



CHS-THCIC • 1100 W. 49th Street • M-660 • Austin Texas 78756 • Phone 512-458-7261 • www.dshs.state.tx.us/thcic

Texas Health Care Information Collection - THCIC
 Health Facilities Numbered Letter, Volume 14 Number 1
 January 6, 2011

System To Be Down (Hospitals and ASCs)

System13 is in the process of upgrading the system to process and audit Present on Admission (POA) indicators for inpatient data. The System will be unavailable beginning Tuesday, January 11 at 12:01a (E.S.T.), and is expected to be completed by Tuesday, January 18, by 11:59p (E.S.T.).

Extended Timeline (Hospitals and ASCs)

Due to the POA upgrade, THCIC has extended the 2q2010 certification corrections to January 21st and 3q2010 correction timeline to February 8th.

New System Capabilities to be Available (Hospitals and ASCs)

- Capability for facilities to generate quarterly certification data when you're ready
- Capability to download the certification data in a computer readable format (fixed length format) so that you may analyze your certification data with a software of your choice

Capability to generate your quarterly certification data:



Beginning February 9th all facilities will be able to generate their quarterly certification data and the corresponding certification data reports from the time a quarter ends (ex.: 4q10 ends December 31, 2010) (and you are certain your quarterly data has been submitted and is complete and accurate) until the end of the corrections period for that quarter.

This new capability will allow facilities to access their certification data and certification data reports earlier than if you wait for System13 to generate it as per the THCIC schedule (see the schedule below).

- Until now System13 generated the quarterly certification data for a given quarter after the end of the corrections period. This is 120 days after the end of the reporting quarter.

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 - b. Download copy of certification
- Data Reporting Schedule
- POA Reporting for Hospitals
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- With this new capability a facility can elect to generate its quarterly certification data prior to System13's generation schedule. You will be able to review it, via the "Certifications" tab, and certify it when you're ready.
- For those facilities that do not generate their certification data before the end of the corrections period, System13 will generate the certification data automatically, at the end of the corrections period, for the reporting quarter, just as it has been doing in the past.

BE AWARE OF THE FOLLOWING:

1. The quarterly certification data can be generated only ONCE without incurring any fees.
 2. The quarterly certification data is "Frozen" after it has been generated since the intent is for this data to now be certified.
 - a. Once you generate your certification data for a given quarter YOU WILL NOT BE ABLE to access that quarter's data from the system's claims or corrections tabs any more. The quarterly certification data will be available only from the Certifications tab;
 - b. If any corrections are needed after the generation of the data, the data will have to be restored so it can be accessed for corrections under the system's Corrections tab. This (restoring your data) is requested through System13 and there is a fee for this service.
- Earlier article on generating certification is available in the Vol 13 Num 6 newsletter (page 3) and may be downloaded at <http://www.dshs.state.tx.us/THCIC/hospitals/NumberedLetters/2010/vol13no6.pdf>.

Capability for certification data file download



Effective February 9th all facilities will be able to download their certification file. This capability will allow you to request the creation of a downloadable file containing the quarterly certification data for a given quarter as long as the quarter's certification data is accessible for viewing via the system's certification page. In the past you had to contact System13 to request a downloadable file.

The file will be in a fixed length format and the data layout will be downloaded with the file. This will allow you to analyze the data with software analysis tools of your choice.

BE AWARE that that once you request this file, the file will be created and available for downloading for 30 days. The download file will be removed from the system after 30 days from the date of the download file creation.

There will be a fee for each additional time the file is created; therefore, it is important that you download the file to your computer within 30 days of requesting its creation to avoid any fees.

Training materials for the use of these new capabilities will be available on the THCIC website beginning January 21, 2011. Please check our website for the training schedule at <http://www.dshs.state.tx.us/thcic/hospitals/Training.shtm>.

Data Submission Schedule

Key Activity Due Dates by Quarter

Activity	Q2 2010 (Apr-Jun 10)	Q3 2010 (Jul-Sep 10)	Q4 2010 (Oct-Dec 10)	Q1 2011 (Jan-Mar 11)
Due date for initial submission		12-1-10	3-1-11	6-1-11
Due date for corrections to data containing errors		2-8-11 (Date change)	5-1-11	8-1-11
System13 begins generation of certification files if facility has not already implemented		2-9-11	5-2-11	8-2-11
Facilities begin review of certification files	12-1-10	3-1-11	6-1-11	9-1-11
Due date for corrections at time of certification (applies only if certification corrections are requested)	1-21-11 (Date change)	4-15-11	7-15-11	10-15-11
Final certification available to facilities (applies only if certification corrections were made)	2-1-11	5-1-11	8-1-11	11-1-11
Certification/comments due	3-1-11	6-1-11	9-1-11	12-1-11

Communication

Don't forget to include your THCIC ID number when sending an E-mail or leaving a phone message with THCIC or System13.

Reporting of Diagnosis Present on Admission (POA) Data to THCIC

(Hospitals)

Who is required to submit POA data?

POA data is required on Inpatient data for acute care facilities as determined by the facility type. Please see the list for Hospitals and Ambulatory Surgical Centers for information regarding the POA status of your facility, either Yes (Required) or No (Not Required). This list can be found in the THCIC website (<http://www.dshs.state.tx.us/thcic/hospitals/FacilitesList.xls>)

POA data is NOT required for Outpatient Data.

When will THCIC start requiring non-exempt hospitals to send POA Data?

THCIC will require POA data in claims from non-exempt facilities starting on January 29, 2011.

If a non exempt hospital doesn't send POA indicators for the corresponding diagnosis fields, the claim will be marked in error.

When will THCIC start collecting POA data?

THCIC encourages hospitals that will be sending 1q 2011 claims with POA data, via 837 file or via WebClaim, to wait until January 19 to submit or enter your THCIC data.

Can exempt hospitals send POA data?

Yes, exempt hospitals can send POA data. However if an exempt facility sends POA data the POA data must be valid, otherwise, the claim(s) will show the corresponding field(s) in error.

Where can the specifications for POA data be found?

Specifications for POA data can be found in the Technical Specifications for Inpatient Data in http://www.dshs.state.tx.us/THCIC/hospitals/Inpatient_THCIC837.pdf (THCIC Hospital Discharge Data Collection, THCIC 837 Technical Specifications, Version 17 October 13, 2010)

References to other POA related documentation:

Rules regarding POA submission can be found in <http://www.sos.state.tx.us/texreg/pdf/backview/1029/1029adop.pdf> (*ADOPTED RULES October 29, 2010 35 TexReg 9745*)

CMS POA coding and a link to POA exempt codes can be found in:

https://www.cms.gov/HospitalAcqCond/05_Coding.asp

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Training (Hospitals, ASCs and Submitters)

WebClaim – Component for manually entering data

WebCorrect – Component for correcting data

Upload/ Download - Upload 837 batch files

WebCert (Inpatient Certification) – Certifying your facility Inpatient Data

WebCert (Outpatient Certification) – Certifying your facility Outpatient Data

Training sessions are posted at <http://www.dshs.state.tx.us/thcic/hospitals/Training.shtm>. There is no limit on how many times you can sign up for the free on-line training.

If you would like to attend the training sessions, please e-mail Tiffany Overton at thcichelp@dshs.state.tx.us and:

Include your preferred training Date and Time in the “Subject” line; and the following in the message area:

- THCIC ID Number
- Facility Name
- Participant(s) Name and Title
- E-mail Address
- Phone Number

Important Phone Numbers

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THCIC Staff – 512-458-7111

Bruce Burns, D.C.	extension 6431	Rules and policy issues, 837 format issues
Miren Carranza	extension 3287	Project Manager
Sylvia Cook	extension 6438	Facility reports, data use
Sheila Molina	extension 6546	PUDF requests
Wang-Shu Lu	extension 6453	Facility reports and analysis
Dee Roes	extension 3374	Facility compliance, research files request
Tiffany Overton	extension 2352	Facility training (submission, correction, and certification)

THCIC fax – 512-458-7740



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Texas Health Care Information Collection - THCIC
Health Facilities Numbered Letter, Volume 14 Number 2
February 24 , 2011

Outpatient Public Use Data File (PUDF) Data Release – 4th Quarter 2009

On March 1st THCIC will release for the first time data on outpatient surgical and radiological procedures occurring in October, November, and December 2009 reported by Texas licensed hospitals and ambulatory surgery centers.

An Introductory price is being offered for the 4q2009 Outpatient - Public Use Data File (PUDF). The Outpatient Order Form may be downloaded at

<http://www.dshs.state.tx.us/THCIC/Hospitals/OutpatientPUDFOrderForm.pdf>

The 4q09 Outpatient PUDF contains data on surgical and radiological outpatient events from 677 Texas hospitals and ambulatory surgery centers for the 4th quarter 2009 and includes 123 data fields in a base data file, 141 data fields in a classifications data file, 27 data fields in a facility type data file, and 13 data fields in a detailed charges file. A data dictionary describing each data field may be downloaded at <http://www.dshs.state.tx.us/THCIC/Hospitals/DataDictionary.pdf>.

Base and classifications data file: 1,814,435 records

Charges data file: 13,274,527 records

Purchasers of the 4q2009 Outpatient PUDF must complete an order form and data use agreement, mailing in the completed packet with payment. Only checks are accepted.

Purchasers may wish to review the 4q2009 Outpatient PUDF Reporting Status, 2009 prior to purchasing the data, which is posted at

<http://www.dshs.state.tx.us/THCIC/Hospitals/ReportingStatus.pdf>.

The 4q2009 Outpatient PUDF ordering information may also be downloaded from

<http://www.dshs.state.tx.us/thcic/Hospitals/HospitalData.shtm>.

For those that wish to pre-purchase the complete 2010 Outpatient PUDF, the expected release dates are as follows:

- 1q10 – March 2011
- 2q10 – June 2011
- 3q10 – September 2011
- 4q10 – December 2011

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Inpatient Public Use Data File (PUDF) 1st Quarter 2010 Release

THCIC released the 1st quarter 2010 Inpatient Discharges PUDF on February 3, 2011.

The 1q10 Inpatient PUDF contains data on discharges from 546 Texas hospitals for the 1st quarter 2010 and includes 255 data fields in a base data file representing 773,094 records and 13 data fields in a detailed charges file representing 12,296,693 records.

The PUDF contains data on hospital inpatient discharges and includes:

- Patient demographic data - including age group, sex, race and ethnicity
- Geographic data - including the patient's zip code
- Diagnoses and procedures
- Expected primary and secondary sources of payment – including detailed charges

The Texas PUDF is one of the largest sources of hospital discharge data in the U.S. It can be used for:

- Studying and improving health care services – including monitoring patient quality and outcomes
- Strategic planning – including comparative analyses and market assessment
- Marketing and business development – including program and product line planning

Information on the individual data fields is included in the 2010 User Manual and may be previewed at <http://www.dshs.state.tx.us/THCIC/Hospitals/UserManual.pdf>.

Purchasing the Inpatient PUDF requires a completed order form and data use agreement, which may be downloaded at www.dshs.state.tx.us/thcic.

For those that wish to pre-purchase the complete 2010 Inpatient PUDF, the expected release dates are as follows:

2q10 – June 2011

3q10 – September 2011

4q10 – December 2011

Training (Hospitals, ASCs and Submitters)

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WebCorrect – Component for correcting data

Upload/ Download - Upload 837 batch files

WebCert (Inpatient Certification) – Certifying your facility Inpatient Data

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WebCert (Outpatient Certification) – Certifying your facility Outpatient Data

Training sessions are posted at <http://www.dshs.state.tx.us/thcic/hospitals/Training.shtm>. There is no limit on how many times you can sign up for the free on-line training.

If you would like to attend the training sessions, please e-mail Tiffany Overton at thcichelp@dshs.state.tx.us and:

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Texas Health Care Information Collection - THCIC
 Health Facilities Numbered Letter, Volume 14 Number 3
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Consumer Information

THCIC has added a new link on our website called “*Statistical Briefs*”. The briefs are a series of simple, descriptive statistics on specific, focused topics and may be viewed at <http://www.dshs.state.tx.us/thcic/publications/hospitals/HospitalReports.shtm>. Additional briefs will be added as they are released.

Mandatory Upgrade from 4010 to 5010 Electronic Claim Format

Note: This does not apply to providers that submit claims to THCIC only in WebClaim.

The Centers for Medicare and Medicaid Services (CMS) has adopted version 5010 as the standard 837 electronic claim format, which will be required by January 1, 2012.

THCIC will begin accepting version 5010 on June 1, 2011 for providers who plan to apply the new standard early and will **REQUIRE** all THCIC electronic data submissions to be in the 5010 claim format by June 1, 2012.

THCIC will accept both the current 4010 and new 5010 versions from June 1, 2011 through June 1, 2012. Effective June 1, 2012, only the 5010 version will be accepted by THCIC.

Providers that submit to THCIC electronically should contact their Billing Software Provider to inquire about upgrading to version 5010.

The changes from version 4010 to version 5010 are located in the THCIC Inpatient and Outpatient Technical Specifications Manuals. The links are provided below.

The **THCIC Inpatient Technical Specifications 5010 Manual** is posted at <http://www.dshs.state.tx.us/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=8589950651>.

The **THCIC Outpatient Technical Specification 5010 Manual** is posted at <http://www.dshs.state.tx.us/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=8589948314>.

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Hospital Review – IQI and PDI 2009 Quality Report Release

THCIC will release *Indicators of Inpatient Care in Texas Hospitals, 2009 (IQI)* and *Quality of Children's Care in Texas Hospitals, 2009 (PDI)* in August 2011. All hospitals that provided Inpatient data to THCIC will be given the opportunity to review and/or comment on the report prior to its release. Beginning April 25, 2011 and ending June 23, 2011 your hospital will have access to a restricted website for viewing the data in the report and submitting a comment that will be released with the report.

The 2009 IQI and PDI reports that will be released in August 2011 will include mean charges for each indicator, by hospital. An additional report on composites of the mortality indicators for selected procedures and of the mortality indicators for selected conditions for each hospital will be included in the IQI report.

THCIC will mail each reporting hospital notification of the review the week of April 11th and it will be mailed to the physical address of the hospital to the attention of the hospital administrator.

If your hospital administrator has not received this letter by April 26, please send an email to dee.roes@dshs.state.tx.us. THCIC will only provide the login information to the current Provider Primary Contact, Alternate Contact, or Certifier Contact. Please provide your THCIC ID number in the email.

Certification Comments (Hospitals and ASCs)

Providers that certify the data with “comment”, please remember that the comments SHOULD NOT contain any information that could identify a physician or patient or contain information that is not intended for the public.

All comments are released to the public exactly as written by the facility. THCIC does not modify any comments unless it is discovered by staff that physician or patient identifying information is included in the comment.

Comments should be written as if the facility is speaking to the public about the facility's data. Many comments contain the author's E-mail address and phone number. If your facility does not want that published, do not include it in the comment.

Provider Generated Certification

Beginning with the 4q10 data, providers have had the capability to generate the quarterly certification data if they wish to begin the certification process EARLIER than the regularly scheduled certification timeline.

The “Generate Quarterly Certification” button becomes “ready” from the day a reporting quarter ends until the corrections period ends for that reporting quarter. Providers should only

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implement the “**generate quarterly certification**” if **ALL** the data have been submitted and corrected (if needed) for a given reporting quarter.

**Training on this new feature is available through the WebCert training. (See below for more information about training.)

For those providers that do not elect to “generate” their certification data, System13 still provides this service the day after the “corrections” date ends for each reporting quarter.

Outpatient Public Use Data File (PUDF) Release – 1st Quarter 2010

On April 14th THCIC will release the 1st Quarter 2010 Public Use Data File (PUDF) on Outpatient surgical and radiological procedures occurring in January, February, and March 2010. Those that have already “pre-purchased” the 2010 Outpatient PUDF should receive their CD the week of April 18th.

Statistics for OP 1q2010 data:

Facility Type	Facilities Reporting	Records
ASC	343	308,665
Hospital	363	1,628,902
Low volume facilities	39	908
Total	745	1,938,475

Those wishing to purchase the 1q2010 (or all 2010) Outpatient PUDF must complete an order form and data use agreement, mailing in the completed packet with payment. Only checks are accepted.

The Outpatient Order Form may be downloaded at <http://www.dshs.state.tx.us/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=8589947287>. The Data Use Agreement form may be downloaded at <http://www.dshs.state.tx.us/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=8589943752>.

For those that wish to pre-purchase the complete 2010 Outpatient PUDF, THCIC will mail the subsequent quarters around the expected release dates. The expected release dates are as follows:

- 1q10 – April 2011
- 2q10 – June 2011
- 3q10 – September 2011
- 4q10 – December 2011

Training (Hospitals, ASCs and Submitters)

THCIC provides FREE on-line training on the data reporting processes required of all Texas hospitals and ambulatory surgery centers.

Training sessions are posted at <http://www.dshs.state.tx.us/thcic/hospitals/Training.shtm>. There is no limit on how many times you can sign up for the training and refresher training is encouraged.

If you would like to attend the training sessions or have questions, please e-mail Tiffany Overton at thcichelp@dshs.state.tx.us and:

Include your preferred training Date and Time in the “Subject” line; and the following in the message area:

- THCIC ID Number
- Facility Name
- Participant(s) Name and Title
- E-mail Address
- Phone Number

Training is provided on:

- **WebClaim** – Component for manually entering data
- **WebCorrect** – Component for correcting data
- **Upload/ Download** - Upload 837 batch files
- **WebCert (Inpatient Certification)** – Certifying your facility Inpatient Data
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Texas Health Care Information Collection - THCIC
Health Facilities Numbered Letter, Volume 14 Number 4
May 12, 2011

Provider Generated Certification

“I so love being able to certify my data when I’m ready to and not have to wait 3-4 months down the road.

Just wanted to say “thank-you” for adding this option.”

Janis Drye

Corporate Business Office Manager
Global Rehab Hospitals

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 - WebCorrect
 - WebCert
 - Uploading Data Files
- Important Contacts and Numbers

* **THCIC Note:** Using the Provider Generated Certification option, Ms. Drye has generated, reviewed, and completed certification of 4q10 for her facilities and has also generated and is reviewing the 1q11 certification. **Well done!**

Provider Generated Certification

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**Training on this new feature is available through the WebCert training. (See below for more information about training.)

For those providers that do not elect to “generate” their certification data, System13 still provides this service the day after the “corrections” date ends for each reporting quarter.

Role of Provider Primary Contact

THCIC requires all hospitals and ambulatory surgery centers to assign a Provider Primary Contact. The main purpose of the Provider Primary Contact is to receive Email correspondence from THCIC and System13. They are the person to contact for day to day issues related to reporting, correcting, and certifying the THCIC data.

The types of email correspondence would include:

- data submission verification/confirmation reports (FER)
- notification for certification review (the Certifier contact also gets this)
- notification for completion of certification (the Certifier contact also gets this)
- notification of failure to report
- THCIC newsletter
- emailed authorization to reset the Provider login password

The Provider Primary Contact is the only one that can setup or reset a provider login password to the system. When a login password expires (every 60 days) it can only be reset by the Provider Primary Contact using the system's "password recovery" feature. If the Provider Primary Contact is unavailable to reset a password, this will prevent or "slow down" anyone at your facility from accessing the system.

It is suggested that a Provider Primary Contact be someone that is a "hands-on" user of the THCIC system.

Security of Patient and Physician Confidentiality (Hospitals, ASCs, and Submitters)

Patient and physician data submitted to THCIC must be kept confidential and secure throughout the process.

Data is submitted to THCIC by only two (2) methods:

- Electronic file upload to System13 via the secured server
- Data entry into WebClaim to System13 via the secured server

Data submissions to THCIC should only be made to System13 and:

- Data files should NEVER be E-mailed to THCIC or System13
- Hard copies of patient records should NEVER be mailed or faxed to THCIC or System13

Providers that use a third-party vendor for the submission of data to THCIC may wish to periodically review your processes for "security of data transmission" with your vendor.

Passwords for accessing the System13 secured server should be protected, as anyone having access to a Provider password will have access to the facility's confidential patient and physician information.

Let's keep data safe and secure!

May 12, 2011

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Outpatient Rules Published in *Texas Register*

Proposed amendments to §§421.61, 421.62, and 421.66 - 421.68 concerning the collection and release of data relating to individual patients who have had surgical procedures or radiological procedures performed in Texas hospitals (as an outpatient service including in the emergency department) or ambulatory surgical centers were posted in the *Texas Register* on April 22, 2011.

Comments on the proposal may be submitted to Bruce M. Burns, D.C., Center for Health Statistics, Department of State Health Services, Mail Code 1898, P.O. Box 149347, Austin, Texas 78714-9347, Fax (512) 458-7740. Comments will be accepted for 30 days following publication of the proposal in the *Texas Register*.

<http://www.sos.state.tx.us/texreg/pdf/backview/0422/0422prop.pdf> page 2592

Hospital Review – IQI and PDI 2009 Quality Report Release

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The 2009 IQI and PDI reports that will be released in August 2011 will include mean charges for each indicator, by hospital. An additional report on composites of the mortality indicators for selected procedures and of the mortality indicators for selected conditions for each hospital will be included in the IQI report.

Race and Ethnicity Table

A table showing the distribution of county population by **race and ethnicity**, as reported by the Bureau of the Census, compared with the race and ethnicity of discharges as reported by hospitals in the county is available for hospital review along with the two reports on hospital performance. The table shows how the race and ethnicity of patients discharged from hospitals compare with that of the county population, and also shows some possible problems with how race and ethnicity are coded and reported by some hospitals. This information will not be published, but it can be calculated by any user of the Public Use Data File.

THCIC mailed notification to each reporting hospital the week of April 11th to the physical address of the hospital to the attention of the hospital administrator.

If your hospital administrator did not receive the IQI/PDI notification letter, please send an email to dee.roes@dshs.state.tx.us. THCIC will only provide the login information to the current Provider Primary Contact, Alternate Contact, or Certifier Contact. Please provide your THCIC ID number in the email.

Data Quality

Length of Stay is calculated using the date of admission. If date of admission is not reported Length of Stay cannot be calculated and it is reported in the Public Use Data File as a blank field.

Training (Hospitals, ASCs and Submitters)

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Miren Carranza	extension 3287	Project Manager
Sylvia Cook	extension 6438	Facility reports, data use
	extension 7261	PUDF requests
Wang-Shu Lu	extension 6453	Facility reports and analysis
Dee Roes	extension 3374	Facility compliance, research files request
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Texas Health Care Information Collection - THCIC
 Health Facilities Numbered Letter, Volume 14 Number 5
 August 16, 2011

Inpatient and Outpatient Public Use Data File (PUDF) Release – 2nd and 3rd Quarter 2010

On July 14th THCIC released the **2nd Quarter 2010** Public Use Data File (PUDF) on Outpatient surgical and radiological procedures and Inpatient discharges occurring in April, May, and June 2010. Those that have already “pre-purchased” the 2010 Inpatient and/or Outpatient PUDF should receive their CD the week of July 18th.

The **3rd Quarter 2010** PUDF for Outpatient and Inpatient was released the week of August 8, 2011.

2009 IQI and PDI Quality Report Release

THCIC will release *Indicators of Inpatient Care in Texas Hospitals, 2009 (IQI) and Quality of Children’s Care in Texas Hospitals, 2009 (PDI)* in August 2011.

The 2009 IQI and PDI reports that will be released will include mean charges for each indicator, by hospital. Two additional reports on composites of the mortality indicators for selected procedures and the mortality indicators for selected conditions for each hospital will be included in the IQI report.

5010 File Format Update

- In the April 2011 numbered letter THCIC announced they would begin receiving the 5010 HIPAA transaction format beginning on June 1, 2011. There has been a delay in the acceptance of file submissions in the 5010 data format due to a delay in pilot testing.
- System13 welcomes more pilot participants. If you are interested please contact Tom Phelps at 434-977-0000 ext 210.
- New with 5010 - All discharges with 2012 statement through dates will require POA values on E-codes for those hospitals required to report POA indicators.
- Facilities have the opportunity to submit 4Q2011 data in the 4010 format through the scheduled correction period (5-1-12). 1Q2012 data will be required in the 5010 format.

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Reporting Outpatient Procedures

Data on outpatient surgical and radiological procedures are required to be reported to THCIC by hospitals and ASCs. Data submission requirements are based on revenue codes for these procedures and, with the amendments to the outpatient data collection rules to be published on August 19, data submission requirements will alternatively be based on specific procedure codes. Analysis of the data shows that approximately 14-15% of the outpatient data submitted does not include any procedure codes, although they do include a required revenue code. Since data on surgical and radiological procedures are required to be reported, an audit will be added to require that at least one procedure code be submitted for each claim. The audit date has not yet been determined; however, THCIC will announce this date in a future “numbered letter”.

Outpatient Rule Amendments

Publication of the preamble for the Adopted Amendments to §§421.61, 421.62, and 421.66 - 421.68 will be published in the *Texas Register* on August 19, 2011. There were no changes from the proposed text, which may be viewed on pages 2592 – 2603 of the April 22, 2011 version of the Texas Register (36 *TexReg* 2592 April 22, 2011 *Texas Register*) <http://texinfo.library.unt.edu/texasregister/pdf/2011/0422is.pdf> or <http://texinfo.library.unt.edu/texasregister/html/2011/apr-22/PROPOSED/25.HEALTH%20SERVICES.html#44>.

Upcoming Due Date

September 1, 2011

2q2011 data submission

4q2010 data certification

Keeping You Informed - Email Confirmations for Electronic Data Submission

THCIC and System13 have several “check and balance” notifications that are sent by email when data are submitted. If a Submitter and/or Provider Primary Contact do not receive any of the following email notifications, this should be an indicator that something may be wrong with your data submission and you should contact System13 at 888-308-4953 to inquire about the data file

upload. Other reasons a Submitter or Provider Primary Contact are not receiving the automated email notification:

- a) Email address is no longer correct
- b) Primary contact Email and other information have not been updated with THCIC or System13
- c) Primary contact Email system distributes emails from System13 into “JUNK/SPAM” mailbox.

SUBMITTER Contact Notifications

- 1) When the Submitter Contact uploads data file to System13:
 - a) Within 30 minutes of the data file upload the Submitter Primary Contact will receive an email “receipt” notice indicating that the data file was “accepted or rejected”. If “accepted”, the data file will be processed overnight to “verify 837 billing format”. If

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“rejected” the data file was not in the correct format and it will need to be fixed and uploaded again until an “accepted” email is received.

- b) Audits will be applied to the data file accepted for processing. The following business day after receiving an “accepted” receipt notice by email the Submitter Primary Contact will receive a second email indicating if the data file “passed or failed”. If the email indicates “failed”, the data file will need to be fixed and uploaded again until a “pass” email is received.
- c) Submitter should notify the **Provider** Primary Contact each time a submitted data file receives a “pass”.

PROVIDER Contact Notifications

- 2) On the same day the “Submitter Contact” receives a “pass” email (usually within 1 business day of the file upload) the **Provider Primary Contact** will receive an email notice containing the Frequency of Error Report (FER) on the data file that was uploaded 24 hours earlier by the submitter.

How will the Provider Primary Contact know if the uploaded data file received a “pass” confirmation? The Provider Contact should request the Submitter Contact to provide this information after each data submission.

If the FER is not received within 24 hours of the data file “pass” confirmation, this is an indication that something may be wrong with your data submission and you should contact System13 at 888-308-4953 to inquire about the upload from the previous day.

- a) If you do get an email notice containing the FER, you should check it to ensure that the **correct quarterly data was uploaded**. When reading the FER, please refer to the “Claims by Month” box. For example, if the uploaded data file is believed to contain 2q2011 claims, the 2q2011 total claims received would be shown in the “2011” column in the rows for “Apr, May, and June”.
- b) If the intent was to upload 2q11 data and the FER indicates “0” for Apr, May, and/or June, this indicates no 2q11 claims for those months were contained in the data file uploaded the previous day.
- c) It is equally important to review the “Claims by Bill Type” box in the FER. The submission of some claim frequency (bill) types requires the submission of additional claims with different claim frequency type codes. Failure to submit the additional claims may cause a claim to be rejected during the “certification process” of the quarterly data. For example, a Bill Type of xx8 (Void/cancel of prior claim) may require the submission of a Bill Type of xx7 (Replacement of prior claim).

CRITICAL: Read each and every FER to know what was received by System13

Training (Hospitals, ASCs and Submitters)

THCIC provides Webinar trainings, at no cost, on the data reporting processes required of all Texas hospital and ambulatory surgery centers.

Webinar dates are posted at <http://www.dshs.state.tx.us/thcic/hospitals/Training.shtm>. There is no limit on how many times you can sign up for the training and refresher training is encouraged.

If you would like to attend a Webinar training or have questions, please e-mail Tiffany Overton at thcichelp@dshs.state.tx.us and:

Provide your preferred training Date and Time in the “Subject” line; and the following in the message area:

- THCIC ID Number
- Facility Name
- Participant(s) Name and Title
- E-mail Address
- Phone Number

THCIC Webinar training is provided on the 1) Submission (electronic and manual entry), 2) Correction, and 3) Certification methods.

THCIC Retirement

THCIC Team Lead/Research Specialist Sylvia Cook will be retiring on August 31, 2011. Ms. Cook has been a member of the THCIC Program since 1999. Congratulations and Best Wishes Sylvia!

Important Phone Numbers

Virginia:

System13 web site – <https://thcic.system13.com>

Helpdesk – 888-308-4953

Austin:

THCIC web site – www.dshs.state.tx.us/thcic

DSHS-Center for Health Statistics-THCIC – 512-776-7261

NOTE: New phone and fax numbers at THCIC effective June 20, 2011.

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Bruce Burns, D.C.	512-776-6431	Rules and policy issues, 837 format issues
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Texas Health Care Information Collection - THCIC
Health Facilities Numbered Letter, Volume 14 Number 6
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5010 File Format Update

- Inpatient and Outpatient 5010 manuals are now available on the THCIC website.
- There has been a delay in the acceptance of file submissions in the 5010 data format until pilot testing is completed.
- System13 welcomes more pilot participants. If you are interested please contact Tom Phelps at 434-977-0000 ext 210.
- New with 5010 - All discharges with 2012 statement through dates will require POA values on Diagnosis Codes and E-codes for those hospitals required to report POA indicators. POA is reported in the HI segment in HIInn-9 data field (nn = 01 to 12).
- Facilities have the opportunity to submit 4Q2011 data in the 4010 format through the scheduled correction period (5-1-12). 1Q2012 data will be required in the 5010 format.

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- Rate/Service Fee Increase
- ** On-line Training
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WebCorrect
WebCert
Uploading Data Files
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THCIC 837 5010 Technical Specification Manual

THCIC has released the 837 **Inpatient** Technical Specification 5010 Manual, version 4. The Inpatient 5010 manual may be accessed at:

http://www.dshs.state.tx.us/thcic/hospitals/Tech_Req_Spec_5010_ver_4-Inpatient_THCIC837.pdf

THCIC has released the 837 **Outpatient** Technical Specification 5010 Manual, version 3. The Outpatient 5010 manual may be accessed at:

http://www.dshs.state.tx.us/thcic/OutpatientFacilities/Tech_Req_Spec_5010_ver_3-Outpatient_THCIC837.pdf

Due Dates

9/1/2011 – Submission of 2q2011 (Apr, May, Jun 2011) Inpatient and Outpatient Data
 11/1/2011 – Final submission and correction of 2q2011 Inpatient and Outpatient Data
 9/1/2011 – Certification of 4q2010 Inpatient and Outpatient Data
 9/1/2011 – Providers to begin review of 1q2011 certification for completion by 12/1/2011

A schedule of ALL due dates may be found at

<http://www.dshs.state.tx.us/THCIC/datareportingschedule.shtm>.

Rate/Service Fee Increase at System13

Service	Effective 09/01/2011
Charge to hospitals for data distribution on hard media	\$35.00
Hourly programming charge	\$100.00
Rate for corrections during certification	\$100.00
Rate for recreating certification files	\$396.00
Rate for rush order	\$298.00

Training (Hospitals, ASCs and Submitters)

THCIC provides Webinar trainings, at no cost, on the data reporting processes required of all Texas hospital and ambulatory surgery centers.

Webinar dates are posted at <http://www.dshs.state.tx.us/thcic/hospitals/Training.shtm>. There is no limit on how many times you can sign up for the training and refresher training is encouraged.

If you would like to attend Webinar training(s) or have questions, please e-mail Tiffany Overton at thcichelp@dshs.state.tx.us and:

Provide your preferred training Date and Time in the “Subject” line; and the following in the message area:

- THCIC ID Number
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- Participant(s) Name and Title
- E-mail Address
- Phone Number

THCIC Webinar training is provided on the 1) Submission (electronic and manual entry); 2) Correction; and 3) Certification methods.

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Important Phone Numbers

Virginia:

System13 web site – <https://thcic.system13.com>

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Vacant	512-776-7261	PUDF requests
Wang-Shu Lu	512-776-6453	Facility reports and analysis
Dee Roes	512-776-3374	Facility compliance, research files request
Tiffany Overton	512-776-2352	Facility training (submission, correction, and certification)

THCIC fax – 512-776-7740

Texas Health Care Information Collection - THCIC
Health Facilities Numbered Letter, Volume 14 Number 7
October 21, 2011

5010 File Format Update

- Inpatient and Outpatient 5010 manuals are now available on the THCIC website.
- New with 5010 - All inpatient discharges with 2012 statement through dates will require POA values on Diagnosis Codes and E-codes for those hospital required to report POA indicators.
 - All **inpatient discharges** with a statement through date of 2012 must be in the 5010 File Format.
 - All **outpatient event** dates occurring in 2012 must be in the 5010 File Format.
 - Testing of files in the 5010 format is recommended.
 - Contact System13 at 888-308-4953 prior to submitting a 5010 test file.
 - Providers have the opportunity to submit 4Q2011 data in the 4010 format through the scheduled correction period.

In This Issue

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THCIC 837 5010 Technical Specification Manual

THCIC has released the 837 **Inpatient** Technical Specification 5010 Manual, version 5. The Inpatient 5010 manual may be accessed at:

http://www.dshs.state.tx.us/thcic/hospitals/Tech_Req_Spec_5010_ver_5-Inpatient_THCIC837.pdf

Updated: October 11, 2011

THCIC has released the 837 **Outpatient** Technical Specification 5010 Manual, version 4. The Outpatient 5010 manual may be accessed at:

http://www.dshs.state.tx.us/thcic/OutpatientFacilities/Tech_Req_Spec_5010_ver_4-Outpatient_THCIC837.pdf

Updated: October 12, 2011

Procedure Code Audit

On October 24, 2011 THCIC will begin auditing for “procedure codes” on outpatient data. Data on outpatient surgical and radiological procedures are required to be reported to THCIC by hospitals and ASCs.

Data submission requirements are based on **revenue codes** for these procedures and, with the amendments to the outpatient data collection rules to be published on August 19, data submission requirements will alternatively be based on specific **procedure codes**.

The audit will be added to require that at least one procedure code be reported for each claim submitted to the system.

Improved Performance for Generation and Download of Certification Data Reports

New system changes have significantly improved the performance of the generation and download of the following quarterly certification data reports:

Inpatient Quarterly Certification Data:

- C01 – Certification Summary
- C02 – Top 30 HCFA Diagnosis Related Groups
- C03 – Top 30 APR Diagnosis Related Groups
- C04 – Top 30 Principal Diagnoses
- C05 – Top 30 Principal Procedures
- C06 – HCFA Diagnoses Related Groups

Outpatient Quarterly Certification Data Reports

- C01 – Certification Summary
- C04 – Top 30 Principal Diagnoses

System changes to improve the generation and download of the Practitioner/Physician reports are being tested to achieve performance improvements in those as well. These (currently non-improved) reports are:

Inpatient:

- C07 – Mortality Statistics by Practitioner
- C08 – Patients by Attending Practitioner
- C09 – Patients by Operating practitioner

Outpatient:

- C08- Patients by Operating/Rendering Physician
- C09 – Patients by Other/rendering physician

Inpatient and Outpatient Public Use Data File (PUDF) Release – 4th Quarter 2010

THCIC expects to release the 4th Quarter 2010 Inpatient and Outpatient PUDF the first week in November.

The CD will be automatically mailed out to purchasers of the 2010 PUDF that have already pre-paid.

Organizations interested in purchasing the Inpatient and/or Outpatient PUDF may view the ordering information linked below.

Inpatient PUDF Order Form

<http://www.dshs.state.tx.us/thcic/hospitals/InpatientPUDFOrderForm.pdf>

Data Use Agreement

<http://www.dshs.state.tx.us/thcic/hospitals/DataUseAgreement.pdf>

Outpatient PUDF Order Form

<http://www.dshs.state.tx.us/thcic/hospitals/OutpatientPUDFOrderform.pdf>

Data Use Agreement

<http://www.dshs.state.tx.us/thcic/hospitals/DataUseAgreement.pdf>

- Additional information on the Inpatient PUDF may be found at <http://www.dshs.state.tx.us/thcic/hospitals/Inpatientpudf.shtm>.
- Additional information on the Outpatient PUDF may be found at <http://www.dshs.state.tx.us/thcic/OutpatientFacilities/Outpatientpudf.shtm>.

Upcoming Due Dates

11/1/2011 – Final submission and correction of 2q2011 Inpatient and Outpatient Data
12/1/2011— 3q2011 submission due date (July, August, and September 2011 claims)
12/1/2011—Certification of 1q2011 due date. Certify in WebCert
12/1/2011—2q2011 Certification data available for review in WebCert, if not previously generated by the provider.
2/1/2012—Final submission and correction of 3q2011 Inpatient and Outpatient Data
3/1/2012—Certification of 2q2011 due date. Certify in WebCert

Training - Submission, Correction, Certification

THCIC provides Webinar trainings, at no cost, on the data reporting processes required of all Texas hospital and ambulatory surgery centers.

Webinar dates are posted at <http://www.dshs.state.tx.us/thcic/hospitals/Training.shtm>. There is no limit on how many times you can sign up for the training and refresher training is encouraged. If you would like to attend Webinar training(s) or have questions, please e-mail Tiffany Overton at thcichelp@dshs.state.tx.us and:

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Contact Us

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DSHS-Center for Health Statistics-THCIC – 512-776-7261

Public Use Data File (PUDF) information—512-776-7261

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THCIC fax – **512-776-7740**

THCIC History

The THCIC was created by the 74th Texas Legislature in 1995. THCIC's primary purpose is to provide data that will enable Texas consumers and health plan purchasers to make informed health care decisions. THCIC's charge is to collect data and report on the quality performance of hospitals, ambulatory surgery centers, and health maintenance organizations operating in Texas. The goal is to provide information that will enable consumers to have an impact on the cost and quality of health care in Texas.

A variety of Consumer Reports may be viewed at www.dshs.state.tx.us/thcic

WE'RE ON THE WEB!
WWW.DSHS.STATE.TX.US/THCIC