is an initiative for ACOs that are experienced in coordinating care for populations of patients. It allows these provider groups to assume higher levels of financial risk and reward. **Comprehensive ESRD Care.** The model is designed to identify, test, and evaluate new ways to improve care for Medicare beneficiaries with End-Stage Renal Disease (ESRD.)
18. **Patient-Centered Medical Home.** The medical home concept refers to the provision of comprehensive primary care services that facilitates communication and shared decision-making between the patient, his/her primary care providers, other providers, and the patient's family.

# 2021 American Hospital Association ANNUAL SURVEY

## E. TOTAL FACILITY BEDS, UTILIZATION, FINANCES, AND STAFFING

Please report beds, utilization, financial, and staffing data for the 12-month period that is consistent with the period reported on page 3. Report financial data for reporting period only. Include within your operations all activities that are wholly owned by the hospital, including subsidiary corporations regardless of where the activity is physically located. Please do not include within your operations distinct and separate divisions that may be owned by your hospital's parent corporation. If final figures are not available, please estimate. Round to the nearest dollar. Report all personnel who were on the payroll and whose payroll expenses are reported in E3f. (Please refer to specific definitions on pages 24.)

Fill out column (2) if hospital owns and operates a nursing home type unit/facility.  
 Column (1) should be the combined total of hospital plus Nursing Home Unit/Facility.

	<b>(1)</b>	<b>(2)</b>
	<b>Total Facility</b>	<b>Nursing Home Unit/Facility</b>

### 1. BEDS AND UTILIZATION

- a. Total licensed beds.....
- b. Beds set up and staffed for use at the end of the reporting period .....  
 (Do not report licensed beds; should match Total # Beds on page 5.)
- c. Bassinets set up and staffed for use at the end of the reporting period.....
- d. Births (exclude fetal deaths) .....
- e. Admissions (exclude newborns; include neonatal & swing admissions).....
- f. Inpatient days (exclude newborns; include neonatal & swing days).....
- g. Emergency department visits .....
- h. Total outpatient visits (include emergency visits & outpatient surgeries) .....
- i. Inpatient surgical operations .....
- j. Number of operating rooms .....
- k. Outpatient surgical operations .....

### 2. MEDICARE/MEDICAID UTILIZATION (exclude newborns; include neonatal, swing days & deaths)

- a1. Total Medicare (Title XVIII) inpatient discharges (including Medicare Managed Care)
- a2. How many Medicare inpatient discharges were Medicare Managed Care.....
- b1. Total Medicare (Title XVIII) inpatient days (including Medicare Managed Care) .....
- b2. How many Medicare inpatient days were Medicare Managed Care .....
- c1. Total Medicaid (Title XIX) inpatient discharges (including Medicaid Managed Care) .....
- c2. How many Medicaid inpatient discharges were Medicaid Managed Care .....
- d1. Total Medicaid (Title XIX) inpatient days (including Medicaid Managed Care) .....
- d2. How many Medicaid inpatient days were Medicaid Managed Care .....

### 3. FINANCIAL

*a. Net patient revenue ( <b>treat bad debt as a deduction from revenue</b> ).....	.00	.00
*b. Tax appropriations.....	.00	
*c. Other operating revenue .....	.00	
*d. Non-operating revenue.....	.00	
<b>*e. TOTAL REVENUE (add 3a thru 3d) .....</b>	<b>.00</b>	<b>.00</b>
f. PAYROLL EXPENSES (only).....	.00	.00
g. Employee benefits.....	.00	.00
h. Depreciation expense (for reporting period only) .....	.00	
i. Interest expense.....	.00	
j. Pharmacy Expense.....	.00	
k. Supply expense (other than pharmacy) .....	.00	
l. All other expenses.....	.00	
<b>m. TOTAL EXPENSES (add 3f thru 3l. Exclude bad debt) .....</b>	<b>.00</b>	<b>.00</b>
n. Do your total expenses (E3.m) reflect full allocation from your corporate office?....	YES <input type="checkbox"/>	NO <input type="checkbox"/>

### 4. REVENUE BY TYPE

a. Total gross inpatient revenue.....	_____	.00
b. Total gross outpatient revenue.....	_____	.00
c. <b>Total gross patient revenue</b> (4a + 4b).....	_____	<b>.00</b>



# 2021 American Hospital Association ANNUAL SURVEY

## SECTION E TOTAL FACILITY BEDS, UTILIZATION, FINANCES, AND STAFFING Instructions and Definitions

For the purposes of this survey, nursing home type unit/facility provides **long-term care for the elderly or other patients requiring chronic care** in a non-acute setting in any of the following categories: \*Skilled nursing care \*Intermediate care \*Other Long-term Care (\*see page 6 definitions.) The nursing home type units/facilities are to be owned and operated by the hospital. Only one legal entity may be vested with title to the physical property or operate under the authority of a duly executed lease of the physical property.

1. a. **Total licensed beds** is the total number of beds authorized by the state licensing (certifying agency).
- b. **Beds set up and staffed.** Report the number of beds regularly available (those set up and staffed for use) at the end of the reporting period. Report only operating beds, not constructed bed capacity. Include all bed facilities that are set up and staffed for use by inpatients that have no other bed facilities, such as pediatric bassinets, isolation units and quiet rooms. Exclude newborn bassinets and bed facilities for patients receiving special procedures for a portion of their stay and who have other bed facilities assigned to or reserved for them. Exclude, for example, labor room, post anesthesia, or postoperative recovery room beds, psychiatric holding beds, observation beds, and beds that are used only as holding facilities for patients prior to their transfer to another hospital.
- c. **Bassinets set up and staffed.** Report the number of normal newborn bassinets. Do not include neonatal intensive care or intermediate care bassinets. These should be reported on page 3, C6 and C7 and included in E1b. beds set up and staffed.
- d. **Births.** Total births should exclude fetal deaths.
- e. **Admissions.** Include the number of adult and pediatric admissions only (exclude births). This figure should include all patients admitted during the reporting period, including neonatal and swing admissions.
- f. **Inpatient days.** Report the number of adult and pediatric days of care rendered during the entire reporting period. Do not include days of care rendered for normal infants born in the hospital, but do include those for their mothers. Include days of care for infants born in the hospital and transferred into a neonatal care unit. Also include swing bed inpatient days. Inpatient day of care (also commonly referred to as a patient day or a census day, or by some federal hospitals as an occupied bed day) is a period of service between the census-taking hours on two successive calendar days, the day of discharge being counted only when the patient was admitted the same day.
- g. **Emergency department visits** should reflect the number of visits to the emergency unit. Emergency outpatients can be admitted to the inpatient areas of the hospital, but they are still counted as emergency visits and subsequently as inpatient admissions.
- h. **Total outpatient visits.** A visit by a patient who is not lodged in the hospital while receiving medical, dental, or other services. Each appearance of an outpatient in each unit constitutes one visit regardless of the number of diagnostic and/or therapeutic treatments that the patient receives. Total outpatient visits should include all clinic visits, referred visits, observation services, outpatient surgeries (also reported on line E1k), home health service visits, telehealth visits and emergency department visits (also reported on line E1g).

**Clinic** visits should reflect total number of visits to each specialized medical unit that is responsible for the diagnosis and treatment of patients on an outpatient, nonemergency basis. (e.g., alcoholism, dental, gynecology.) Visits to the satellite clinics and primary group practices should be included if revenue is received by the hospital.

**Referred** visits should reflect total number of outpatient ancillary visits to each specialty unit of the hospital established for providing technical aid used in the diagnosis and treatment of patients. Examples of such units are diagnostic radiology, EKG, and pharmacy.

**Observation** services are those services furnished on a hospital's premises, including use of a bed and periodic monitoring by a hospital's nursing or other staff, which are reasonable and necessary to evaluate an outpatient's condition or determine the need for a possible admission to the hospital as an inpatient. Observation services usually do not exceed 24 hours; however, there is no hourly limit on the extent to which they may be used.

**Home health service** visits are visits by home health personnel to a patient's residence.

**Telehealth** visits are synchronous visits between a patient and provider that are not co-located through the use of two-way, interactive, real-time audio and/or video communication.
- i. **Inpatient surgical operations.** Count each patient undergoing surgery as one surgical operation regardless of the number of surgical procedures that were performed while the patient was in the operating or procedure room.
- j. **Operating room:** A unit/room of a hospital or other health care facility in which surgical procedures requiring anesthesia are performed.
- k. **Outpatient surgical operation** For outpatient surgical operations, please record operations performed on patients who do not remain in the hospital overnight. Include all operations whether performed in the inpatient operating rooms or in procedure rooms located in an outpatient facility. Include an endoscopy only when used as an operative tool and not when used for diagnosis alone. Count each patient undergoing surgery as one surgical operation regardless of the number of surgical procedures that were performed while the patient was in the operating or procedure room.

**Clinic** visits should reflect total number of visits to each specialized medical unit that is responsible for the diagnosis and treatment of patients on an outpatient, nonemergency basis (i.e., alcoholism, dental, gynecology, etc.). Visits to the satellite clinics and primary group practices should be included if revenue is received by the hospital.

**Referred** visits should reflect total number of outpatient ancillary visits to each specialty unit of the hospital established for providing technical aid used in the diagnosis and treatment of patients. Examples of such units are diagnostic radiology, EKG, pharmacy, etc.

**Observation** services are those services furnished on a hospital's premises, including use of a bed and periodic monitoring by a hospital's nursing or other staff, which are reasonable and necessary to evaluate an outpatients condition or determine the need for a possible admission to the hospital as an inpatient. Observation services usually do not exceed 24 hours. However, there is no hourly limit on the extent to which they may be used.

# 2021 American Hospital Association ANNUAL SURVEY

## E. TOTAL FACILITY BEDS, UTILIZATION, FINANCES, AND STAFFING (continued)

### 5. UNCOMPENSATED CARE AND PROVIDER TAXES

- \*a. Bad debt (revenue forgone at full established rates. Include in gross revenue.) \_\_\_\_\_ .00  
 1. Are you able to distinguish bad debt derived from patients with or without insurance? ..... YES  NO   
 2. If yes, how much is from patients with insurance? ..... \_\_\_\_\_ .00  
 \*b. Financial Assistance (Includes charity care) (Revenue forgone at full established rates. Include gross revenue.) ..... \_\_\_\_\_ .00  
 \*c. Is your bad debt (5a) reported on the basis of full charges? ..... YES  NO   
 \*d. Does your state have a provider Medicaid tax/assessment program ..... YES  NO   
 \*e. If yes, please report the total gross amount paid into the program ..... \_\_\_\_\_ .00  
 \*f. Due to different accounting standards please indicate whether the provider tax/assessment is included in:  
 1. Total expenses ..... YES  NO   
 2. Deductions from net patient revenue ..... YES  NO

### 6. REVENUE BY PAYOR (report total facility gross and net figures)

	(1) Gross	(2) Net
*a. GOVERNMENT (1) Medicare:		
a) Fee for service patient revenue .....	.00	.00
b) Managed care revenue .....	.00	.00
<b>c) Total (a+b).....</b>	<b>.00</b>	<b>.00</b>
(2) Medicaid:		
a) Fee for service patient revenue .....	.00	.00
b) Managed care revenue .....	.00	.00
c) Medicaid Graduate Medical Education (GME) payments.....		.00
d) Medicaid Disproportionate Share Hospital Payments (DSH)		.00
e) Medicaid supplemental payments: not including Medicaid Disproportionate Share Hospital Payments (DSH) (include Total Uncompensated Care Payments).....		.00
f) Other Medicaid (Include DSRIP) .....		.00
<b>g) Total (a+b+c+d+e+f) .....</b>	<b>.00</b>	<b>.00</b>
(3) Other Government .....	.00	.00
*b. NONGOVERNMENT (1) Self-pay .....	.00	.00
(2) Third-party payors:		
a) Managed care (includes HMO and PPO) .....	.00	.00
b) Other third-party payors .....	.00	.00
<b>c) Total Third Party payors (a+b) .....</b>	<b>.00</b>	<b>.00</b>
(3) All Other nongovernment .....	.00	.00
<b>*c. TOTAL.....</b>	<b>.00</b>	<b>.00</b>

(Total gross should equal 4c on page 25. Total net should equal 3a on page 23.)

- d. If you report Medicaid Supplemental Payments on line 6.a(2)e, please break the payment total into inpatient and outpatient care:  
 Medicaid supplemental payments (inpatient) \$ \_\_\_\_\_ .00 Medicaid supplemental payments (outpatient) \$ \_\_\_\_\_ .00
- e. If you are a government owned facility (control codes 12-16), does your facility participate in the Medicaid intergovernmental transfer or certified public expenditures program? YES  NO
- f. If yes, please report gross and net revenue: Gross \$ \_\_\_\_\_ .00 Net \$ \_\_\_\_\_ .00
- g. Are the financial data on pages 23 and 25 from your audited financial statement? ..... YES  NO
- h. Is there any reason why you cannot enter revenue by payer? ..... YES  NO

### 7. COVID RELIEF FUNDS

Include all funds received from federal and state governments for COVID relief, such as CARES Act Provider Relief Fund payments. Do not include any funds that constitute a loan and may be on the balance sheet as a liability.

a. Provider/COVID Relief Funds recognized as revenue in 2021 \_\_\_\_\_ .00

# 2021 American Hospital Association ANNUAL SURVEY

## Section E TOTAL FACILITY BEDS, UTILIZATION, FINANCES, AND STAFFING Definitions (continued)

- 2a2. **Managed Care Medicare Discharges:** A discharge day where a Medicare Managed Care Plan is the source of payment.
- 2b2. **Managed Care Medicare Inpatient Days:** An inpatient day where a Medicare Managed Care Plan is the source of payment.
- 2c2. **Managed Care Medicaid Discharges:** A discharge day where a Medicaid Managed Care Plan is the source of payment.
- 2d2. **Managed Care Medicaid Inpatient Days:** An inpatient day where a Medicaid Managed Care Plan is the source of payment.
- 3a. **Net patient revenue:** Reported at the estimated net realizable amounts from patients, third-party payors, and others for services rendered, including estimated retroactive adjustments under reimbursement agreements with third-party payors. Retroactive adjustments are accrued on an estimated basis in the period the related services are rendered and adjusted in future periods, as final settlements are determined.
- 3b. **Tax appropriations:** A predetermined amount set aside by the government from its taxing authority to support the operation of the hospital.
- 3c. **Other operating revenue:** Revenue from services other than health care provided to patients, as well as sales and services to non-patients. Revenue that arises from the normal day-to-day operations from services other than health care provided to patients. Includes sales and services to non-patients, and revenue from miscellaneous sources (rental of hospital space, sale of cafeteria meals, gift shop sales). Also include operating gains in this category.
- 3d. **Non-operating revenue:** Includes investment income, extraordinary gains and other non-operating gains.
- 3e. **Total revenue:** Add net patient revenue, tax appropriations, other operating revenue and non-operating revenue.
- 3f. **Payroll expenses:** Include payroll for all personnel including medical and dental residents/interns and trainees.
- 3g. **Employee benefits:** Includes social security, group insurance, retirement benefits, workman's compensation, unemployment insurance, etc.
- 3h. **Depreciation expense (for reporting period only)** report only the depreciation expense applicable to the reporting period. The amount should also be included in accumulated depreciation (E9b).
- 3i. **Interest expense:** Report interest expense for the reporting period only.
- 3j. **Pharmacy Expense:** Includes the cost of drugs and pharmacy supplies requested to patient care departments and drugs charged to patients.
- 3k. **Supply expense:** The net cost of all tangible items that are expensed including freight, standard distribution cost, and sales and use tax minus rebates. This would exclude labor, labor-related expenses and services as well as some tangible items that are frequently provided as part of labor costs.
- 3l. **All other expenses:** Any total facility expenses not included in 3f-3k.
- 3m. **Total expenses: Add 3f-3l.** Includes all payroll and non-payroll expenses as well as any non-operating losses (including extraordinary losses). **Treat bad debt as a deduction from gross patient revenue and not as an expense.**
- 4a. **Total gross inpatient revenue:** The hospitals full-established rates (charges) for all services rendered to inpatients.
- 4b. **Total gross outpatient revenue:** The hospitals full-established rates (charges) for all services rendered to outpatients.
- 4c. **Total gross patient revenue:** Total gross patient revenue (add total gross inpatient revenue and total gross outpatient revenue)
5. **Uncompensated care:** Care for which no payment is expected or no charge is made. It is the sum of bad debt and charity care absorbed by a hospital or other health care organization in providing medical care for patients who are uninsured or are unable to pay.
- 5a. **Bad debt: The provision for actual or expected uncollectibles resulting from the extension of credit. Report as a deduction from revenue.**
- 5c. **Financial Assistance (Includes Charity care).** Financial assistance and charity care refer to health services provided free of charge or at reduced rates to individuals who meet certain financial criteria. For purposes of this survey, charity care is measured on the basis of revenue forgone, at full-established rates.
- 5e. **Medicaid Provider Tax, Fee or Assessment:** Dollars paid as a result of a state law that authorizes collecting revenue from specified categories of providers. Federal matching funds may be received for the revenue collected from providers and some or all of the revenues may be returned directly or indirectly back to providers in the form of a Medicaid payment.
6. **REVENUE BY PAYOR**
- 6a1. **Medicare:** Should agree with the Medicare utilization reported in questions E2b1-E2b2.
- 6a1a. **Fee for service patient revenue:** Include traditional Medicare fee-for-service.
- 6a1c. **Total:** Medicare revenue (add Medicare fee for service patient revenue and Medicare managed care revenue).
- 6a2. **Medicaid:** Should agree with Medicaid utilization reported in questions E2c1-E2d2.
- 6a2a. **Fee for service patient revenue:** Do not include Medicaid disproportionate payments (DSH) or Medicaid supplemental payments.
- 6a2c. **Medicaid Graduate Medical Education (GME) payments.** Payments for the cost of approved graduate medical education (GME) programs. Report in 'net' column only.
- 6a2d. **Medicaid disproportionate share payments (DSH):** DSH minus associated provider taxes or assessments. Report in 'Net' column only.
- 6a2e. **Medicaid supplemental payments:** Supplemental payments the Medicaid program pays the hospital that are NOT Medicaid DSH, minus associated provider taxes or assessments. Report in 'Net' column only.
- 6a2f. **Other Medicaid:** Any Medicaid payments such as DSRIP payments that are not included in 6a2a-e. Report in 'Net' column only.
- 6e. **Medicaid Intergovernmental Transfers (IGT) or certified public expenditure program.** Exchange of public funds between different levels of government (e.g., county, city, or another state agency) to the state Medicaid agency.
7. **COVID RELIEF FUNDS.** Include all funds received from federal and state government for COVID relief, such as CARES Act Provider Relief Fund payments. Do not include any funds that constitute a loan and may be on the balance sheet as a liability.

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**E. TOTAL FACILITY BEDS, UTILIZATION, FINANCES, AND STAFFING (continued)**

- b. On which survey line did you report this revenue? Yes  No 
  - 1. Net patient revenue Yes  No
  - 2. Other operating revenue Yes  No
  - 3. Nonoperating revenue
- c. Provider/COVID Relief Funds\* recognized as revenue in 2021 \_\_\_\_\_ .00  
 (please do not include these dollars in any of the lines above 7a.)
- d. Did you include these funds as revenue on the **2020** survey? Yes  No
- e. If yes, on which survey line did you report this revenue. Yes  No 
  - 1. Net patient revenue Yes  No
  - 2. Other operating revenue Yes  No
  - 3. Nonoperating revenue Yes  No

**8. FINANCIAL PERFORMANCE – MARGIN**

- \*a. Total Margin \_\_\_\_\_ %
- \*b. Operating Margin \_\_\_\_\_ %
- \*c. EBITDA Margin \_\_\_\_\_ %
- \*d. Medicare Margin \_\_\_\_\_ %
- \*e. Medicaid Margin \_\_\_\_\_ %

**9. FIXED ASSETS**

- a. Property, plant and equipment at cost..... \_\_\_\_\_ .00
- b. Accumulated depreciation..... \_\_\_\_\_ .00
- c. Net property, plant and equipment (a-b) ..... \_\_\_\_\_ .00
- d. Total gross square feet of your physical plant used for or in support of your healthcare activities ..... \_\_\_\_\_ .00

**10. TOTAL CAPITAL EXPENSES**

Include all expenses used to acquire assets, including buildings, remodeling projects, equipment or property. \_\_\_\_\_ .00

**11. INFORMATION TECHNOLOGY AND CYBERSECURITY**

- \*a. IT operating expense ..... \_\_\_\_\_ .00
- \*b. IT capital expense ..... \_\_\_\_\_ .00
- \*c. Number of employed IT staff (in FTEs)..... \_\_\_\_\_
- \*d. Number of outsourced IT staff (in FTEs)..... \_\_\_\_\_
- \*e. What percentage of your IT budget is spent on security?..... \_\_\_\_\_ %
- \*f. Which of the following cybersecurity measures does your hospital or health system currently deploy? (check all that apply.)
  - 1.  Annual risk assessment
  - 2.  Incident response plan
  - 3.  Intrusion detection systems
  - 4.  Mobile devices encryption
  - 5.  Mobile device data wiping
  - 6.  Penetration testing to identify security vulnerabilities
  - 7.  Strong password requirements
  - 8.  Two-factor authentication
- \*g. Does your hospital or health system board oversight of risk management and reduction specifically include consideration of cybersecurity risk? YES  NO
- \*h. Does your hospital or health system have cybersecurity insurance? YES  NO
- \*i. Is your hospital or health system participating in cybersecurity information-sharing activities with an outside information sharing and analysis organization to identify threats and vulnerabilities? YES  NO

Are the financial data on pages 23-27 from your audited financial statement? YES  NO

**Section E  
TOTAL FACILITY BEDS, UTILIZATION, FINANCES, AND STAFFING  
(definitions continued)**

**8. FINANCIAL PERFORMANCE – MARGIN**

- 8a. **Total Margin:** Total income over total revenue. Nonoperating income is included in revenue in the total margin.
- 8b. **Operating Margin:** Measure of profit per dollar of revenue calculated by dividing net operating income by operating revenues.

## 2021 American Hospital Association ANNUAL SURVEY

- 8c. **EBITDA Margin:** Earnings before interest, tax depreciation and amortization (EBITDA) divided by total revenue.
- 8d. **Medicare Margin:** (Medicare revenue-Medicare expenses)/Medicare revenue. Medicare revenue = Patient revenue received from the Medicare program including traditional Medicare, Medicare Advantage, and any ACO, Bundled Payment, or other pilot program (net of disallowances) Medicare expenses = Cost of patient care for Medicare beneficiaries in traditional Medicare, Medicare Advantage and any ACO, Bundled Payment, or other pilot program. If actual costs cannot be obtained, use cost-to-charge ratios to estimate based on Medicare charges.
- 8e. **Medicaid Margin:** (Medicaid revenue-Medicaid expenses)/Medicaid revenue. Medicaid revenue = Patient revenue received from the Medicaid program including traditional Medicaid, Medicaid Managed Care, and any ACO, Bundled Payment, or other pilot program (net of disallowances) Medicaid expenses = Cost of patient care for Medicaid beneficiaries in traditional Medicaid, Medicaid Managed Care and any ACO, Bundled Payment, or other pilot program. If actual costs cannot be obtained, use cost-to-charge ratios to estimate based on Medicaid charges.
9. **Fixed Assets:** Represent land and physical properties that are consumed or used in the creation of economic activity by the health care entity. The historical or acquisition costs are used in recording fixed assets. Net plant, property, and equipment represent the original costs of these items less accumulated depreciation and amortization.
11. **Information Technology.**
- IT Operating expense:** Exclude department depreciation and operating dollars paid against capital leases.
  - IT Capital expense:** Include IT capital expense for the current year only. Any capital expense that is carried forward from the previous year should be excluded from this figure. Include IT related capital included in the budget of other departments. (i.e. lab, radiology, etc., if known or can be reasonably estimated.) Include the total value of capital leases to be signed in the current year.
  - Number of Employed IT staff (in FTEs):** Number of full-time equivalent (FTE) staff employed in the IT department/ organization and on the hospital payroll.
  - Total number of outsourced IT staff:** (i.e. contracted staff).
  - Cybersecurity.** Measures taken to protect against the criminal or unauthorized use of electronic data.

### STAFFING

12. **Full-Time Equivalent (FTE):** the total number of hours worked (excluding all non-worked hours such as PTO, etc.) by all employees over the full 12 month reporting period divided by the normal number of hours worked by a full-time employee for that same time period. For example, if your hospital considers a normal workweek for a full-time employee to be 40 hours, a total of 2,080 would be worked over a full year (52 weeks). If the total number of hours worked by all employees on the payroll is 208,000, then the number of Full-Time Equivalents (FTE) is 100 (employees). The FTE calculation for a specific occupational category such as registered nurses is exactly the same. The calculation for each occupational category should be based on the number of hours worked by staff employed in that specific category.
- Physicians and dentists:** Include only those physicians and dentists engaged in clinical practice and on the payroll. Those who hold administrative positions should be reported in "All other personnel" (12n).
  - Other trainees:** A trainee is a person who has not completed the necessary requirements for certification or met the qualifications required for full salary under a related occupational category. Exclude medical and dental residents/interns who should be reported (12c-d).
  - Registered nurses:** Nurses who have graduated from approved schools of nursing and who are currently registered by the state. They are responsible for the nature and quality of all nursing care that patients receive. Do not include any registered nurses more appropriately reported in other occupational categories, such as facility administrators, and therefore listed under "All other personnel" (12n).
  - Licensed practical (vocational) nurses:** Nurses who have graduated from an approved school of practical (vocational) nursing who work under the supervision of registered nurses and/or physicians.
  - Nursing assistive personnel:** Certified nursing assistant or equivalent unlicensed staff who assist registered nurses in providing patient care related services as assigned by and under the supervision of a registered nurse.
  - Radiology Technicians:** Technical positions in imaging fields, including, but not limited to, radiology, sonography, nuclear medicine, radiation therapy, CT, MRI.
  - Laboratory professional/technical:** Professional and technical positions in all areas of the laboratory, including, but not limited to, histology, phlebotomy, microbiology, pathology, chemistry, etc.
  - Pharmacists, licensed:** Persons licensed within the state who are concerned with the preparation and distribution of medicinal products.
  - Pharmacy technicians:** Persons who assist the pharmacist with selected activities, including medication profile reviews for drug incompatibilities, typing labels and prescription packaging, handling of purchase records and inventory control.
  - All other personnel:** This should include all other personnel not already accounted for in other categories.
  - Respiratory Therapists. An allied health professional who specializes in scientific knowledge and theory of clinical problems of respiratory care. Duties include the collection and evaluation of patient data to determine an appropriate care plan, selection and assembly of equipment, conduction of therapeutic procedures, and modification of prescribed plans to achieve one or more specific objectives.
  - Total facility personnel:** Add 12a-12n. This line is to include the total facility personnel - hospital plus nursing home type unit/facility personnel (for those hospitals that own and operate a nursing home type unit/facility).
  - p-q. **Nursing home type unit/facility personnel:** These lines should be filled out only by hospitals that own and operate a nursing home type unit/ facility, where only one legal entity is vested with title to the physical property or operates under the authority of a duly executed lease of the physical property. If nursing home type unit/facility personnel are reported on the total facility personnel line (12a-12n), but cannot be broken out, please write "cannot break out" on this line.

## 2021 American Hospital Association ANNUAL SURVEY

### 12. STAFFING

Report full-time (35 hours or more) and part-time (less than 35 hours) personnel who were on the hospital/facility **payroll at the end of your reporting period**. Include members of religious orders for whom dollar equivalents were reported. Exclude private-duty nurses, volunteers, and all personnel whose salary is financed entirely by outside research grants. Exclude physicians and dentists who are paid on a fee basis. FTE is the total number of hours worked (excluding non-worked hours such as PTO, etc.) by all employees over the full (12 month) reporting period divided by the normal number of hours worked by a full-time employee for that same time period. For example, if your hospital considers a normal workweek for a full-time employee to be 40 hours, a total of 2,080 would be worked over a full year (52 weeks). If the total number of hours worked by all employees on the payroll is 208,000, then the number of Full-Time Equivalents (FTE) is 100 (employees). The FTE calculation for a specific occupational category such as Registered nurses is exactly the same. The calculation for each occupational category should be based on the number of hours worked by staff employed in that specific category.

For each occupational category, please report the number of staff vacancies as of the last day of your reporting period. A vacancy is defined as a budgeted staff position which is unfilled as of the last day of the reporting period and for which the hospital is actively seeking either a full-time or part-time permanent replacement. Personnel who work in more than one area should be included only in the category of their primary responsibility and should be counted only once.

	(1) Full-Time (35 hr/wk or more) On payroll (Headcount)	(2) Part-Time (less than 35 hr/wk) On Payroll (Headcount)	(3) FTE	(4) Vacancies (Headcount)
a. Physicians.....	_____	_____	_____	_____
b. Dentists.....	_____	_____	_____	_____
c. Medical Residents/Interns.....	_____	_____	_____	_____
d. Dental Residents/Interns .....	_____	_____	_____	_____
e. Other Trainees	_____	_____	_____	_____
f. Registered Nurses .....	_____	_____	_____	_____
g. Licensed Practical (Vocational) Nurses .....	_____	_____	_____	_____
h. Nursing Assistive Personnel .....	_____	_____	_____	_____
i. Radiology Technicians .....	_____	_____	_____	_____
j. Laboratory Technicians.....	_____	_____	_____	_____
k. Pharmacists, Licensed .....	_____	_____	_____	_____
l. Pharmacy Technicians .....	_____	_____	_____	_____
m. Respiratory Therapists.....	_____	_____	_____	_____
n. All Other Personnel .....	_____	_____	_____	_____
o. <b>Total facility personnel</b> (add 12a through 12n) ..	_____	_____	_____	_____
(Total facility personnel should include hospital and nursing home type unit/facility personnel, if applicable. Nursing home type unit/facility personnel should be reported in 12p and 12q.)				
p. Nursing home type unit/facility Registered Nurses	_____	_____	_____	_____
q. <b>Total nursing home type unit/facility personnel</b>	_____	_____	_____	_____
r. For your employed RNs FTES reported above (E.12.f, column 3), please report the number of full time equivalents who are involved in direct patient care. _____ Number of patient care FTES				
s. For your medical residents/interns reported above (E.12.c, column 1), please report the number of full time on payroll:				
	<b>Full-Time (35 hr/wk or more) On Payroll</b>			
1. Primary care (general practitioner, general internal medicine, family practice, general pediatrics, geriatrics)	_____			
2. Other Specialties	_____			

# 2021 American Hospital Association ANNUAL SURVEY

## Section E TOTAL FACILITY BEDS, UTILIZATION, FINANCES, AND STAFFING Definitions (continued)

- r. **Direct patient care RN:** Registered nurses providing care directly to patients. Direct patient care responsibilities are patient-centered nursing activities carried out in the presence of the patient (such as admission, transfer/discharge, patient teaching, patient communication, treatments, counseling, and administration of medication).
13. **Privileged Physicians:** Report the total number of physicians (by type) on the medical staff with privileges except those with courtesy, honorary and provisional privileges. Do not include residents or interns.
- Employed by your hospital:** Physicians that are either direct hospital employees or employees of a hospital subsidiary corporation. Physicians that are employed for non-clinical services (administrative services, medical director services, etc.) should be excluded.
- Individual contract:** An independent physician under a formal contract to provide services at your hospital including at outpatient facilities, clinics and offices. Physicians that are contracted only for non-clinical services (administrative services, medical director services, etc.) should be excluded.
- Group contract:** A physician that is part of a group (group practice, faculty practice plan or medical foundation) under a formal contract to provide services at your hospital including at inpatient and outpatient facilities, clinics and offices. Physicians that are contracted only for non-clinical services (administrative services, medical director services, etc.) should be excluded.
- Not employed or under contract:** Other physicians with privileges that have no employment or contractual relationship with the hospital to provide services.
- The sum of the physicians reported in 13a-13q should equal the total number of privileged physicians in the hospital.**
- a. **Primary care:** A physician that provides primary care services including general practice, general internal medicine, family practice, general pediatrics, obstetrics/gynecology and geriatrics.
- b. **Obstetrics/gynecology**
- c. **Emergency medicine:** Physicians who provide care in the emergency department.
- d. **Hospitalist:** Physician whose primary professional focus is the care of hospitalized medical patients (through clinical, education, administrative and research activity).
- e. **Intensivist:** A physician with special training to work with critically ill patients. Intensivists generally provided medical-surgical, cardiac, neonatal, pediatric and other types of intensive care.
- f. **Radiologist/pathologist/anesthesiologist:** A physician who has specialized training in imaging, including but not limited to radiology, sonography, nuclear medicine, radiation therapy, CT, MRI. **Pathologist:** A physician who examines samples of body tissues for diagnostic purposes. **Anesthesiologist:** A physician who specializes in administering medications or other agents that prevent or relieve pain, especially during surgery.
- g. **Other specialist:** Other physicians (not included above) that specialize in a specific type of medical care.
16. **Advanced Practice Registered Nurses:** Registered nurses with advanced didactic and clinical education, knowledge, skills, and scope of practice. Includes: **Physician assistant:** A healthcare professional licensed to practice medicine with supervision of a licensed physician. **Nurse practitioner:** A registered nurse with at least a master's degree in nursing and advanced education in primary care, capable of independent practice in a variety of settings. **Certified Registered Nurse anesthetist.** An advanced practice registered nurse who is certified to administer anesthesia to patients typically during surgical, diagnostic, or obstetric procedures. **Clinical nurse specialist (CNS):** A registered nurse who, through a formal graduate degree (masters or doctorate) CNS education program, is prepared as CNS with expertise in a specialty area of nursing practice. CNSs are clinical experts in the diagnosis and treatment of illness, and the delivery of evidence-based nursing interventions.
- 16c. **Primary care:** Medical services including general practice, general internal medicine, family practice, general pediatrics, obstetrics/gynecology.
- Emergency department care:** The provision of unscheduled outpatient services to patients whose conditions require immediate care in the emergency department setting.
- Other Specialty care:** A clinic that provides specialized medical care beyond the scope of primary care.
- Patient education:** Goals and objectives for the patient and/or family related to therapeutic regimens, medical procedures and self-care.
- Case management:** A system of assessment, treatment planning, referral and follow-up that ensures the provision of comprehensive and continuous services and the coordination of payment and reimbursement for care.
- Other:** (Specify) Any type of care other than those listed above.
17. **Foreign-educated nurses:** Individuals who are foreign born and received basic nursing education in a foreign country. In general, many of these nurses come to the US on employment-based visas which allow them to obtain a green card.

## 2021 American Hospital Association ANNUAL SURVEY

### E. TOTAL FACILITY BEDS, UTILIZATION, FINANCES, AND STAFFING (continued)

#### 13. PRIVILEGED PHYSICIANS

Report the total number of physicians with privileges at your hospital by type of relationship with the hospital. The sum of the physicians reported in 13a-13g should equal the total number of privileged physicians (13h) in the hospital.

	(1) Total Employed	(2) Total Individual Contract	(3) Total Group Contract	(4) Not Employed or Under Contract	(5) Total Privileged (add columns 1-4)
a. Primary care (general practitioner, general internal medicine, family practice, general pediatrics, obstetrics/gynecology, geriatrics)	_____	_____	_____	_____	_____
b. Obstetrics/gynecology	_____	_____	_____	_____	_____
c. Emergency medicine	_____	_____	_____	_____	_____
d. Hospitalist	_____	_____	_____	_____	_____
e. Intensivist	_____	_____	_____	_____	_____
f. Radiologist/pathologist/anesthesiologist	_____	_____	_____	_____	_____
g. Other specialist	_____	_____	_____	_____	_____
<b>h. Total (add 13a-13g)</b>	_____	_____	_____	_____	_____

#### 14. HOSPITALISTS

- a. Do hospitalists provide care for patients in your hospital?.....(If no, skip to 15) YES  NO  (if yes, please report in E.13d)  
 b. If yes, please report the total number of full-time equivalents (FTE) hospitalists.....FTE \_\_\_\_\_

#### 15. INTENSIVISTS

- a. Do intensivists provide care for patients in your hospital? (If no, please skip to 16.) YES  NO  (if yes, please report in E.13e)  
 b. If yes, please report the total number of FTE intensivists and assign them to the following areas. Please indicate whether the intensive care area is closed to intensivists. (Meaning that only intensivists are authorized to care for ICU patients.)

	FTE	Closed to Intensivists
1. Medical-surgical intensive care	_____	<input type="checkbox"/>
2. Cardiac intensive care	_____	<input type="checkbox"/>
3. Neonatal intensive care	_____	<input type="checkbox"/>
4. Pediatric intensive care	_____	<input type="checkbox"/>
5. Other intensive care	_____	<input type="checkbox"/>
<b>6. Total</b>	_____	

#### 16. ADVANCED PRACTICE REGISTERED NURSES/PHYSICIAN ASSISTANTS

- a. Do advanced practice nurses/physician assistants provide care for patients in your hospital? (If no, skip to 17) YES  NO   
 b. If yes, please report the number of full time, part time and FTE advanced practice nurses/physician assistants who provide care for patients in your hospital.

Advanced Practice Registered Nurse \_\_\_\_\_ Full-time \_\_\_\_\_ Part-time \_\_\_\_\_ FTE

Physician Assistants \_\_\_\_\_ Full-time \_\_\_\_\_ Part-time \_\_\_\_\_ FTE

- c. If yes, please indicate the type of service(s) provided. (Check all that apply).

Primary care  Anesthesia services (Certified registered nurse anesthetist)  Emergency department care

Other specialty care  Patient education  Case management  Other

#### 17. FOREIGN EDUCATED NURSES

- a. Did your facility hire more foreign-educated nurses (including contract or agency nurses) to help fill RN vacancies in 2021 vs. 2020?  
 More  Fewer  Same  Did not hire foreign nurses
- b. From which countries/continents are you recruiting foreign-educated nurses?  
 Africa  South Korea  Canada  Philippines  China  India  Other



# 2021 American Hospital Association ANNUAL SURVEY

## SECTION F ADDRESSING PATIENT SOCIAL NEEDS AND COMMUNITY SOCIAL DETERMINANTS OF HEALTH DEFINITIONS

### 6. Types of Partnerships:

- a. Health care providers outside your system (Include other hospitals health care systems, FQHCs, community clinics)
- b. Local or state public health organizations (Include public health departments, institutes, etc.)
- c. Local or state human/social service organizations (Include food, housing/rental assistance, energy assistance, transportation assistance)
- d. Other local or state government (Include municipal, city or county government, including public safety and policy/legislative initiatives at a local level)
- e. Non-profit organizations (Include National health associations, United Way, YMCA, Service leagues, healthy communities coalitions)
- f. Faith-based organizations
- g. Health insurance companies
- h. Schools (Include childhood, primary and secondary schools and colleges/universities)
- i. Local businesses or chambers of commerce
- j. National businesses or chambers of commerce
- k. Other (list)

### Scale of partnerships:

**Not involved.** No current partnerships with this type of organization

**Collaboration.** Exchange information and share resources for a similar mission to enhance the capacity of the other partner

**Formal Alliance.** Formalized partnership (binding agreement) among multiple organizations with merged initiatives, common goals and metrics

## SECTION G SUPPLEMENTAL INFORMATION DEFINITIONS

1. **Group Purchasing Organization.** An organization whose primary function is to negotiate contracts for the purpose of purchasing for members of the group or has a central supply site for its members.
2. **Distributor.** An entity that typically does not manufacture most of its own products but purchases and re-sells these products. Such a business usually maintains an inventory of products for sales to hospitals and physician offices and others.
4. **Patient and family advisory council.** Advisory council dedicated to the improvement of quality in patient and family care. The advisory council is comprised of past/present patients, family members, and hospital staff.
5. **Utilization of telehealth/virtual care.** The definitions used herein represent one approach to understanding telehealth/virtual care. The AHA is aware that different organizations use different definitions for these terms and that Medicare defines them in a more narrow way than they are being used in the field. The definitions we chose are meant to balance the statutory and regulatory use of the terms with the way they are understood by providers on the ground. Please report only hospital-based services on these lines. Please do not report system-level numbers.
  - a. **Video visits.** Synchronous visits between patient and provider that are not co-located, through the use of two-way, interactive, real-time audio and video communication.
  - b. **Audio visits.** Synchronous visits between a patient and a provider that are not co-located, through the use of two-way, interactive, real-time audio-only communication.
  - c. **Remote patient monitoring.** Asynchronous or synchronous interactions between patient and provider that are not co-located involving the collection, transmission, evaluation, and communication of physiological data.
  - d. **Other virtual services.** All other synchronous or asynchronous interactions between a provider and patient, or provider and provider, delivered remotely including messages, eConsults, and virtual check-ins.
6. **6a. Certified Community Behavioral Health Clinics (CCBHCs).** These entities, a new provider type in Medicaid, are designed to provide a comprehensive range of mental health and substance use disorder services to vulnerable individuals. In return, CCBHCs receive an enhanced Medicaid reimbursement rate based on their anticipated costs of expanding services to meet the needs of these complex populations. CCBHCs are non-profit organizations or units of a local government behavioral health authority. They must directly provide (or contract with partner organizations to provide) nine types of services, with an emphasis on the provision of 24-hour crisis care, evidence-based practices, care coordination with local primary care and hospital partners, and integration with physical health care.
  - b. **Community Mental Health Centers:** According to the American Psychological Association, a community mental health center is a facility or facilities that are community-based and provide mental health services, sometimes as an alternative to the care that mental hospitals provide. SAMHSA reported that, as of 2019, approximately 2,700 community mental health centers were in operation. They are supported by sources such as county and state funding programs, federal funding through programs such as Medicaid and Medicare, private insurance and cash payments. The centers treat both children and adults, including individuals who are chronically mentally ill or have been discharged from an inpatient mental health facility.
7. **Decarbonization.** Decarbonization is the key term used to describe phasing out carbon dioxide equivalent emissions, both operational and embodied carbon. In the strictest sense, decarbonization means removing carbon from the process chain as well as carbon released from producing building materials.

**Net-Zero Emissions.** Net-zero is a balance between all emissions produced and the emissions removed from the atmosphere. For example, a building that generates as much energy as it uses.

# 2021 American Hospital Association ANNUAL SURVEY

## E. TOTAL FACILITY BEDS, UTILIZATION, FINANCES, AND STAFFING (continued)

### 18. WORKFORCE

a. Does your hospital use artificial intelligence (AI) or machine learning in the following: (Check all that apply)

1.  Predicting staffing needs
2.  Predicting patient demand
3.  Staff scheduling
4.  Automating routine tasks
5.  Optimizing administrative and clinical workflows

b. How is your hospital incorporating workforce as part of the strategic planning process: (Check all that apply)

1.  Conduct needs assessment
2.  Leadership succession planning
3.  Talent development plan
4.  Recruitment & retention planning
5.  Partnerships with elementary/HS (K-12) to develop interest in health care careers
6.  Training program partnership with community colleges, vocational training programs

## F. ADDRESSING PATIENT SOCIAL NEEDS AND COMMUNITY SOCIAL DETERMINANTS OF HEALTH

1. Which programs or strategies to address does your hospital or health care system have in place to address either social needs of patients or social determinants of health in communities? Check all that apply.

- a.  Housing (instability, quality, financing)
- b.  Food insecurity or hunger
- c.  Utility needs
- d.  Interpersonal violence
- e.  Transportation
- f.  Employment and income
- g.  Education
- h.  Social isolation (lack of family and social support)
- i.  Health behaviors
- j.  Other (please describe) : \_\_\_\_\_

2. Does your hospital or health system screen patients for social needs?

- Yes for all patients       Yes for some patients       No (skip to question 3)

a. If yes, please check which social needs are assessed. Check all that apply.

1.  Housing (instability, quality, financing)
2.  Food insecurity or hunger
3.  Utility needs
4.  Interpersonal violence
5.  Transportations
6.  Employment and income
7.  Education
8.  Social isolation (lack of family and social support)
9.  Health behaviors
10.  Other (please describe) : \_\_\_\_\_

b. If yes, does your hospital or health system record the social needs screening results in your electronic health record system?

- Yes     No

3. Does your hospital or health system utilize outcome measures (for example, cost of care or readmission rates) to assess the effectiveness of interventions to address the patients' social needs?

- Yes     No

4. Has your hospital or health system been able to gather data indicating that activities used to address the social determinants of health and patient social needs have resulted in any of the following (check all that apply):

- a.  Better health outcomes for patients
- b.  Decreased utilization of hospital or health system services
- c.  Decreased health care costs
- d.  Improved community health status

# 2021 American Hospital Association ANNUAL SURVEY

## SECTION G7 INPATIENT NEWBORN CARE Instructions and Definitions

- a. Deliveries are counted DIFFERENTLY than live births (as recorded in BIRTHS, item E.1.d. (1), page 23). Stillbirths are to be included with deliveries and multiple births count as only ONE delivery.
- b. If your hospital does not have a neonatal intensive care unit as defined below, complete items G7a and G7b.
- c. If your hospital has a neonatal intermediate and/or intensive care unit as defined below, complete items G7c, G7c1, G7c2, and G7c3 as applicable, based on your NICU level as established by the official DSHS Neonatal Levels of Care Designation Program, pursuant to House Bill 15, 83<sup>rd</sup> Legislature, Regular Session, 2013:

### 1. Level I (Well Nursery):

- (1) provide care for mothers and their infants generally of  $\geq 35$  weeks gestational age who have routine, transient perinatal problems;
- (2) have skilled personnel with documented training, competencies and continuing education specific for the patient population served; and
- (3) if an infant  $< 35$  weeks gestational age is retained, the facility shall provide the same level of care that the neonate would receive at a higher level designated neonatal facility and shall, through the Quality Assurance/Performance Improvement (QAPI) Program complete an in depth critical review of the care provided.

### 2. Level II (Special Care Nursery):

- (1) provide care for mothers and their infants of generally  $\geq 32$  weeks gestational age and birth weight  $\geq 1500$  grams who have physiologic immaturity or who have problems that are expected to resolve rapidly and are not anticipated to require subspecialty services on an urgent basis; and
- (2) either provide care, including assisted endotracheal ventilation for less than 24 hours or nasal continuous positive airway pressure (NCPAP) until the infant's condition improves, or arrange for appropriate transfer to a higher level designated facility. If the facility performs neonatal surgery, the facility shall provide the same level of care that the neonate would receive at a higher level designated facility and shall, through the Quality Assurance/Performance Improvement (QAPI) Program, complete an in depth critical review of the care provided;
- (3) provide skilled personnel that have documented training, competencies and annual continuing education specific for the patient population served; and
- (4) if a facility is located more than 75 miles from the nearest Level III or IV designated neonatal facility, and retains a neonate between 30 and 32 weeks of gestation having a birth weight of between 1250 - 1500 grams, the facility shall provide the same level of care that the neonate would receive at a higher level designated neonatal facility and shall, through the Quality Assurance/Performance Improvement (QAPI) Program, complete an in depth critical review of the care provided.

### 3. Level III (Neonatal Intensive Care Unit (ICU)):

- (1) provide care for mothers and comprehensive care of their infants of all gestational ages with mild to critical illnesses or requiring sustained life support;
- (2) provide for consultation to a full range of pediatric medical subspecialists and pediatric surgical specialists, and the capability to perform major pediatric surgery on-site or at another appropriate designated facility;
- (3) have skilled medical staff and personnel with documented training, competencies and continuing education specific for the patient population served;
- (4) facilitate transports; and
- (5) provide outreach education to lower level designated facilities.

### 4. Level IV (Advanced Neonatal Intensive Care Unit (ICU)):

- (1) provide care for the mothers and comprehensive care of their infants of all gestational ages with the most complex and critically ill neonates/infants with any medical problems, and/or requiring sustained life support;
- (2) ensure that a comprehensive range of pediatric medical subspecialists and pediatric surgical specialists are available to arrive on-site for face to face consultation and care, and the capability to perform major pediatric surgery including the surgical repair of complex conditions;
- (3) have skilled personnel with documented training, competencies and continuing education specific for the patient population served;
- (4) facilitate transports; and
- (5) provide outreach education to lower level designated facilities.

Neonatal Intensive Care Unit: A unit that must be separate from the newborn nursery providing intensive care to all sick infants including those with the very lowest birth weights (less than 1500 grams). NICU has potential for providing mechanical ventilation, neonatal surgery and specialty care for the sickest infants born in the hospital or transferred from another institution. A full-time neonatologist serves as director of the NICU.

For more information about the official DSHS NICU Designation Program, please visit:

<https://dshs.texas.gov/emstraumasystems/neonatal.aspx>

and the related Texas Administrative Code:

[https://texreg.sos.state.tx.us/public/readtac\\$ext.ViewTAC?tac\\_view=5&ti=25&pt=1&ch=133&sch=J&rl=Y](https://texreg.sos.state.tx.us/public/readtac$ext.ViewTAC?tac_view=5&ti=25&pt=1&ch=133&sch=J&rl=Y)

## 2021 American Hospital Association ANNUAL SURVEY

### F. ADDRESSING PATIENT SOCIAL NEEDS AND COMMUNITY SOCIAL DETERMINANTS OF HEALTH (Continued)

5. Who in your hospital or health care system is accountable for meeting health equity goals?

(Check all that apply)

- a.  CEO
- b.  Designated Senior Executive (Chief Diversity Office, VP for DEI, etc)
- c.  Middle Management
- d.  Committee or Task Force
- e.  Division/Department
- f.  Employee Resource Group

6. Who in your hospital or health care system is accountable for implementing strategies for health equity goals?

(Check all that apply)

- a.  CEO
- b.  Designated Senior Executive (Chief Diversity Office, VP for DEI, etc)
- c.  Middle Management
- d.  Committee or Task Force
- e.  Division/Department
- f.  Employee Resource Group

7. Does your hospital or health care system use DEI disaggregated data to inform decisions on the following?

(Check all that apply)

- a.  Patient Outcomes
- b.  Procurement
- c.  Supply chain
- d.  Training
- e.  Professional Development

8. Does your hospital or health care system have a health equity strategic plan for the following?

(Check all that apply)

- a.  Equitable and inclusive organizational policies
- b.  Systematic and shared accountability for health equity
- c.  Diverse representation in hospital and healthcare system leadership
- d.  Diverse representation in hospital and healthcare system governance
- e.  Community engagement
- f.  Collection and use of segmented data to drive action
- g.  Culturally appropriate patient care

9. Please indicate the extent of your hospital's current partnerships with external partners for population and/or community health initiatives. Which types of organizations do you currently partner with in each of the following activities:

(Check all that apply)

	(1) Not Involved	(2) Work together to meet patient social needs (e.g., referral arrangement or case management)	(3) Participates in our Community Health Needs Assessment process	(4) Work together to implement community-level initiatives to address social determinants of health
a. Health care providers outside of your systems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Health insurance providers outside of your own system	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Local or state public health departments/ organizations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Other local or state government agencies or social service organizations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Faith-based organizations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Local organizations addressing food insecurity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Local organizations addressing transportation insecurity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. Local organizations addressing housing needs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. Local organizations providing legal assistance for individuals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. Other community non-profit organizations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. K - 12 Schools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. Colleges or universities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. Local business or chambers of commerce	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. Law enforcement/safety forces	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. Area Behavioral Health Service Providers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
p. Area Agencies on Aging (AAA)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# 2021 American Hospital Association ANNUAL SURVEY

## G. SUPPLEMENTAL INFORMATION

1. Does the hospital participate in a group purchasing arrangement? YES  NO   
 If yes, please provide the name, city, and state of your primary group purchasing organization(s).  
 Name: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_  
 Name: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_  
 Name: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_
2. Does the hospital purchase medical/surgical supplies directly through a distributor? YES  NO   
 If yes, please provide the name of your primary distributor.  
 Name: \_\_\_\_\_  
 Name: \_\_\_\_\_  
 Name: \_\_\_\_\_
3. If your hospital hired RNs during the reporting period, how many were new graduates from nursing schools? \_\_\_\_\_
4. Does your hospital have an established patient and family advisory council that meets regularly to actively engage the perspectives of patients and families? YES  NO
5. Utilization of telehealth/virtual care\*  
 a. Number of video visits: Synchronous visits between a patient and a provider that are not co-located, through the use of two-way, interactive, real-time audio and video communication.  
 \_\_\_\_\_  
 b. Number of audio visits: Synchronous visits between a patient and a provider that are not co-located, through the use of two-way, interactive, real-time audio-only communication.  
 \_\_\_\_\_  
 c. Number of patients being monitored through remote patient monitoring (RPM): Asynchronous or synchronous interactions between a patient and a provider that are not co-located involving the collection, transmission, evaluation and communication of physiologic data.  
 \_\_\_\_\_  
 d. Number of patients receiving other virtual services: All other synchronous or asynchronous interactions between a provider and patient or provider and provider delivered remotely, including messages, eConsults, and virtual check-ins.  
 \_\_\_\_\_
6. Does your hospital have a partnership with a Community Mental Health Center or a Certified Community Behavioral Health Center?  
 a. Community Mental Health Center Yes  No   
 b. Certified Community Behavioral Health Center Yes  No

### Decarbonization Goals

7. Which of the following best describes your organization's decarbonization efforts?
- a.  We have set a decarbonization percentage reduction goal
1. % reduction goal \_\_\_\_\_
2. Target year to meet goal \_\_\_\_\_
3. Baseline year \_\_\_\_\_
- b.  We have set a "net-zero emissions" goal
1. Target year to meet goal \_\_\_\_\_
2. Baseline year \_\_\_\_\_
- c.  We have set both a decarbonization percentage reduction and a "net-zero emissions goal"
- % Reduction Goal
1. % reduction goal \_\_\_\_\_
2. Target year to meet goal \_\_\_\_\_
3. Baseline year \_\_\_\_\_
- "Net-Zero Emissions" Goal
4. Target year to meet goal \_\_\_\_\_
5. Baseline year \_\_\_\_\_
- d.  We have not set any decarbonization targets/goals but plan to within the year
- e.  We have not set any decarbonization targets/goals and uncertain if any plans to within the year

## 2021 American Hospital Association ANNUAL SURVEY

### G. SUPPLEMENTAL INFORMATION (continued)

7f. Please feel free to expand on your response:

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8. The federal government has recently released ambitious goals for federal facilities. They include achieving a carbon-pollution free electricity sector by 2035 and net-zero emissions economy-wide by no later than 2050 with a 65% reduction in Scope 1 and 2 GHG emissions from federal operation by 2050 (from 2008 levels). Irrespective of the exact targets and years, would your organization, in principle, be willing to support similar types of goals for the health sector?

Yes       No       Unsure

Please feel free to expand on your response:

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9. Do you believe the decarbonization goals for the health sector should be similar, more ambitious, or less ambitious than the targets set by the federal government?

Similar       More ambitious       Less ambitious       Unsure

Please feel free to expand on your response:

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10. Does your organization have an executive leader responsible for environmental sustainability, including climate change mitigation?

Yes       No

Please feel free to expand on your response:

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Use this space for comments or to elaborate on any information supplied on this survey. Refer to the response by page, section and item name.

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 For the titles listed below, please indicate the name and the exact title of the person who holds the position in the hospital

	Name	Title
a. Chief Financial Officer	<hr/>	<hr/>
b. Chief Information Officer	<hr/>	<hr/>
c. Vice President, Strategic Planning	<hr/>	<hr/>
d. Chief of the Medical Staff	<hr/>	<hr/>
e. Chief of the Nursing office/ Director of Nursing	<hr/>	<hr/>

# 2021 American Hospital Association ANNUAL SURVEY

## SECTION I INPATIENT AND OUTPATIENT BAD DEBT AND CHARITY CHARGES Instructions and Definitions

**1. Charity Care:** The unreimbursed cost to a hospital of providing, funding or otherwise financially supporting healthcare services on an inpatient or outpatient basis to a person classified by the hospital as financially or medically indigent or providing, funding or otherwise financially supporting healthcare services provided to financially indigent patients through other nonprofit or public outpatient clinics, hospitals, or health care organizations.

**Bad Debt charges:** Uncollectible inpatient and outpatient charges that result from the extension of credit.

**Charity charges:** Total amount of hospital charges for inpatient and outpatient services attributable to charity care in a cost reporting period. These charges do not include bad debt charges, contractual allowances or discounts (other than for indigent patients not eligible for medical assistance under the approved Medicaid state plan); that is, reductions or discounts in charges given to other third party payers such as, but not limited to, health maintenance organizations, Medicare, or Blue Cross.

**Financially indigent:** An uninsured or underinsured person who is accepted for care with no obligation or a discounted obligation to pay for the services rendered based on the hospital's eligibility system.

**Medically indigent:** A person whose medical or hospital bills after payment by third-party payers exceed a specified percentage of the patient's annual gross income, determined in accordance with the hospital's eligibility system, and the person is financially unable to pay the remaining bill.

**Inpatient charges:** Hospital-based charges, less any contractual or payer discount, for medical services the hospital provides.

**Outpatient charges:** Hospital-based charges, less any contractual or payer discount, for medical services the hospital provides.

**2. Charity:** Include those who qualify for free care under the hospital's eligibility system developed in compliance with Health and Safety Code Ch. 311. These patients are classified as **financially indigent** or **medically indigent**. For financially indigent patients, the patient's income level is under 200 percent of the Federal Poverty Level (FPL).

**Local Payments:** Includes payments received from local governments for specific patients. Excludes payments for public sector employees' care.

**Other third party payments:** Includes other third party payments received on behalf of patients. Examples include, but are not limited, to workers' compensation and auto insurance.

**Partially insured:** Include cases where there is an unpaid patient balance after insurance at the time of reporting. Exclude any contractual or payer discount from the reported charges.

**Patient payments:** Includes payments received by the patient or their family.

**Private insurance payments:** Includes payments received from third party health insurance

**State payments:** Include payments received from the State of Texas associated with particular individuals. Examples include, but are not limited, to Crime Victims Compensation, Kidney Health, Children with Special Health Care Needs, and burn victims. Lump sum payments that are made for care provided to groups of patients (such as trauma funding) should be reported below.

**Uninsured or self-pay:** Include charges for those patients who:

1. do not qualify for a government program,
2. have no private or third party insurance,
3. do not qualify for free or reduced price care under the hospital's eligibility system developed in compliance with Health and Safety Code Ch. 311, and
4. do not pay the full cost of their care.

Exclude inmates or prisoners.

**Local Government Inpatient:** Payments received for inpatient hospital services that were provided under the county Indigent Health Care Program or that were the responsibility of any city or county governmental program. DO NOT include tax revenue or care which was provided under your facility's charity care policy, e.g., hospital district patients.

**State Government Inpatient:** Payments received for inpatient hospital services which were the responsibility of a unit of state government such as the Children with Special Health Care Needs, and the Kidney Health Program, and state trauma funds, etc.

**Newborn Days:** Report the number of inpatient days for normal newborn nursery. DO NOT include neonatal intensive or intermediate care inpatient days.

**Swing Bed Services:** A hospital bed that can be used to provide either acute or long-term care depending on community or patient needs. To be eligible a hospital must have a Medicare provider agreement in place, have fewer than 100 beds, be located in a rural area, do not have a 24-hour nursing service waiver in effect, have not been terminated from the program in the prior two years, and meet various service conditions.

**2021 American Hospital Association ANNUAL SURVEY  
DEPARTMENT OF STATE HEALTH SERVICES SURVEY SUPPLEMENT**

The Department of State Health Services hospital data survey supplement requests more specific information for several areas previously addressed in the American Hospital Association survey. Please be consistent in using established definitions and in coordinating responses between similar sections of the survey and supplement when referenced.

**F10. OWNERSHIP**

a. Please classify the ownership of your hospital. (check only one):

**GOVERNMENT, NONFEDERAL**

- 12 State
- 13 County
- 14 City
- 15 City-County
- 16 Hospital District
- 17 Hospital Authority

**NONGOVERNMENT, NOT-FOR-PROFIT**

- 21 Church
- 23 Other not-for-profit

**INVESTOR-OWNED, FOR-PROFIT**

- 31 Individual
- 32 Partnership
- 33 Corporation

b. Did the ownership of your facility change during this reporting period or from your previous reporting period? .....  YES  NO

1. IF YES, using the numerical ownership classification above, what was the ownership before the change? .....

c. NATIONAL PROVIDER IDENTIFIER (NPI)

1. Does your hospital have its new National Provider Identifier (NPI) from the National Plan and Provider Enumeration System?

Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, please report the ten digit NPI? \_ \_ \_ \_ \_

d. Please provide the hospital license number. \_\_\_\_\_

**G11. INPATIENT NEWBORN CARE**

a. Indicate the **total number of deliveries** for your fiscal year. Deliveries should be considered as occurring at 20 or more weeks of gestation. Deliveries CAN be different than BIRTHS (item E.1.d.1, page 23). Stillbirths are to be included with deliveries and multiple births count as ONE delivery..... \_\_\_\_\_

b. If your hospital **DOES NOT HAVE A NEONATAL INTENSIVE CARE UNIT (NICU)**, indicate the number of newborns transferred from your hospital to other hospitals for neonatal care ..... \_\_\_\_\_

c. If your hospital **HAS A NICU**

1. Indicate the number of newborns admitted **TO** your NICU as transfers from other hospitals..... \_\_\_\_\_

2. Indicate the number of newborns transferred **FROM** your NICU to other hospitals for further inpatient care..... \_\_\_\_\_

3. Indicate the number of newborns delivered at your hospital and admitted to your NICU ..... \_\_\_\_\_

d. Indicate your facility's highest level of NICU on the last day of your 2021 fiscal year, as established by the official DSHS Neonatal Levels of Care Designation Program, pursuant to House Bill 15, 83rd Legislature, Regular Session, 2013:

**Level I   Level II   Level III   Level IV   Not Applicable**  
           

e. Was the day-to-day operation of the hospital's NICU contracted out during your fiscal year?

If yes, please provide the name, city, and state of the organization that managed your hospital's NICU:

Name: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

**H. PSYCHIATRIC, ALCOHOLISM/CHEMICAL DEPENDENCY, INTELLECTUAL AND DEVELOPMENTAL DISABILITIES (IDDs, formerly Mental Retardation), PARTIAL HOSPITALIZATION CARE**

1. **Inpatient Care/Partial Hospitalization.** Please indicate the number of admissions, discharges and inpatient days for each of the categories of care specified below. Count each admission and discharge **only once** according to the **major category of care** provided. For partial hospitalization record admissions, discharges and number of visits.

	<u>Admissions</u>	<u>Discharges</u>	<u>Inpatient Days/Visits</u>
a. Psychiatric, 30 days or less .....	_____	_____	_____
b. Psychiatric, more than 30 days.....	_____	_____	_____
c. Chemical dependency (including Alcoholism) .....	_____	_____	_____
d. Intellectual and Developmental Disabilities (IDD).....	_____	_____	_____
e. Partial hospitalization .....	_____	_____	_____



**2021 American Hospital Association ANNUAL SURVEY**  
**OTHER FINANCIAL AND UTILIZATION DATA**  
**Instructions and Definitions**

Account for all hospital admissions and patient days by the sources indicated. Exclude newborn utilization.

**Local Government:** Inpatient and Outpatient hospital services that were provided under the county Indigent Health Care Program or that were the responsibility of any city or county governmental program. DO NOT include care which was provided under your facility's charity care policy, e.g., hospital district patients.

**State Government:** Inpatient and Outpatient patient hospital services which were the responsibility of a unit of state government such as the Children with Special Health Care Needs, and the Kidney Health Program, etc.

**Self-Pay:** Hospital services for patients without any form of health insurance coverage, or hospital services not covered by a given patient's insurance.

**Third Party Payor:** Hospital services which were the responsibility of Blue Cross/Blue Shield and other commercial and /or private insurers.

**Managed Care:** Systems that integrate the financing and delivery of healthcare services to covered individuals by means of arrangements with selected providers to furnish comprehensive services to covered individuals, explicit criteria for the selection of participating health-care providers, differential coverage or payments of financial incentives for covered individuals to use providers and procedures associated with the plan and formal programs for quality assurance and utilization review.

**Trauma:** Funds provided by the Department of State Health Services from the Trauma Facility and Emergency Medical Services account.

**Tobacco settlement:** Funds provided from the master settlement agreement with tobacco companies for local governments and hospitals.

**Kidney Health:** Funds provided from the Kidney Health program at the Department of State Health Services.

**Children with Special Health Care Needs:** Funds provided from the CSHCN program at the Department of State Health Services.

**Crime Victims:** Include funds provided by the Office of Attorney General from the Crime Victims Compensation Fund for patient care of eligible crime victims.

**County indigent:** Include county government funding provided to care for indigent patients under the county indigent program.

**Hospital district:** Funding from the hospital district's tax revenue for the support of the hospital.

**City/county government:** Include payments from other city or county programs for uninsured residents, but **exclude** funding for public employees' health care.

**Federal funding:** Include federal funds received directly, such as funding for immigrants or prisoners, Ryan White, etc., but **exclude** Medicare funding.

**Other governmental revenue:** Identify the amount and program name(s) of other governmental sources of net patient revenue.

**Medicaid Disproportionate Share Hospital (DSH):** Medicaid DSH payments received during the reporting period. These Medicaid DSH payments should match the payments included in Net Patient Revenue E.3.a. on page 23 and E.6.a (2)c(2) on page 25.

**1115 Waiver Payments:** DSRIP Pool Payments are incentive payments to hospitals and other providers that develop programs or strategies to enhance access to health care, increase the quality of care, the cost-effectiveness of care provided and the health of the patients and families served; uncompensated care (UC) pool payments are used to reimburse for uncompensated care costs as reported in the annual waiver application/UC cost report.

**3. Selected Inpatient Days:** Report inpatient days only for the specific category (i.e., pediatric, cardiac, etc.) and only if you have reported beds for that same category in Section C (# Beds) on page 5.

For example: Your hospital had pediatric patients but you have 0 (zero) beds reported on page 5, item C.2. You must report 0 (zero) pediatric inpatient days (these days would be included in the general medical/surgical category if you have reported beds for this category on page 5, item C.1.)

Please refer to page 6 for definitions of the various categories of care.

**4.a. Total Discharges:** Report the number of adult and pediatric discharges only (exclude newborns). This figure should include all patients discharged during the reporting period.

**4.b. Total Discharge Days:** Report the total number of patient days rendered to patients discharged during the reporting period; include days of care rendered to those patients prior to the beginning of the reporting period.

## 2021 American Hospital Association ANNUAL SURVEY

### H. PSYCHIATRIC, ALCOHOLISM/CHEMICAL DEPENDENCY, INTELLECTUAL AND DEVELOPMENTAL DISABILITIES (IDDs), AND PARTIAL HOSPITALIZATION CARE (Continued)

2. **Outpatient Visits.** Please record the number of psychiatric and chemical dependency (including alcoholism) outpatient visits for each of the categories below. Do not report occasions of service in any category.

	<u>Psychiatric Visits</u>	<u>Chemical Dependency Visits (including Alcoholism)</u>
a. Emergency .....	_____	_____
b. Clinic/Other .....	_____	_____
c. Total .....	_____	_____

### I. INPATIENT AND OUTPATIENT BAD DEBT AND CHARITY CHARGES

PLEASE USE THE DEFINITIONS ON PAGE 32 IN COMPLETING THIS SECTION. THE DEFINITIONS FOR BAD DEBT CHARGES AND CHARITY CHARGES IN ITEMS 1 AND 2 ARE DIFFERENT FROM THE AHA DEFINITIONS (page 38).

#### 1. INPATIENT AND OUTPATIENT BAD DEBT CHARGES

a. Inpatient Bad Debt charges .....	\$	_____
b. Outpatient Bad Debt charges.....	\$	_____
c. <b>TOTAL BAD DEBT CHARGES</b> (please add lines a and b).....	\$	_____
d. Bad debt from uninsured patients .....	\$	_____
(1) Inpatient bad debt charges from uninsured patients .....	\$	_____
(2) Outpatient bad debt charges from uninsured patients .....	\$	_____
(3) State government payments for uninsured patients.....	\$	_____
(4) Local government payments for uninsured patients .....	\$	_____
(5) Patient payments from uninsured patients.....	\$	_____
(6) Other third party payments for uninsured patients .....	\$	_____
e. Bad debt from partially insured patients .....	\$	_____
(1) Inpatient bad debt charges from partially insured patients .....	\$	_____
(2) Outpatient bad debt charges from partially insured patients .....	\$	_____
(3) Private insurance payments from partially insured patients.....	\$	_____
(4) Patient payments from partially insured patients .....	\$	_____
(5) Other third party payments for partially insured patients.....	\$	_____

#### 2. INPATIENT AND OUTPATIENT CHARITY CHARGES

a. Inpatient Charity charges.....	\$	_____
b. Outpatient Charity charges .....	\$	_____
c. <b>TOTAL CHARITY CHARGES</b> (please add lines a and b) .....	\$	_____

# 2021 American Hospital Association ANNUAL SURVEY

## SECTION K

### IMMUNIZATIONS AND PERINATAL DISEASE PREVENTION

#### Instructions and Definitions

##### **K1. Hepatitis B Prevention:**

Effective September 1, 1999, Texas law requires that all pregnant women be tested for hepatitis B surface antigen (HBsAg) at their prenatal examination and upon admission for delivery. An HBsAg positive result in a pregnant woman is a reportable condition in Texas and should be reported to the local or state health department. To eliminate transmission of hepatitis B and prevent perinatal hepatitis B infection, the Advisory Committee on Immunization Practices (ACIP) further recommends that:

1. Infants born to mothers who are HBsAg-positive should receive hepatitis B vaccine and hepatitis B immune globulin (HBIG) < 12 hours of birth;
2. Infants born to mothers whose HBsAg status is unknown should receive hepatitis B vaccine < 12 hours of birth. The mother should have blood drawn as soon as possible to determine her HBsAg status; if she is HBsAg-positive, the infant should receive HBIG as soon as possible (no later than age 1 week).
3. Full-term infants who are medically stable and weigh > 2,000 grams born to HBsAg-negative mothers should receive single-antigen hepatitis B vaccine within 24 hours of birth.
4. Preterm infants weighing < 2,000 grams born to HBsAg-negative mothers should receive the first dose of vaccine 1 month after birth or at hospital discharge.

Source: Prevention of Hepatitis B Virus Infection in the United States: Recommendations of the Advisory Committee on Immunization Practices. January 12, 2018.

##### **K2. Pertussis Immunization**

CDC's Advisory Committee on Immunization Practices recommends that all pregnant women:

1. Should receive Tdap during every pregnancy, preferably during the third trimester (between 27 and 36 weeks gestation) although Tdap may be given at any time during pregnancy.
2. For women not previously vaccinated with Tdap, if Tdap is not administered during pregnancy, Tdap should be administered immediately postpartum
3. If a tetanus and diphtheria booster vaccination is indicated during pregnancy for a woman who has previously not received Tdap (i.e., more than 10 years since previous Td), then Tdap should be administered during pregnancy, preferably between 27 and 36 weeks gestation to maximize the maternal antibody response and passive antibody transfer to the infant.
4. As part of standard wound management care to prevent tetanus, a tetanus toxoid--containing vaccine might be recommended for wound management in a pregnant woman if 5 years or more have elapsed since last receiving Td. If a Td booster is recommended for a pregnant woman health-care providers should administer Tdap.
5. To ensure protection against maternal and neonatal tetanus, pregnant women who have never been vaccinated against tetanus should receive three vaccinations containing tetanus and reduced diphtheria toxoids. The recommended schedule is 0, 4 weeks, and 6 through 12 months. Tdap should replace 1 dose of Td, preferably pregnancy between 27 and 36 weeks gestation to maximize the maternal antibody response and passive antibody transfer to the infant.

Source: Updated Recommendations for Use of Tetanus Toxoid, Reduced Diphtheria Toxoid and Acellular Pertussis Vaccine (Tdap) in Pregnant Women. Advisory Committee on Immunization Practices (ACIP), 2012. *MMWR* 2013; 62(07); 131-135.

# 2021 American Hospital Association ANNUAL SURVEY

## I. INPATIENT AND OUTPATIENT BAD DEBT AND CHARITY CHARGES (continued)

- d. State government payments for specific charity patients..... \$ \_\_\_\_\_
- e. Local government payments for specific charity patients ..... \$ \_\_\_\_\_
- f. Private insurance payments for charity patients..... \$ \_\_\_\_\_
- g. Patient payments for charity care..... \$ \_\_\_\_\_
- h. Other third party payments for charity care patients ..... \$ \_\_\_\_\_

### 3. PAYMENTS RECEIVED FOR INPATIENT CARE FROM OTHER GOVERNMENTAL SOURCES (Exclude Medicaid Payments)

#### PAYMENTS RECEIVED

- a. Local Government - Inpatient Care Only (County, City) ..... \$ \_\_\_\_\_
- b. State Government - Inpatient Care Only (CSHCN, Kidney Health Care, etc.) ..... \$ \_\_\_\_\_

### 4. INPATIENT DAYS

#### INPATIENT DAYS

- a. Please report the total number of newborn nursery days..... \_\_\_\_\_
- b. Please report the total number of swing bed inpatient days that the swing beds were used in the provision of swing services. .... \_\_\_\_\_

### 5. NON-TEXAS RESIDENT MEDICAID ELIGIBLE PATIENTS

Please report the total number of inpatient days attributable to individuals eligible for Medicaid in another state (please exclude Medicaid days reported in E.2.d(1) on page 25) .... \_\_\_\_\_

## J. OTHER FINANCIAL AND UTILIZATION DATA (please see the definitions on page 40 in completing this section).

### 1. FINANCIAL DATA

#### a. TOTAL GROSS PATIENT SERVICE REVENUE FROM SELECTED GOVERNMENT SOURCES

#### GROSS SOURCES OF REVENUE

- (1) Medicaid (including Inpatient and Outpatient)
  - (a) Fee for service patient revenue (Do not include Dispro or 1115 Wavier payments) .... \$ \_\_\_\_\_
  - (b) Managed care revenue ..... \$ \_\_\_\_\_
  - (c) **Total (a+b)** (please add lines a through b-Must equal E.6.a.(2).e(1) on page 25) .... \$ \_\_\_\_\_
- (2) Other Government Sources of Revenue (including Inpatient and Outpatient)
  - (a) Local Government (County, City)..... \$ \_\_\_\_\_
  - (b) State Government (CSHCN, Kidney Health Care, CHIP, etc.)..... \$ \_\_\_\_\_
  - (c) Other Government (TRICARE formerly known as CHAMPUS, please specify: (type) \_\_\_\_\_ )..... \$ \_\_\_\_\_
  - (d) **TOTAL Other Government** (please add lines a through c - Must equal E.6.a(3)(1) on page 25) ..... \$ \_\_\_\_\_

#### b. NET PATIENT SERVICE REVENUE FROM SELECTED GOVERNMENT SOURCES

#### NET SOURCES OF REVENUE

- (1) Trauma..... \$ \_\_\_\_\_
- (2) Tobacco Settlement..... \$ \_\_\_\_\_
- (3) Kidney Health. .... \$ \_\_\_\_\_
- (4) Children with Special Health Care Needs ..... \$ \_\_\_\_\_
- (5) Crime Victims ..... \$ \_\_\_\_\_
- (6) Local Government
  - (a) County Indigent: ..... \$ \_\_\_\_\_
  - (b) Hospital District: ..... \$ \_\_\_\_\_
  - (c) City/County Government: ..... \$ \_\_\_\_\_
- (7) Federal Government: ..... \$ \_\_\_\_\_
- (8) Other Government Revenue: ..... \$ \_\_\_\_\_
  - a. Other Government (Please Specify Type): \_\_\_\_\_

## 2021 AHA ANNUAL SURVEY

### Section K IMMUNIZATIONS AND PERINATAL DISEASE PREVENTION Definitions (continued)

#### 3. Employee Immunizations:

##### **Comprehensive Vaccination Policy Recommended for All Healthcare Personnel:**

HICPAC has encouraged any facility or organization that provides direct patient care to formulate a comprehensive vaccination policy for all healthcare personnel. The American Hospital Association has endorsed the concept of vaccination programs for both hospital personnel and patients. To ensure that all healthcare personnel are up to date with respect to recommended vaccines, facilities should review healthcare personnel vaccination and immunity status at the time of hire and on a regular basis (i.e., at least annually) with consideration of offering needed vaccines, if necessary, in conjunction with routine annual disease-prevention measures (e.g., influenza vaccination or tuberculin testing).

Source: Immunization of Health-Care Personnel: Recommendations of the Advisory Committee on Immunization Practices (ACIP), 2011. *MMWR* 2012; 60(RR07);1-45.

##### **Employee Immunization Policy:**

A hospital is considered to have a mandatory immunization policy if employees are REQUIRED to provide dates of vaccination or laboratory evidence of immunity. A hospital is considered to have a recommended immunization policy if vaccines are recommended for employees but are not required for employment. A hospital is considered to have a combination immunization policy if it REQUIRES vaccines for designated employees working in specified areas but only RECOMMENDS vaccines for other employees.

Source: Immunization of Health-Care Workers, Recommendations of the ACIP and the Hospital Infection Control Practices Advisory Committee (HICPAC), December 26, 1997.

#### **K4. General Immunization Section:**

##### **ImmTrac-Texas Immunization Registry:**

State law requires that a parent be given the opportunity to consent for immunization registry participation, or request exclusion from the registry, during birth certificate registration. Please assure that your hospital staff utilizes the Vital Statistics Unit Texas Electronic Registrar system for printing the ImmTrac Registration Form, follows appropriate procedures to offer the consent option to the parent, and forwards the completed form to the Vital Statistics Unit. The option to "GRANT consent for registration" will initiate an immunization record in ImmTrac for children born in Texas. ImmTrac, the Texas Immunization Registry, is a no-cost service that offers a secure and confidential registry available to all Texans. ImmTrac safely consolidates and stores immunization information from multiple sources electronically in one centralized system. Texas law requires written consent for ImmTrac participation and limits access to the registry to only those individuals who have been authorized by law. If your facility is not a currently registered for ImmTrac and would like more information, please visit <https://www.dshs.state.tx.us/immunize/ImmTrac/provider-resources/> or call (800) 252-9152 for more information.

##### **Hospital Immunization Practices Reviews:**

The Immunization Unit, Department of State Health Services, is available to work with your facility to develop or implement hospital immunization policies and to review your current immunization practices. For additional information regarding hospital immunization policies and reviews, please contact the Immunization Unit at (800) 252-9152.

##### **Texas Vaccines for Children:**

The TVFC program offers free vaccine to eligible children in Texas through registered providers. If you are not currently a TVFC provider and would like more information on how to register as a TVFC provider, please visit [http://www.dshs.state.tx.us/immunize/tvfc/tvfc\\_about.shtm](http://www.dshs.state.tx.us/immunize/tvfc/tvfc_about.shtm) or call (800) 252-9152 for more information.

#### **K5. Perinatal HIV and Congenital Syphilis Prevention:**

If you have questions please contact the TB/HIV/STD Section, Texas Department of State Health Services at (737) 255-4300 or fax (512) 989-4015.

##### **Perinatal HIV:**

Texas law (Chapter 81.090 of the Texas Health and Safety Code) requires that all pregnant women be screened for human immunodeficiency virus (HIV) at their first prenatal visit and during the third trimester. If no record of third trimester test results are available, an expedited test for HIV must be conducted at delivery. Expedited HIV testing of infants at delivery is also required if a mother's results are undetermined. If the mother's HIV status is unknown a maternal HIV test must be expedited and result obtained < 6 hours after birth and the newborn's blood must be drawn < 2 hours after birth.

HIV is a reportable condition in Texas and should be reported to the local or state health department.

The Texas Administrative Code supports the Texas Statute and provides details on the reporting process. TAC Title 25, Part 1, Chapter 97, Subchapter F outlines who, what, when, where and how to report cases of HIV and other STDs. A copy of the Texas Administrative Code is available here: [http://texreg.sos.state.tx.us/public/readtac\\$ext.ViewTAC?tac\\_view=5&ti=25&pt=1&ch=97&sch=F&rl=Y](http://texreg.sos.state.tx.us/public/readtac$ext.ViewTAC?tac_view=5&ti=25&pt=1&ch=97&sch=F&rl=Y).

The Texas Statute, Health and Safety Code, Chapter 81, Subchapter C establishes the reporting of HIV and AIDS to the local health authority. This subchapter outlines general reporting requirements for required entities in the state. A copy of the statute is available here: <http://www.statutes.legis.state.tx.us/Docs/HS/pdf/HS.81.pdf>.

Communicable disease reporting is exempt from HIPAA (Health Insurance Portability and Accountability Act of 1996). Additional information on HIV reporting requirements can be found online at <http://www.dshs.state.tx.us/hivstd/healthcare/reporting.shtm>.

**2021 AHA ANNUAL SURVEY**

**J. OTHER FINANCIAL AND UTILIZATION DATA (continued)**

**C. MEDICAID DISPROPORTIONATE SHARE HOSPITAL PAYMENTS**

**(DSH)** ..... \$ \_\_\_\_\_

**d. 1115 WAIVER PAYMENTS**

- (1) DSRIP (Delivery System Reform Incentive Payments) (include in net Other Medicaid E.6.a.2.f)(2)..... \$ \_\_\_\_\_
- (2) Uncompensated Care Payments..... \$ \_\_\_\_\_

**e. TOTAL ASSETS AND LIABILITIES**

- (1) Please report the amount of total hospital assets. .... \$ \_\_\_\_\_
- (2) Please report the amount of total hospital liabilities and fund balance..... \$ \_\_\_\_\_

**ASSETS/LIABILITIES**

**f. CHARITABLE CONTRIBUTIONS**

Indicate charitable contributions received by your hospital during this fiscal year (exclude contributions which are restricted to capital expenditure usage). \$ \_\_\_\_\_

**CHARITABLE CONTRIBUTIONS**

**2. ADMISSIONS** - Indicate total hospital admissions for your fiscal year for each of the categories specified in section J.2. Count each admission **only once** according to the **MAJOR PAYOR SOURCE** of the patient.

**a. GOVERNMENT SOURCES OF REVENUE ADMISSIONS**

**ADMISSIONS**

- (1) Medicare (Title XVIII) inpatient admissions (including Medicare Managed Care)..... \_\_\_\_\_
  - (a) How many Medicare admissions were Medicare Managed Care . \_\_\_\_\_
- (2) Medicaid (Title XIX) inpatient admissions (including Medicaid Managed Care) ..... \_\_\_\_\_
  - (a) How many Medicaid admissions were Medicaid Managed Care.. \_\_\_\_\_
- (3) Other Government Sources of Revenue admissions
  - (a) Local Government admissions (County, City) ..... \_\_\_\_\_
  - (b) State Government admissions (CSHCN, Kidney Health Care, CHIP, etc.)..... \_\_\_\_\_
  - (c) Other Government admissions (TRICARE, formerly known as CHAMPUS.) ..... \_\_\_\_\_
  - (d) **Total Other Government admissions (add lines a through c)** ..... \_\_\_\_\_
- (4) **TOTAL Government Sources of Revenue admissions (add lines 2a(1), 2a(2) and 2a(3)(d))** ..... \_\_\_\_\_

**b. NONGOVERNMENT SOURCES OF REVENUE ADMISSIONS (Exclude Newborns)**

- (1) Self Pay admissions ..... \_\_\_\_\_
- (2) Non-government Third-Party Payors admissions
  - (a) HMO admissions ..... \_\_\_\_\_
  - (b) PPO admissions ..... \_\_\_\_\_
  - (c) Other third-party payor admissions..... \_\_\_\_\_
  - (d) **TOTAL Non-government Third-Party Payors admissions** (add lines a through c) ..... \_\_\_\_\_
- (3) Other Non-government admissions (please specify: \_\_\_\_\_) ..... \_\_\_\_\_
- (4) **TOTAL Non-government Sources of Revenue admissions (add lines 2b(1), 2b(2)(d) and 2b(3))**..... \_\_\_\_\_

**c. TOTAL ADMISSIONS (add lines 2.a.4 and 2.b.4 - must equal E.1.e.(1) on page 23)**..... \_\_\_\_\_

**3. SELECTED INPATIENT DAYS** - Report inpatient days **ONLY** for these specific services **IF** the number of beds (# Beds) reported on page 5 (Section C) for these services is greater than zero. See page 40 for definitions.

- a. General medical-surgical care inpatient days (adult, include gynecology). (Report inpatient days if C1 #Beds >0) \_\_\_\_\_
- b. Pediatric medical-surgical care inpatient days. (Report inpatient days if C2 # Beds is >0)..... \_\_\_\_\_
- c. Cardiac intensive care inpatient days. (Report inpatient days if C5 # Beds is >0) ..... \_\_\_\_\_
- d. Pediatric intensive care inpatient days. (Report inpatient days if C8 # Beds is >0)..... \_\_\_\_\_
- e. Obstetric care inpatient days. (Report inpatient days if C3 # Beds is >0)..... \_\_\_\_\_

**INPATIENT DAYS**

## 2021 AHA ANNUAL SURVEY

### Section K IMMUNIZATIONS AND PERINATAL DISEASE PREVENTION Definitions (continued)

To prevent perinatal HIV transmission, the Panel on Treatment of Pregnant Women with HIV Infection and Prevention of Perinatal Transmission<sup>2</sup> recommends that:

1. Intravenous (IV) zidovudine should be administered to women living with HIV with HIV viral load >1,000 copies/mL (or unknown viral load) near delivery.
2. Intravenous (IV) zidovudine may be considered for women with HIV viral load between 50 and 999 copies/mL.
3. All infants exposed to HIV should receive antiretroviral (ARV) medication to reduce the risk of perinatal transmission of HIV. Infant ARV regimen should be determined based on maternal and infant factors that influence risk of HIV transmission

If there are questions about the treatment of an infant exposed to HIV please contact the Perinatal HIV hotline at (888) 448-8765 or refer to the "Guidelines for the Use of Antiretroviral Agents in Pediatric HIV Infection".

Congenital syphilis:

As of September 1, 2019 Texas law (Chapter 81.090 of the Texas Health and Safety Code) requires that all pregnant women be screened for syphilis at their first prenatal visit, during the third trimester (no sooner than 28 weeks gestation), and at time of delivery.

Syphilis is a reportable condition in Texas and should be reported to the local or state health department.

Promptly notify your local or regional health department of syphilis (any stage) at the time of diagnosis. Include pregnancy status in the report.

- All primary and secondary syphilis cases are required to be reported within 24-hours by telephone for public health follow-up.
- All other syphilis cases and syphilis test results are required to be reported within seven days (within three days for laboratories).
- To facilitate timely and adequate treatment for pregnant women, DSHS recommends reporting these syphilis diagnoses within 24 hours by telephone. For more information regarding reporting, please visit [www.dshs.texas.gov/hivstd/healthcare/reporting.shtm](http://www.dshs.texas.gov/hivstd/healthcare/reporting.shtm)

Texas healthcare providers are urged to:

- Screen all pregnant women for syphilis according to new testing requirements.
- Look for clinical signs/symptoms of syphilis in all patients.
- Treat patients with evidence of syphilis or recent exposure to syphilis on-site when possible. Document stage of syphilis and treatment administered.
- Report syphilis cases to your local or regional health department at the time of diagnosis. Include pregnancy status and treatment in the report.
- Test and evaluate newborns potentially exposed to syphilis in utero.
- Update electronic health record/electronic medical record systems to reflect new testing requirement.

**2021 AHA ANNUAL SURVEY**

**J. OTHER FINANCIAL AND UTILIZATION DATA (continued)**

**4. ADDITIONAL DATA**

Please see the definitions on page 40 in completing this section.

a. Total Discharges (exclude newborns, include neonatal and swing discharges).....	_____	_____	_____	_____
b. Total Discharge days (exclude newborns, include neonatal and swing days).....	_____	_____	_____	_____
c. Medicare/Medicaid visits and revenue:	<u>ER Visits</u>	<u>Outpatient Visits</u>	<u>ER Revenue</u>	<u>Outpatient Revenue</u>
(1) Routine Medicare .....	_____	_____	_____	_____
(2) Medicare managed care....	_____	_____	_____	_____
(3) Routine Medicaid.....	_____	_____	_____	_____
(4) Medicaid managed care ....	_____	_____	_____	_____

**K. IMMUNIZATIONS AND PERINATAL DISEASE PREVENTION**

Please refer to pages 42 and 44 in completing this section. If you have questions please contact the Immunization Unit, Texas Department of State Health Services at (800) 252-9152.

Name of person completing survey (Print): \_\_\_\_\_

Telephone # \_\_\_\_\_ Ext: \_\_\_\_\_

Please indicate your professional category:  Nurse Practitioner  MD or DO  Clinical Nurse Manager

Physician Assistant  Administrative personnel  Other - Please specify \_\_\_\_\_

Please indicate your title: \_\_\_\_\_

**1. HEPATITIS B PREVENTION**

	<b>Yes</b>	<b>No</b>
a. Does your hospital provide inpatient labor and delivery services? .....	<input type="checkbox"/>	<input type="checkbox"/>
b. Does your hospital have a policy and standing orders to test all pregnant women for Hepatitis B surface antigen (HBsAg) upon admission for delivery? .....	<input type="checkbox"/>	<input type="checkbox"/>
c. Does your hospital have a protocol for informing the pediatric health care provider that an infant was born to an HBsAg positive woman or woman of HBsAg-unknown status? .....	<input type="checkbox"/>	<input type="checkbox"/>
d. Does your hospital have a policy and standing orders to administer hepatitis B immune globulin (HBIG) within 12 hours of delivery for all infants born to HBsAg positive women?.....	<input type="checkbox"/>	<input type="checkbox"/>
e. Does your hospital have a policy and standing orders to administer a dose of hepatitis B vaccine to all newborns born to HBsAg-positive mothers within 12 hours of birth? .....	<input type="checkbox"/>	<input type="checkbox"/>
f. Does your hospital have a policy and standing orders to administer a dose of hepatitis B vaccine to all newborns within 24 hours of birth?.....	<input type="checkbox"/>	<input type="checkbox"/>
g. Number of women tested for HBsAg at delivery during the previous year.....		
h. Number of infants, born to all women, that received a dose of hepatitis B vaccine within 24 hours of delivery during the previous year.....		

**2. PERTUSSIS IMMUNIZATION**

	<b>YES</b>	<b>NO</b>
a. Does your hospital provide outpatient prenatal clinic services?.....	<input type="checkbox"/>	<input type="checkbox"/>
b. If yes to K2a., does the outpatient prenatal clinic have a policy and standing orders to vaccinate all pregnant women with (Tetanus-Diphtheria-acellular Pertussis vaccine) Tdap?.....	<input type="checkbox"/>	<input type="checkbox"/>

**3. EMPLOYEE IMMUNIZATION**

a. Indicate the type of employee policy that your hospital has below and vaccine(s) included (please check only one box for each vaccine):

	<u>MMR</u>	<u>Hepatitis B</u>	<u>Influenza</u>	<u>Tdap or Td*</u>	<u>Varicella</u>
Mandatory for employment:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Recommended for employment:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Or</b>					
Combination immunization policy:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
No Policy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

\*Tdap (Tetanus-Diphtheria-acellular Pertussis Vaccine); Td (Tetanus-Diphtheria Vaccine); MMR (Mumps Measles Rubella Vaccine)

**K. IMMUNIZATIONS AND PERINATAL DISEASE PREVENTION**



## 2021 AHA ANNUAL SURVEY Definitions (continued)

### Congenital Syphilis:

Texas law ([Chapter 81.090 of the Texas Health and Safety Code](#)) requires that all pregnant women be tested for syphilis at their first prenatal visit and again during the third trimester, between 28-32 weeks gestation. If no record of third trimester test results are available, a syphilis test must be performed at delivery. If mother's serological status is unknown at the time of delivery, then the newborn must be tested as well. Any woman who delivers a stillborn infant approximately 20 weeks gestation or older or approximately 500 grams or larger should be tested for syphilis.

1. [CDC treatment guidelines for pregnant women with syphilis](#) state that:
  - a. Penicillin G is the only known effective antimicrobial for preventing maternal transmission to the fetus and treating fetal infection.
  - b. Missed doses are not acceptable for pregnant women receiving therapy for late latent syphilis and pregnant women who miss any dose of therapy must repeat the full course of therapy.
  - c. No proven alternatives to penicillin are available for treatment of syphilis during pregnancy and pregnant women who have a history of penicillin allergy should be desensitized and treated with penicillin.
2. [CDC Evaluation and treatment guidelines for neonates](#) state that:
  - a. Treatment decisions should be made on the identification of syphilis in the mother; adequacy of mother's treatment; presence of clinical, laboratory, or radiographic evidence of syphilis in the neonate; and comparison of maternal (at delivery) and neonatal nontreponemal serologic titers.
  - b. Infants with proven or highly probable congenital syphilis, should be treated with intravenous aqueous crystalline penicillin for 10 consecutive days.
  - c. All infants with reactive nontreponemal tests should have a follow-up examinations and serologic testing every 3 months until the test becomes nonreactive.
    - i. Infants with an abnormal CSF evaluation should undergo a repeat lumbar puncture approximately every 6 months until the results are normal.

Sources:  
HIV, Syphilis and HBV Testing and Pregnancy: State Requirements for Texas Clinicians, Texas Department of State Health Services HIV/STD Program, June 2016.  
Panel on Treatment of Pregnant Women with HIV Infection and Prevention of Perinatal Transmission. Recommendations for Use of Antiretroviral Drugs in Transmission in the United States. Available at <http://aidsinfo.nih.gov/contentfiles/lvguidelines/PerinatalGL.pdf>. Accessed January 23, 2019  
2015 Sexually Transmitted Diseases Treatment Guidelines, Centers for Disease Control and Prevention, June 4, 2015

## 2021 AHA ANNUAL SURVEY

### K. IMMUNIZATIONS AND PERINATAL DISEASE PREVENTION

#### 4. GENERAL IMMUNIZATION SECTION

- a. Does the hospital have a written policy to provide immunization information to all new parents at a child's birth and before release from the hospital?  YES  NO
- b. Does the hospital offer new parents the opportunity to grant consent for immunization registry participation, or request exclusion from the registry, during birth certification registration?  YES  NO
- c. If your hospital provides delivery services, is your hospital registered as a Texas Vaccines for Children (TVFC) provider that provides free vaccine to those children who qualify?  YES  NO

#### 5. PERINATAL HIV AND CONGENITAL SYPHILIS PREVENTION

**Please refer to pages 40 and 42 when completing this section. If you have questions please contact the TB/HIV/STD Section, Texas Department of State Health Services at (737) 255-4300. Please send electronic copies of the policy and standing orders to Ellison Taylor (email address: [Ellison.Taylor@dshs.texas.gov](mailto:Ellison.Taylor@dshs.texas.gov)) for perinatal HIV and Jessica Del Toro (email address: [Jessica.DelToro@dshs.texas.gov](mailto:Jessica.DelToro@dshs.texas.gov)) for congenital syphilis.**

- a. Does your hospital provide:
- Outpatient Prenatal Clinic Services  Inpatient Delivery Services   
(If neither service is provided, skip to L1 on page 51).
- b. Does your outpatient prenatal clinic have a policy/standing delegation orders to screen all pregnant women for HIV and/or syphilis at the first prenatal visits? (If yes, please send an electronic copy of the policy/standing delegation orders)  YES  NO
1. If yes, check all that apply:  HIV  Syphilis
- c. Does your outpatient prenatal clinic have a policy/standing delegation orders to screen all pregnant women for HIV and/or syphilis during the third trimester? (For syphilis, 28-32 weeks gestation)? (If yes, please send an electronic copy of the policy/standing delegation orders)  YES  NO
1. If yes, check all that apply:  HIV  Syphilis
- d. Does your outpatient prenatal clinic have a policy/standing delegation orders to conduct follow up testing on all pregnant women diagnosed with syphilis during their current pregnancy to evaluate their serologic response to treatment?  YES  NO  
(If inpatient delivery services are not provided, skip to L1 on page 51).
- e. Does your hospital have a policy/standing delegation orders to screen all pregnant women for HIV and/or syphilis upon admission for delivery? (If yes, please send an electronic copy of the policy/standing delegation orders)  YES  NO
1. If yes, check all that apply:
- HIV  Syphilis  HIV, if no third trimester test result can be located  
 Syphilis, if no third trimester result can be located  Syphilis, if infant is stillborn
- f. Does your hospital have a policy/standing delegation orders to administer intravenous (IV) zidovudine at delivery to women living with HIV and/or to administer HIV antiretroviral (ARV) medications within 6 to 12 hours post-delivery to all infants born to women living with HIV? (If yes, please send an electronic copy of the policy/standing delegation orders)  YES  NO
1. If yes, check all that apply:
- Intravenous (IV) zidovudine at delivery to women living with HIV  
 ARV medications within 6 to 12 hours post-delivery to infants born to women living with HIV
- g. Does your hospital have a policy/standing delegation orders to provide a 4 to 6 week course of HIV antiretroviral (ARV) prophylaxis to all infants born to women living with HIV, upon discharge? (If yes, please send an electronic copy of the policy/standing delegation orders)  YES  NO
1. If yes, check all that apply:
- By prescription  Given 4 to 6 week supply prior to discharge
- h. Does your hospital have a policy/standing delegation orders to refer infants to follow-up care post-discharge if born to a mother living with HIV and/or if clinically diagnosed with congenital syphilis? (If yes, please send an electronic copy of the policy/standing delegation orders)  YES  NO
1. If yes, check all that apply:
- Refer infants born to a mother living with HIV  Refer infants clinically diagnosed with congenital syphilis
- i. Does your hospital have a policy/standing delegation orders to test and treat all infants born to women diagnosed with syphilis during pregnancy? (If yes, please send an electronic copy of the policy/standing delegation orders)  YES  NO
1. If yes, check all that apply:
- Test infants born to women diagnosed with syphilis during pregnancy  
 Treat infants born to women diagnosed with syphilis post-delivery

## 2021 AHA ANNUAL SURVEY

### SECTION L CHARITY CARE AND COMMUNITY BENEFITS INFORMATION Instructions and Definitions

**2.a. Charity Care (provided by your hospital):** Health care services provided, funded, or otherwise financially supported on an inpatient or outpatient basis to a person classified by the hospital as “financially indigent” or “medically indigent.”

**Hospital Eligibility System:** The financial criteria and procedure used by a hospital to determine if a patient is eligible for charity care. The system shall include income levels and means testing indexed to the federal poverty guidelines, provided, however, that the hospital does not establish an eligibility system which sets the income level eligible for charity care lower than that required by counties under Section 61.023, or higher, in the case of the financially indigent, than 200 percent of the federal poverty guidelines. A hospital may determine that a person is financially or medically indigent pursuant to the hospital’s eligibility system after health care services are provided.

**2.b. Financially Indigent:** An uninsured or underinsured person who is accepted for care with no obligation or a discounted obligation to pay for the services rendered based on the hospital’s eligibility system. **Medically Indigent:** A person whose medical or hospital bills after payment by third-party payors exceed a specified percentage of the patient’s annual gross income, determined in accordance with the hospital’s eligibility system, and who is financially unable to pay the remaining bill.

**3. Charity Care (provided through other organizations):** The total amount provided, funded or otherwise financially supported for health care services provided to financially indigent patients through OTHER nonprofit or public outpatient clinics, hospitals or health care organizations. **Please do NOT include charity care provided to the financially or medically indigent on an inpatient or outpatient basis in your facility.**

**4.a. Subsidized Health Services:** Those services provided by a hospital in response to community needs for which the reimbursement is less than the hospital’s cost for providing the services and which must be subsidized by other hospital or nonprofit supporting entity revenue sources. Subsidized health services may include but are not limited to:

- emergency and trauma care;
- neonatal intensive care;
- freestanding community clinics; and
- collaborative efforts with local government or private agencies in preventive medicine, such as immunization programs.

**4.b. Donations:** The unreimbursed costs of providing cash and in-kind services and gifts, including facilities, equipment, personnel, and programs, to other nonprofit or public outpatient clinics, hospitals, or health care organizations.

**4c. Research-Related Costs:** The reimbursed or unreimbursed cost to a hospital of providing, funding, or otherwise financially supporting facilities, equipment, and personnel for medical and clinical research conducted in response to community needs.

**4.d. Education-Related Costs:** The reimbursed or unreimbursed cost to a hospital of providing, funding, or otherwise financially supporting educational benefits, services, and programs including:

- education of physicians, nurses, technicians, and other medical professionals and health care providers;
- provision of scholarships and funding to medical schools, colleges, and universities for health professions education;
- education of patients concerning diseases and home care in response to community needs; and
- community health education through informational programs, publications, and outreach activities in response to community needs.

**Local Programs:** Include County Indigent Health Care that covers all those under 21 percent Federal Poverty Level (FPL) who are not eligible for Medicaid. Also include other programs where a unit of local government pays for the care or provides insurance based on specific medical conditions and/or financial need. **Excludes** public sector employees’ care and related payments.

**State programs:** Programs such as the Children’s Health Insurance Program and the Kidney Health Program, where the State of Texas pays for care or provides insurance based on specific medical conditions and/or financial need. This includes care provided to state inmates or prisoners.

**Medicare:** Include charges for persons enrolled in the federal Medicare program under Title XVIII of the Social Security Act. Enrollees are typically elderly or the disabled.

**8a. Medicare supplemental payments:** Report reconciling or settle-up payments received from the federal government for the Medicare Program received during the reporting period, regardless of the date of service. These include Medicare DHS and IME.

**8b. Tax revenue:** Public hospitals shall report tax revenue or collections, less any intergovernmental transfers (IGTs) in support of Medicaid payments.

**8b.1. Intergovernmental transfers for DSH:** Tax revenues used as intergovernmental transfers (IGTs) to the state in support of the Disproportionate Share Hospital (DSH) program in Medicaid, if applicable.

**8b.2. Intergovernmental transfers for 1115 Waiver Payments:** Tax revenues used as intergovernmental transfers (IGTs) to the state in support of the 1115 Waiver payments, if applicable.

**8b.3. Other Intergovernmental transfers IGTs:** Tax revenues used as intergovernmental transfers (IGTs) to the state to be used as match in federal funding programs, excluding DSH and UC Pool. Report only if applicable.

**8c. Collections from patients previously reported as uncompensated:** Payments from the patients whose care was reported as uncompensated (charity, self-pay/uninsured, or partially insured) received after reporting information to the state, regardless of the year of service. These amounts will not be used to recalculate prior year(s) residual uncompensated care but are considered available revenue to offset the cost of care provided to other patients in the current reporting period.

**8d. Collections from patients meeting trauma eligibility previously reported as uncompensated:** Payments from patients whose care was reported as uncompensated (charity, bad debt, uninsured/self-pay and/or partially insured) and eligible for reimbursement under the state trauma program received after reporting information to the state, regardless of the date of service. These payments are considered available revenue to offset the cost of care provided to trauma patients in the current reporting period.

**2021 AHA ANNUAL SURVEY**

**K. IMMUNIZATIONS AND PERINATAL DISEASE PREVENTION (continued)**

- 2. Does your hospital have a policy/standing delegation orders to evaluate infants born to persons diagnosed with syphilis?  
 YES    No
- 3. If yes, what evaluations are run on infants born to persons diagnosed with syphilis?  
 Confirmation labs such as PCR, darkfield, IHC, or special stains  
 Longbone x-rays  
 CSF VDRL  
 CSF proteins and WBC
- j. Does your hospital have a policy/standing delegation orders to treat persons post-delivery who were diagnosed with syphilis upon admission for delivery? (If yes, please send an electronic copy of the policy/standing delegation orders)    YES    NO
- k. Does your hospital have an electronic health record (EHR)?    YES    NO
  - a. If yes, does your EHR have a mechanism to alert clinicians to abnormal lab results for HIV and Syphilis?  
 YES    NO

**L. CHARITY CARE AND COMMUNITY BENEFITS INFORMATION**

Please refer to the definitions on pages 50 in completing this section

**CHARITY  
ADMISSIONS**

**1. CHARITY ADMISSIONS** (total number of charity inpatient only) .....

**2. CHARITY CARE POLICY**

- a. Has your hospital governing body adopted a charity care policy statement and formal hospital eligibility system that it uses to determine eligibility for the charity care services it provides? (IF YES, PLEASE RETURN A COPY OF THAT POLICY WITH THIS QUESTIONNAIRE)  
 YES    NO
- b. If yes, does your charity care policy address:
  - (1) care for the "financially indigent"?    YES    NO
  - (2) care for the "medically indigent"?    YES    NO

**3. CHARITY PROVIDED THROUGH OTHER ORGANIZATIONS** - Please indicate the unreimbursed cost of providing, funding or otherwise financially supporting health care services provided to financially indigent persons through other nonprofit or public outpatient clinics, hospitals or health care organizations. ....

**AMOUNT**

\$ \_\_\_\_\_

**4. COMMUNITY BENEFITS INFORMATION**

a. Please provide an estimate of the unreimbursed cost of SUBSIDIZED HEALTH SERVICES reported separately for the following categories:

- (1) Emergency Care ..... \$ \_\_\_\_\_
- (2) Trauma Care ..... \$ \_\_\_\_\_
- (3) Neonatal Intensive Care ..... \$ \_\_\_\_\_
- (4) Freestanding community clinics, e.g., rural health clinics..... \$ \_\_\_\_\_
- (5) Collaborative efforts with local government(s) and/or private agency or agencies in preventive medicine, e.g., immunization programs. .... \$ \_\_\_\_\_
- (6) Other services that satisfy the definition of "subsidized health services" (please specify):
  - (a) ..... \$ \_\_\_\_\_
  - (b) ..... \$ \_\_\_\_\_
  - (c) ..... \$ \_\_\_\_\_
  - (d) ..... \$ \_\_\_\_\_
  - (e) ..... \$ \_\_\_\_\_

b. Please indicate the amount of DONATIONS your hospital made during this reporting period ..... \$ \_\_\_\_\_

c. Please indicate the total amount of funds received and expenses for RESEARCH:

- (1) TOTAL AVAILABLE FUNDS..... \$ \_\_\_\_\_
- (2) LESS TOTAL EXPENSES..... \$ \_\_\_\_\_
- (3) TOTAL NET FUNDS [Item 4c(1) - item 4c(2)]..... \$ \_\_\_\_\_

d. Please indicate the amount of funds received and expenses for EDUCATION separated into the following categories:

**L. CHARITY CARE AND COMMUNITY BENEFITS INFORMATION (continued)**

(1) *Education of physicians, nurses, technicians and other medical professionals and health care providers.*

- (a) TOTAL AVAILABLE FUNDS ..... \$ \_\_\_\_\_
- (b) LESS TOTAL EXPENSES ..... \$ \_\_\_\_\_
- (c) TOTAL NET FUNDS [Item 4d(1)(a) - item 4d(1)(b)]..... \$ \_\_\_\_\_

(2) *Scholarships and funding to medical schools, colleges, and universities for health professions education.*

- (a) TOTAL AVAILABLE FUNDS ..... \$ \_\_\_\_\_
- (b) LESS TOTAL EXPENSES ..... \$ \_\_\_\_\_
- (c) TOTAL NET FUNDS [Item 4d(2)(a) - item 4d(2)(b)]..... \$ \_\_\_\_\_

(3) *Education of patients concerning diseases and home care in response to community needs.*

- (a) TOTAL AVAILABLE FUNDS ..... \$ \_\_\_\_\_
- (b) LESS TOTAL EXPENSES ..... \$ \_\_\_\_\_
- (c) TOTAL NET FUNDS [Item 4d(3)(a) - item 4d(3)(b)]..... \$ \_\_\_\_\_

(4) *Community health education through informational programs, publications, and outreach activities in response to community needs.*

- (a) TOTAL AVAILABLE FUNDS ..... \$ \_\_\_\_\_
- (b) LESS TOTAL EXPENSES ..... \$ \_\_\_\_\_
- (c) TOTAL NET FUNDS [Item 4d(4)(a) - item 4d(4)(b)]..... \$ \_\_\_\_\_

(5) *Other educational services that satisfy the definition of "education-related costs"*

- (a) TOTAL AVAILABLE FUNDS ..... \$ \_\_\_\_\_
- (b) LESS TOTAL EXPENSES ..... \$ \_\_\_\_\_
- (c) TOTAL NET FUNDS [Item 4d(5)(a) - item 4d(5)(b)]..... \$ \_\_\_\_\_

**8. LUMP SUM FUNDING**

- a. Medicare supplemental payments ..... \$ \_\_\_\_\_
- b. Tax revenue..... \$ \_\_\_\_\_
  - 1. Intergovernmental transfers for DSH..... \$ \_\_\_\_\_
  - 2. Intergovernmental transfers for 1115 Waiver Payments..... \$ \_\_\_\_\_
  - 3. Other intergovernmental transfers for Medicaid..... \$ \_\_\_\_\_
- c. Collections from patients previously reported as uncompensated ..... \$ \_\_\_\_\_
- d. Collections from trauma patients previously reported as uncompensated ..... \$ \_\_\_\_\_

**M. ER VISITS FOR INSURED/UNINSURED PATIENTS**

1. Total number of **visits** by **insured** patients **WHO WERE** treated in the ER and,
  - a. Were **admitted** into the hospital: \_\_\_\_\_
  - b. Were **not admitted** into the hospital: \_\_\_\_\_
2. Total number of **visits** by **uninsured** patients **WHO WERE** treated in the ER and,
  - a. Were **admitted** into the hospital: \_\_\_\_\_
  - b. Were **not admitted** into the hospital: \_\_\_\_\_
3. What percentage of your emergency visits are for medical conditions or services outside your hospital's area(s) of specialty? \_\_\_\_\_%
4. What percentage of your emergency visits are transferred to other facilities? \_\_\_\_\_%
5. How many Emergency Medical Clinics does the hospital have off-campus? \_\_\_\_\_

**N. NURSING SERVICES**

1. Has the governing body of the hospital adopted a nurse staffing policy as required by Section 257.003 in the Health and Safety Code? YES  NO
2. Has the hospital established a nurse staffing committee as required by Section 257.004 in the Health and Safety Code? YES  NO
3. Has the nurse staffing committee evaluated the hospital's official nurse services staffing plan as required by Section 257.004? YES  NO
4. Has the nurse staffing committee reported results of the evaluation of the nurse services staffing plan to the hospital's governing body as required by Section 257.004? YES  NO
5. Has the nurse staffing committee selected nurse-sensitive outcome measures to use in evaluating the hospital's official nurse services staffing plan as required by Section 257.005 in the Health and Safety Code? YES  NO
6. What nurse-sensitive outcome measures have been selected to use in evaluating the hospital's official nurse services staffing plan as required by Section 257.005 in the Health and Safety Code?

7. How many International Board Certified Lactation Consultant (IBCLC) full-time equivalents (FTEs) does your facility have on staff?

# \_\_\_\_\_ budgeted FTEs                      # \_\_\_\_\_ filled FTEs

8. Does your hospital's board have any Registered Nurse (RN) members? YES  NO
- a. If yes, does the RN board member have full voting privileges? YES  NO

**SECTION N  
NURSING SERVICES  
Instructions and Definitions**

**7.** An International Board Certified Lactation Consultant, or IBCLC, is a health care professional who specializes in the clinical management of breastfeeding and who is certified by the International Board of Lactation Consultant Examiners Inc. under the direction of the US National Commission for Certifying Agencies.

**SECTION P  
ELECTRONIC EXCHANGE  
Instructions and Definitions**

**Electronic Exchange:** Electronic exchange of patient healthcare information refers to exchanging of data through non-manual means, such as EHRs and/or portals, and excludes fax/paper.

**O. NEVER EVENTS**

SB 203 (81<sup>st</sup> Legislative session) requires the reporting of preventable adverse events identified by the National Quality Forum (NQF) as "never events." A list of never events is available at: <https://dshs.texas.gov/chs/hosp/hosp2.aspx>

1. Does your facility keep electronic records of some or all of the "never" events identified by the NQF?\* YES  NO 
  - a. If no, does your facility collect data on some or all of these never events at all? YES  NO
  - b. If yes, does your facility have the capability of electronically submitting patient level data on the "never" events to the State in a format that is used nationally such as HL7 (Health Level 7)? \*\* YES  NO

\*The Serious Reportable Events in Healthcare can be found at <http://www.dshs.texas.gov/chs/hosp/sreh.pdf> and the \*\*Health Level 7 data standards can be found at <http://www.dshs.texas.gov/chs/hosp/hl7.pdf> \*\*

**P. ELECTRONIC EXCHANGE:**

Which of the following patient data does your hospital electronically exchange with one or more of the provider types listed below? (Check all that apply):

	With hospitals in your system	With hospitals outside of your system	With ambulatory providers inside of your system	With ambulatory providers outside of your system	Do not know
a. Patient demographics	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Laboratory results	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Medication history	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Radiology reports	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Clinical/Summary care record in any format	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Other types of patient data	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. We do not exchange any patient data	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. Allow access to electronic health records	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



**Q. CERTIFICATION STATEMENT:**

I certify that the information provided on this survey is true, complete, and correct to the best of my knowledge.

Date of Completion \_\_\_\_\_ Signature of Administrator \_\_\_\_\_

\_\_\_\_\_  
Month/Day/Year

\_\_\_\_\_  
Name (please print)

\_\_\_\_\_  
Title

**Does your hospital or health system have an Internet or Homepage address?  YES  NO**  
**If yes, please provide the address: http://**

Thank you for your cooperation in completing this survey. If there are any questions about your survey, who should be contacted?

\_\_\_\_\_  
Primary Contact (please print)      \_\_\_\_\_  
Title      (      )  
Telephone number      (      )  
Fax Number

\_\_\_\_\_  
Electronic/Internet Mail address

\_\_\_\_\_  
Secondary Contact (please print)      \_\_\_\_\_  
Title      (      )  
Telephone number      (      )  
Fax Number

\_\_\_\_\_  
Electronic/Internet Mail address

\_\_\_\_\_  
Chief Nursing Officer (Director of  
Nursing) (please print)      \_\_\_\_\_  
Title      (      )  
Telephone number      (      )  
Fax Number

\_\_\_\_\_  
Electronic/Internet Mail address

\_\_\_\_\_  
Electronic Health Records Privacy Officer  
(please print)      \_\_\_\_\_  
Title      (      )  
Telephone number      (      )  
Fax Number

\_\_\_\_\_  
Electronic/Internet Mail address

**NOTE: PLEASE COPY THIS SURVEY FORM FOR YOUR HOSPITAL FILE BEFORE RETURNING THE ORIGINAL FORM TO THE DEPARTMENT OF STATE HEALTH SERVICES. THANK YOU.**

**\*Please take a brief second to fill out the four question feedback survey in the link below.**

[https://tcnws.co1.qualtrics.com/jfe/form/SV\\_0IENJ4LgFt35DDv](https://tcnws.co1.qualtrics.com/jfe/form/SV_0IENJ4LgFt35DDv)